

9/28/99
FORM PTO-1595
1-31-92

10-07-1999



U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101163217

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kevin G. Meyer
Roy T. Paluska, Jr.

Additional Names attached: ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Caterpillar Inc.

Internal Address:
Intellectual Property Department

Street Address:
100 N.E. Adams Street

City: Peoria State: Illinois

Zip: 61629-6490

3. Nature of Conveyance:

☒ Assignment
☐ Security Agreement
☐ Other

Execution Date(s): 09/27/99

Additional name(s) & addresses
attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

09/407392

If this document is being filed together with a new application, the execution date of the application is 09/27/99.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

Title of Invention: TRANSMISSION ASSEMBLY WITH FOUR PLANETARY GEAR SETS PROVIDING NINE FORWARD AND ONE REVERSE GEAR RATIO

Attorney Docket No.: 98-122

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John W. Morrison
CATERPILLAR INC.

Internal Address: Intellectual Property Dept.

Street Address: 100 N. E. Adams Street

City: Peoria State: IL

Zip: 61629-6490

6. Total number of applications and patents involved [1]

7. Total fee (37 CFR §3.41) \$ 40.00
☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 03-1129
(If charged duplicate copy attached)

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John W. Morrison
Name of Person Signing

[Signature]
Signature

09/28/99
Date

Total number of pages comprising cover sheet, attachments, and document: [3]

Mail document to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

10/07/1999 MTHA11 00000101 031129 09407392
01 FC:501 40.00 CH

PATENT
REEL: 010282 FRAME: 0017

ASSIGNMENT

WHEREAS, KEVIN G. MEYER; and ROY T. PALUSKA, JR, residing respectively at Metamora, Illinois; and Washington, Illinois, hereinafter referred to as ASSIGNORS, have invented a new and useful

TRANSMISSION ASSEMBLY WITH FOUR PLANETARY GEAR SETS PROVIDING NINE FORWARD AND ONE REVERSE GEAR RATIO

for which ASSIGNORS are about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNORS on

September 27, 1999, and September 27, 1999; and

WHEREAS, CATERPILLAR INC., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR INC.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

PATENT

| REEL: 010282 FRAME: 0018

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

Kevin G. Meyer
KEVIN G. MEYER

27 SEPTEMBER, 1999
Date

IN WITNESS OF the execution hereof by KEVIN G. MEYER we have hereunto set our hands.

Bruce K. McLean 9/27/99
Witness (1) (Date)

Address: 2209 Elisa Circle
Eureka, IL 61530

Adam E. Coj
Witness (2) (Date)

Address: 6 Drake Ct.
Bloomington IL 61704

Roy T. Paluska Jr
ROY T. PALUSKA, JR.

27 September 1999
Date

IN WITNESS OF the execution hereof by ROY T. PALUSKA, JR. we have hereunto set our hands.

Kevin Schmitt 27 Sept 99
Witness (1) (Date)

Address: 7150 N. Terra Vista Drive
Peoria, IL 61614

William M. McLean 27 Sep 99
Witness (2) (Date)

Address: 25291 SCHUCK RD.
WASHINGTON, IL 61571