

10-08-1999

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

M&G- 9340,745US01

REC



101164377

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

4420

10-4-99

99 OCT -4 AM 10:22

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Brian J. Babka
David R. Bogenhagen
Todd W. Bruchu
James Robert Harger
Thomas Michael Wright

2. Name and address of receiving party(ies):

Andersen Corporation
100 Fourth Avenue North
Bayport, MN 55003-1096

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: August 31, 1999; September 10, 1999;
September 15, 1999 and September 20, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

U.S. Serial No. 09/343,343
Filed: June 30, 1999

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John Albrecht
Address: Merchant & Gould P.C.
3100 Norwest Center
90 South Seventh Street
Minneapolis, MN 55402-4131

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any
overpayments to our Deposit account number: 13-2725

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John Albrecht

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 6

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

10/06/1999 DNGUYEN 00000310 09343343

01 FC:581

40.00 OP

PATENT
REEL: 010284 FRAME: 0924

ASSIGNMENT

WHEREAS, we, Brian J. Babka, residing at 891 Trail 12, Hudson, Wisconsin 54016, Dave R. Bogenhagen, residing at 650 7th Street, North Hudson, Wisconsin 54016, Todd W. Bruchu, residing at 3150 Klondike Avenue, Lake Elmo, Minnesota 55042, James Robert Harger, residing at 3215 Alta Vista Road, Rockford, Illinois 61107, Thomas Michael Wright, residing at 6834 Safford Road, Rockford, Illinois 61101 and Arthur Raymond King, IV, residing at 10833 Banyan Drive, Machesney Park, Illinois 61115, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on June 30, 1999, application Serial No. 09/343,343, which is entitled CASEMENT LOCK.

AND WHEREAS, ANDERSEN CORPORATION, a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having an office and place of business at 100 Fourth Avenue North, Bayport, Minnesota 55003-1096 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said

improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 10 day of September, 1999.

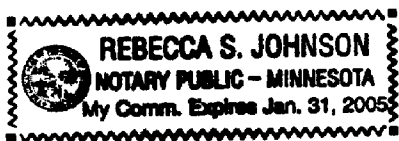
Brian J. Babka
Brian J. Babka

STATE OF Minnesota)
COUNTY OF Washington) ss.

On this 10th day of Sept., 1999, before me personally appeared Brian J. Babka to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Rebecca S. Johnson
Notary Public



IN TESTIMONY WHEREOF, I have hereunto set my hand this 20 day of

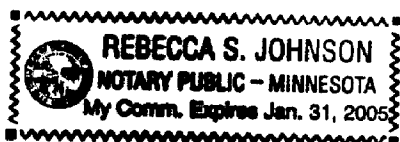
September, 1999.

Dave R. Bogenhagen
Dave R. Bogenhagen

STATE OF Minnesota)
COUNTY OF Washington) ss.

On this 20th day of September, 1999, before me personally appeared Dave R. Bogenhagen to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]



Rebecca S. Johnson
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 15 day of

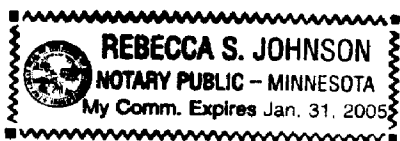
September, 1999.

Todd W. Bruchu
Todd W. Bruchu

STATE OF Minnesota)
COUNTY OF Washington) ss.

On this 15th day of September, 1999, before me personally appeared Todd W. Bruchu to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]



Rebecca S. Johnson
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 31 day of

August, 1999.

James R. Harger
James R. Harger

STATE OF Illinois)
) ss.
COUNTY OF Winnebago)

On this 31st day of August, 1999, before me personally appeared James R. Harger to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]



Charlotte MacDougall
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 31 day of

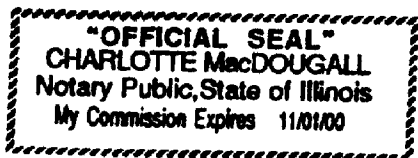
August, 1999.

Thomas M. Wright
Thomas M. Wright

STATE OF Illinois)
) ss.
COUNTY OF Winnebago)

On this 31st day of August, 1999, before me personally appeared Thomas M. Wright to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

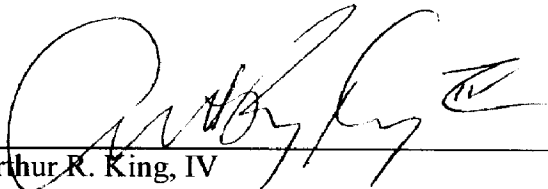
[SEAL]



Charlotte MacDougall
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 31 day of

August, 1999.



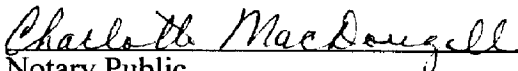
Arthur R. King, IV

STATE OF Illinois)
) ss.
COUNTY OF Winnebago)

On this 31st day of August, 1999, before me personally appeared Arthur R. King, IV to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]





Notary Public