

10-07-1999



101163190

ER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Docket Number D/97005ii

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original assignment document.

## 1. Name of Party(ies) conveying an interest:

- 1) Christopher L. Chua
- 2) Robert L. Thornton
- 3) David W. Treat

Additional name(s) of conveying party(ies)

attached?

☐ Yes ☒ No

## 2. Name and Address of receiving Party(ies):

Xerox Corporation

800 Long Ridge Road  
P.O. Box 1600  
Stamford  
Connecticut  
06904-1600

Additional name(s) and address(es)

attached?

☐ Yes ☒ No3. Nature of Conveyance: **ASSIGNMENT**

Execution Date: 9/7/1999

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/389,160Additional numbers attached? ☐ Yes ☒ No

## 5. Name and Address of party to whom correspondence concerning document should be mailed:

Xerox Corporation  
Xerox Square - 20A  
Rochester, New York 14644

6. Total number of applications and patents involved = **1**7. Total Fee (37 CFR 3.41): **\$ 40.00**☐ Enclosed☒ Authorized to be charged to Deposit Account8. Deposit Account Number: **24-0025**

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name of Person Signing

J. Krause-Polstorff

Date 10/1/1999

Signature

Total number of pages comprising cover sheet **1**

Rev 1/17/96

10/05/1999 MTHAI1 00000308 240025 09389160

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**ASSIGNMENT**

**Attorney Docket No.: D/97005ii**

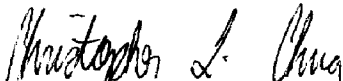
The undersigned is/are the named inventor(s) (the "Inventor(s)") on a United States patent application entitled **METHOD AND STRUCTURE FOR ELIMINATING POLARIZATION INSTABILITY IN Laterally - OXIDIZED VCSELS** (the "Application") for inventions disclosed or claimed therein (the "Invention(s)"), which Application:

- ☐ is being executed concurrently herewith,
- ☐ was first executed on
- ☒ was filed on **9/2/1999** as Application Number 09/389,160.
- ☒ is a Continuation In Part claiming the benefit of Application Ser. No. 09/364,614 filed July 29, 1999 which is a Continuation In Part of Application Ser. No. 08/940,867 filed September 30, 1997 which claims the benefit of Provisional Application 60/037,175 filed February 7, 1997.

Xerox Corporation, a New York Corporation, on behalf of itself, its successors and assigns, and its legal representatives ("Xerox"), is entitled to all rights in the Application and the Invention(s), and the full cooperation of the Inventor(s).

For valuable consideration, the receipt of which is hereby acknowledged, the Inventor(s) hereby sell(s), assign(s), and transfer(s) to Xerox the entire and exclusive right, title and interest in the Application and the Invention(s), in and for the United States, its territories, and all foreign countries, including all applications, patents, design registrations and publications obtained or derived therefrom, world wide, and all priority rights under all present or future conventions and treaties, and any provisional applications for which priority is claimed in the Application; and

- (1) request the U.S. Commissioner of Patents to issue all U.S. Letters Patent granted thereon to Xerox;
- (2) agree that Xerox may apply for and receive all foreign Letters Patent thereon;
- (3) agree to execute all papers and take all actions necessary or desirable in connection therewith requested by Xerox; and
- (4) authorize Xerox to subsequently enter the Application Number on this Assignment, if not already entered above.

  
\_\_\_\_\_  
Christopher L. Chua

Date 9-7-99

  
\_\_\_\_\_  
Robert L. Thornton

Date 9-7-99

  
\_\_\_\_\_  
David W. Treat

Date 9-7-99

**PATENT**

**RECORDED: 10/05/1999**

**| REEL: 010282 FRAME: 0137**