

10-07-1999



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PATENT

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Docket No. 2026-4237US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Smyth Templeton et al.

Serial No. : 09/242,190

Group Art Unit: To Be Assigned

Filed : February 10, 1999

Examiner: To Be Assigned

For : NOVEL LIPOSOME COMPLEXES FOR INCREASED SYSTEMATIC DELIVERY

**RECORDATION FORM COVER SHEET PURSUANT TO 37 C.F.R. § 3.31**

Hon. Commissioner of Patents and Trademarks  
BOX ASSIGNMENTS  
Washington, D.C. 20231

Sir:

*MRD*  
*10-5-99*

Please record the attached original documents or copy thereof.

1. Name of conveying party/parties:

Nancy Smyth-Templeton and George N. Pavlakis

2. Name and address of receiving party/parties:

Name Department of Health and Human Services

Internal Address: Office of Technology Transfer

Street Address: 6011 Executive Boulevard

City Rockville State Maryland ZIP 20852

Name \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

[ ] Additional names and addresses attached.

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**PATENT**  
**REEL: 010283 FRAME: 0917**

3. Name of conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement  
☐ Change of Name ☐ Other \_\_\_\_\_  
 Execution Date: \_\_\_\_\_

4. Application Number(s) of Patent Number(s):

☐ This document is being filed together with a new application which was executed on \_\_\_\_\_

☒ Patent Application No.(s) 09/242,190

5. Address all future communications to:

Dorothy R. Auth, Esq.  
 MORGAN & FINNEGAN, L.L.P.  
 345 Park Avenue  
 New York, New York 10154

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$40.00 property x 1 property(ies) = \$ 40.00

☐ A check in the amount of \$ 40.00 to cover the recordation fee is enclosed.

☐ Charge fee to Deposit Account No. 13-4500. Order No. \_\_\_\_\_.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this recordation, or credit any overpayment to Deposit Account No. 13-4500. Order No. 2026-4237US1.

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,  
 MORGAN & FINNEGAN, L.L.P.

Dated: September 29, 1999

By: Dorothy R. Auth  
 Dorothy R. Auth  
 Registration No. 36,434

CORRESPONDENCE ADDRESS:

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 (212) 751-6849 Facsimile

FORM: REC-PAT.NY  
 Rev. 05/26/98

ASSIGNMENT

WHEREAS, we Nancy Smyth-Templeton, residing at 6808 Persimmon Tree Road, Bethesda, Maryland 20817, U.S.A., a citizen of the United States and George N. Pavlakis, residing at 9 Purdue Court, Rockville, Maryland 20850, a citizen of the United States, co-inventors of NOVEL LIPOSOME COMPLEXES FOR INCREASED SYSTEMIC DELIVERY for which a U.S. Patent Application has been filed on February 10, 1999 under Serial No. 09/242,190.

WHEREAS, I am the applicant named in the above-identified application for Letters Patent; and

WHEREAS, the conditions under which said invention was made are such as to entitle The Government of the United States of America, as represented by the Secretary, Department of Health and Human Services (hereinafter THE GOVERNMENT) under Paragraph 1(a) of Executive Order 10096 to the entire right, title, and interest herein, both domestic and foreign; and

WHEREAS, THE GOVERNMENT is desirous of acquiring all domestic and foreign right, title, and interest in the above-mentioned invention described in the application for Letters Patent; and

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign and transfer to THE GOVERNMENT, as represented by the Secretary, Department of Health and Human Services, the full and exclusive rights in and to said invention in the United States of America and within each and every foreign country in which THE GOVERNMENT elects to file and the entire right, title, and interest in and to such applications, and any continuations, continuations-in-part, divisions, reissues or extensions thereof, and including priority rights as may be filed in the United States of America and foreign countries, and such Letters Patent as may be granted to be held by THE GOVERNMENT to the end of the term for which the same would have been held by the inventors had this assignment not been made.

I further agree to make, execute, and deliver to the Secretary, Department of Health and Human Services, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist THE GOVERNMENT in every way as may be requested in protecting said invention, provided that any expense of extending such assistance shall be paid by THE GOVERNMENT.

IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

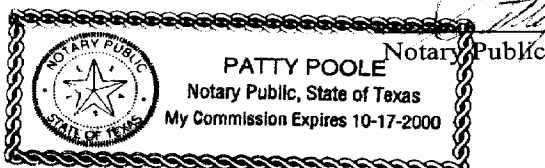
Nancy Smyth-Templeton  
(Nancy Smyth-Templeton)

Date September 17, 1999

County of HARRIS

State of TEXAS SS:

Subscribed and sworn to before me this 17<sup>th</sup> day of September, 1999.



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IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

George N Pavlakis  
(George N. Pavlakis)

Date Sep 21, 1999

County of Fredrick  
State of Maryland SS:

Subscribed and sworn to before me this 21<sup>st</sup> day of Sept, 1999.

Andria F. Coonough  
Notary Public