10-08-1999 FORM PTO-1619A U.S. Department of Commerce Expires 06/30/99 **Patent and Trademark Office** OMB 0651-0027 2007-5 120:55 PATENT 101165807 RECORDATION FORM COVER SHEET PATENTS ONLY TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies). Submission Type Conveyance Type |X|**Assignment Security Agreement** New Resubmission (Non-Recordation) License Change of Name Document ID# **Correction of PTO Error** Other Merger Reel# Frame # U.S. Government
(For Use ONLY by U.S. Government Agencies) **Corrective Document** Reel# Frame # Departmental File Secret File Conveying Party(ies) Mark if additional names of conveying parties attached Execution Date Month Day Year Name (line 1) Piccioli, David P. 09/13/1999 Name (line 2) Execution Date Month Day Year Second Party Name (line 1) Krishnakumar, Suppayan M. 09/13/1999 Name (line 2) Receiving Party Mark if additional names of receiving parties attached If document to be recorded Name (line 1) Continental Pet Technologies, Inc. is an assignment and the receiving party is not domiciled in the United Name (line 2) States, an appointment of a domestic Address (line 1) 7310 Turfway Rd., Suite 490 representative is attached. (Designation must be a separate document from Address (line 2) Assignment.) Address (line 3) | Florence 41042 City State/Country **Domestic Representative Name and Address** Enter for the first Receiving Party only. Name Lars S. Johnson

10/07/1<mark>999 DHGUYEH 00000356 170025 29102535</mark>

Address (line 4) Chicago, IL 60610

Address (line 1) The Quaker Oats Company

Address (line 2) 321 N. Clark St., Mail Code 25-7

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Address (line 3)

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PATENT REEL: 010286 FRAME: 0761

FORM PTO-1 Expires 06/30/99 OMB 0651-0027	619B	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT	
Correspondent Name and Address Area Code and Telephone Number (312) 222-7407				
Name La	ars S. Johnson			
Address (line 1) Th	ne Quaker Oats Company			
Address (line 2) 32	21 N. Clark St., Mail Code 25-7			
Address (line 3)				
Address (line 4)	hicago, IL 60610			
_	nter the total number of pages of the ncluding any attachments.	attached conveyance document	# [5	
Application Nu	umber(s) or Patent Number(s)	Mark if additi	onal numbers attached	
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29/102,535	29/102,534 29/102,533			
If this document is being filed together with a <u>new</u> Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year				
Patent Cooper	ration Treaty (PCT)	1		
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	a U.S. Application Number PCT tbeen assigned.	PCT	PCT	
Number of Properties Enter the total number of properties involved. # 3				
Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$				
Method of Payment: Enclosed Deposit Account \overline{X} Deposit Account				
(Enter for payment by deposit account or if additional fees can be charged to the account.) Deposit Account Number: #17-0025				
	Authorizatio	on to charge additional fees: Yes	s X No	
Statement and Signature				
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.				
Lars S. Johnson	4	lan & mi	10/1/99	
Name of	Person Signing	Signature	Date	

PATENT REEL: 010286 FRAME: 0762 FORM PTO-1619C Expires 06/30/99 OMB 0651-0027

RECORDATION FORM COVER SHEET CONTINUATION PATENTS ONLY

U.S. Department of Commerce Patent and Trademark Office PATENT

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Conveying Party(ies) Mark if additional names of conveying parties attached Execution Date				
Enter additional Co	onveying Parties	Month Day Year		
Name (line 1) Co	llette, Wayne N.	09/13/1999		
Name (line 2)		7		
		─ Execution Date Month Day Year		
Name (line 1)				
Name (line 2)		۱ ا		
L		── Execution Date Month Day Year		
Name (line 1)				
Name (line 2)				
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		receiving party is not domiciled in the United		
Name (line 2)		States, an appointment of a domestic representative		
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Address (line 2)		document from Assignment.)		
Address (line 3)	City State/Country Zip C	ode		
Name (line 1)		If document to be recorded is an assignment and the		
Name (line 2)		receiving party is not domiciled in the United States, an appointment of a		
Address (line 1)		domestic representative is attached. (Designation must		
Address (line 2)		be a separate document from Assignment.)		
7441033 (IIIIe 2)				
Address (line 3)	City State/Country Zip	Code		
Application Number(s) or Patent Number(s) Mark if additional numbers attached				
Enter either the	Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the	same property).		
Pat	tent Application Number(s) Patent Num	nber(s)		
1				

Attorney's Docket Nos: 1675, 1676 & 1677

ASSIGNMENT

IN CONSIDERATION of One Dollar and other good and valuable considerations, the sufficiency and receipt of which is hereby acknowledged David P. Piccioli, Suppayan M. Krishnakumar and Wayne N. Collette, hereby assign CONTINENTAL PET TECHNOLOGIES, INC., a corporation of the State of Delaware having its principal office in Florence, Kentucky, United States of America, its successors and assigns, the entire right, title and interest in each of the bottle design invention, as set forth below in a design patent application for BOTTLE, filed on the 26th day of March, 1999, and assigned Serial Nos. 29/102,535, 29/102,534, and 29/102,533, executed by us respectively, the 3 day of September 1999, and the 13 day of September 1999, and the 13 day of march 1999, and the 13 day of sufficient 1999, and assignee being hereby authorized to insert said execution dates when ascertained), including any improvements, thereof and any and all divisional and continuation applications, and in any and all Letters Patents, reissues and extensions issuing thereon, and we hereby authorize and request the Assistant Commissioner of Patents to assign said Letters Patents to said CONTINENTAL PET TECHNOLOGIES, INC.

FOR SAID CONSIDERATION, we hereby agree, upon the request and on behalf of said assignee, its successors and assigns, to execute any and all divisional and continuing applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of said Letters Patents and any Letters Patent that may be granted upon any divisional and continuation applications that said assignee, its successors or assigns, may deem necessary or expedient, and for the said considerations we further agree upon the request of said assignee, its successors and assigns, in the event of said Letters Patents or any division or continuation thereof, or any Letters Patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, we hereby agree to perform, upon such request and at the expense of said assignee, any and all affirmative acts to obtain said Letters Patents and vest all rights therein hereby conveyed in the said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made. And CONTINENTAL PET TECHNOLOGIES, INC., its successors and assigns, the entire right, title and interest in said invention or improvements for any and all foreign countries and agree upon the request of said CONTINENTAL PET TECHNOLOGIES, INC., its successors and assigns, to execute any and all documents that shall be required of us to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to vest title in said foreign applications and patents in said assignee, without further compensation, but at the expense of said assignee.

> PATENT REEL: 010286 FRAME: 0764

Attorney's Docket Nos: 1675, 1676 & 1677				
EXECUTED this () day of Sept	, 1999.			
	By: Level //www. Name: David P. Piccioli			
STATE OF Jew Hampshire) SS. COUNTY OF Willsbarough				
On this 13 day of <u>Menterolus</u> , 1999, before a Notary Public in and for the County and State aforesaid, appeared David P. Piccioli, to me personally known to be he same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as a free and voluntary act and for the uses and purposes therein expressed.				
WITNESS my hand and seal the day ar				
	Notary Public (SEAL)			
EXECUTED this 13 day of serve				
	By: Suppayan M. Kirk Marker Suppayan M. Krishnakumar			
STATE OF Thew Hampshive				
STATE OF Thew Hampshise) SS. COUNTY OF Hills haroug h				
On this 13 day of <u>Septembers</u> , 1999, before a Notary Public in and for the County and State aforesaid, appeared Suppayan M. Krishnakumar, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as a free and voluntary				

act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Notary Public

PAMELA A. FARIZ, Notary Public My Commission Expires January 20, 2004

Attorney's Docket Nos: 1675, 1676 & 1677

EXECUTED this 13 day of September, 1999.

STATE OF Jew Hampshire
) SS.

COUNTY OF Helbsharauge

On this 13 day of Jephanher, 1999, before a Notary Public in and for the County and State of crossing and the County and County and State of crossing and the County and the Cou the County and State aforesaid, appeared Wayne N. Collette, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as a free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

PAMELA A. FARIZ, Notary Public My Commission Expires January 20, 2004