

10-07-1999



U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

101164024

**RECORDATION FORM COVER SHEET  
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**Submission Type**

☒ New

☐ Resubmission (Non-Recordation)  
Document ID#

☐ Correction of PTO Error  
Reel #  Frame #

☐ Corrective Document  
Reel #  Frame #

**Conveyance Type**

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

**Second Party**

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

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☐ Mark if additional names of receiving parties attached

Name (line 1)  ☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

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City State/Country Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

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**FOR OFFICE USE ONLY**

10/06/1999 MTHAI1 00000182 09373515

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**PATENT**  
**REEL: 010280 FRAME: 0286**

**Correspondent Name and Address**

Area Code and Telephone Number (937) 223-2050

Name Killworth, Gottman, Hagan & Schaeff, L.L.P.

Address (line 1) One Dayton Centre, Suite 500

Address (line 2) One South Main Street

Address (line 3) Dayton, Ohio 45202-2023

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments.

# 6

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

09/373,515

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

No

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Timothy W. Hagan

Name of Person Signing

Signature

Sept. 30, 1999

Date

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**Conveying Party(ies)**

☒ Mark if additional names of conveying parties attached

*Enter additional Conveying Parties*

Name (line 1) Richard John Mitro

Execution Date  
Month Day Year

09/10/99

Name (line 2)

Execution Date  
Month Day Year

Name (line 1) Leszek Malaszewski

09/10/99

Name (line 2)

Execution Date  
Month Day Year

Name (line 1) Robert Michael Kozar

09/10/99

Name (line 2)

**Receiving Party(ies)**

☐ Mark if additional names of receiving parties attached

*Enter additional Receiving Party(ies)*

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

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**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

*Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).*

**Patent Application Number(s)**

**Patent Number(s)**



RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Ronald Zolkowski

Execution Date  
Month Day Year

09/10/99

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

**Receiving Party(ies)**

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**


**Patent Number(s)**


## ASSIGNMENT

WHEREAS, we, Reza Alani, of Pleasanton, CA, Barbara Louise Armbruster, of Livermore, CA, Richard John Mitro, of San Ramon, CA, Leszek Malaszewski, of Walnut Creek, CA, Robert Michael Kozar, of Prospect, PA, and Ronald Zolkowski, of Pittsburgh, PA, invented certain new and useful **DOUBLE TILT AND ROTATE SPECIMEN HOLDER FOR A TRANSMISSION ELECTRON MICROSCOPE** for which we executed an application for a United States Patent and for which said application for United States Patent was filed on August 12, 1999, under

☒ Patent Application Serial No. 09/373,515  
☒ Attorney Docket No. GAT 060 PA;

WHEREAS, we hereby authorize and request the attorney(s) and/or agent(s) empowered to act on our behalf in the aforementioned application, to insert above the filing date and application number of said application, when known;

AND WHEREAS, Gatan, Inc., a corporation of the State of California, with a place of business at 5933 Coronado Lane, Pleasanton, California, hereinafter called the Assignee, is desirous of acquiring the entire right, title and interest in and to said application and the inventions therein disclosed and any letters patent that may issue thereon;

NOW, THEREFORE, for good and valuable consideration, receipt whereof is hereby acknowledged, we hereby sell, assign and transfer unto said Assignee, its successors and assigns, the entire right, title and interest in and to said application and any divisions or continuations thereof, and the inventions therein disclosed, and any improvements thereon, and any patent or patents that may be issued or reissued thereon, and we hereby authorize and request the Commissioner of Patents and Trademarks to issue any letters patent thereon, and reissues thereof, to said Assignee, its successors and assigns; and we hereby authorize said Assignee, its successors and assigns, to file in its own name applications for patent in foreign countries in connection with the inventions hereby transferred, under the International Convention claiming the priority of said United States application or otherwise, and to secure in its own name the patent or patents issued thereon; and we hereby agree that, upon request, we will sign all papers, and make all rightful oaths, and do all acts which said Assignee, its successors or assigns, may consider necessary in connection with said United States application, and in connection with any other United States or foreign applications that may be filed in connection with said inventions, and with any improvements thereon, and in connection with any patents issued or reissued thereon.

Sep. 10, 1999. IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10 day of

Reza Alani  
Reza Alani

State of CALIFORNIA

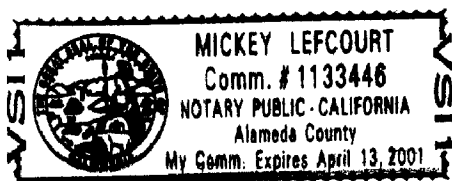
County of ALAMEDA

On 9/10/99 before me, Mickey Lefcourt

personally appeared REZA

ALANI

       personally known to me **-OR-**        proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature, on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

[Signature]  
Signature of Notary

Sept., 1999. IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 9<sup>th</sup> day of

Barbara Louise Armbruster  
Barbara Louise Armbruster

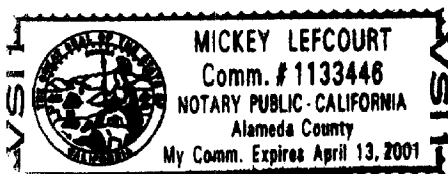
State of CALIFORNIA

County of ALAMEDA

On 9/9/99 before me, MICKEY LEFCOURT,

personally appeared BARBARA LOUISE ARMBRUSTER

\_\_ personally known to me -OR- \_\_ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature, on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

[Signature]  
Signature of Notary

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10 day of Sept., 1999.

Richard J Mitro  
Richard John Mitro

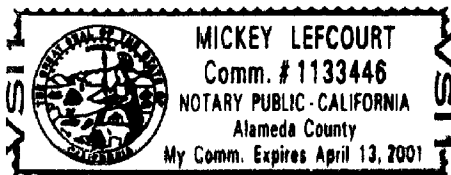
State of CALIFORNIA

County of ALAMEDA

On 9/10/99 before me, MICKEY LEFCOURT

personally appeared RICHARD JOHN  
Mitro —

— personally known to me -OR- — proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature, on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

[Signature]  
Signature of Notary



IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10 day of September, 1999.

Leszek Malaszewski  
Leszek Malaszewski

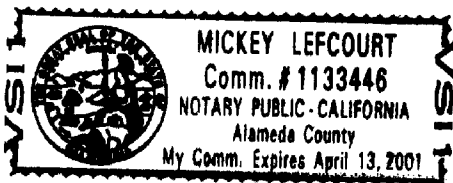
State of CALIFORNIA

County of ALAMEDA

On 9/10/99 before me, MICKEY LEFCOURT,

personally appeared LESZEK  
MALASZEWSKI

     personally known to me **-OR-**      proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature, on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

[Signature]  
Signature of Notary

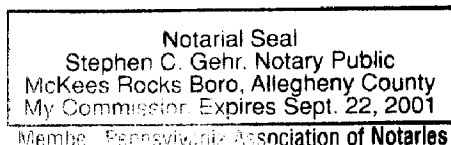
IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10th day of September, 1999.

Robert Michael Kozar  
Robert Michael Kozar

State of Pennsylvania)  
County of Butler) ss

On this 10th day of September, 1999, before me a Notary Public in and for the above County and State, personally appeared the above named Robert Michael Kozar personally known to me, and acknowledged the execution of the foregoing assignment as a free act and deed for the purpose herein set forth.

Stephen C. Gehr  
Notary Public



IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10th day of September, 1999.

Ronald Zolkowski  
Ronald Zolkowski

State of Pennsylvania)  
County of Butler) ss

On this 10th day of September, 1999, before me a Notary Public in and for the above County and State, personally appeared the above named Ronald Zolkowski personally known to me, and acknowledged the execution of the foregoing assignment as a free act and deed for the purpose herein set forth.

Stephen C. Gehr  
Notary Public

