

10-08-1999

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To the Honorable Commissioner of Patents

101164550

original documents or copy thereof.

1. Name of conveying party(ies):
Duncan T. AUST, Michael A. ROSS, James M.
WILMOTT and James A. HAYWARD

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 9/23/99

2. Name and address of receiving party(ies):

Name: Collaborative Laboratories, Inc.

Street Address: 3 Technology Drive

City: East Setauket State: New York Zip: 11733

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/375,683

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address: Anne E. Zitron

Street Address: 805 Third Avenue, 27th Floor

City: New York State: New York Zip: 10022-7513

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):\$40

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

04-0100

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anne E. Zitron, Ph.D.
Name of Person Signing

Signature

September 29, 1999
Date

10/05/1999 MPRA950 00000077 09375683

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Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:
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PATENT
REEL: 010286 FRAME: 0435

ASSIGNMENT

Duncan T. Aust, a citizen of the United States of America; residing at 8 Wampum Trail, Ridge, New York 11961;

Michael A. Ross, a citizen of the United States of America; residing at 250 Richard Avenue, Apt. C1, Jericho, New York 11573;

James M. Wilmott, a citizen of the United States of America; residing at 4 Ridgefield Drive, Shoreham, New York 11786; and

James A. Hayward, citizen of the United States of America; residing at 1 Emmet Drive, Stony Brook, New York 11790;

(hereinafter called "ASSIGNORS") in consideration of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to them by

Collaborative Laboratories, Inc.

a corporation organized under the laws of New York, located at 3 Technology Drive, East Setauket, New York 11733, (hereinafter called the "ASSIGNEE"), do hereby sell and assign to the said ASSIGNEE, its successors and assigns, all their right, title and interest,

☐ in and for the United States of America,

☒ throughout the world,

in and to their invention entitled:

**STABILIZING VITAMIN A DERIVATIVES BY
ENCAPSULATION IN LIPID VESICLES FORMED WITH
ALKYLAMMONIUM FATTY ACID SALTS**

invented by them and described in

☐ the application executed on

☒ Application Serial No. 09/375,683, filed August 17, 1999

for United States Letters Patent therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being

☒ the entire ownership interest

☐ an undivided partial ownership interest

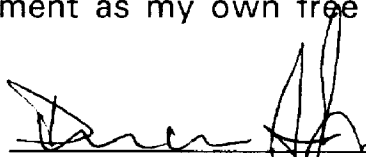
in the said Letters Patent when granted, to be held and enjoyed by said ASSIGNEE, its successors, assigns or other legal representatives, to the full end of the term for which said Letters patent may be granted, as fully and entirely as the same would have been held and enjoyed by said ASSIGNORS if this assignment and sale had not been made;

And ASSIGNORS hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of said above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal or reissue applications, or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said ASSIGNEE;

And the said ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

I declare under penalty of perjury under the laws of the United States of America that I have signed this document as my own free act and that all of the foregoing is true and correct.

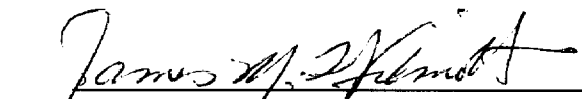
Dated: 9-23-99


Duncan T. Aust, Co-Inventor

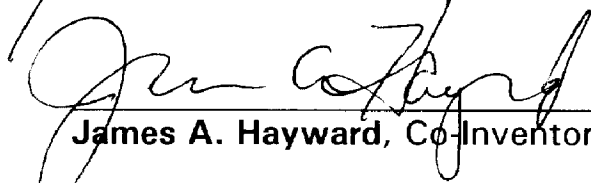
Dated: 9/23/99


Michael A. Ross, Co-Inventor

Dated: 9-23-99


James M. Wilmott, Co-Inventor

Dated: 9-23-99


James A. Hayward, Co-Inventor

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