

101166777

Docket No. <u>3627-4004</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appli	cant(s)	:	Roland HAUSSMANN	٧		UCT 6		
Serial	No.	:	09/214,539		Group	Art Unit: 3744		
Filed		:	February 9, 1999		Exam	iner:		
For		:	HARD-SOLDERED F FLOW AND ONE RO FOR A MOTOR VEH	W IN THE AIR FL	OW DIRECTION	1		
	CORI	<u>RECTIVI</u>	E RECORDATION FOI	RM COVER SHEE	FPURSUANT TO	O 37 C.F.R. § 3.31		
BOX	Commissio ASSIGNM ington, D.C	ENTS	tents and Trademarks					
Sir:								
	Please rec	ord the at	tached original docume	nts or copy thereof.				
	Name of o	Name of conveying party/parties:						
1.	Roland HAUSSMANN							
2.	Name and	address	of receiving party/partie	es:				
	Name V	/aleo Klii	matechnik GmbH & Co.	., K G				
	C4 4 A A	1	T. II.		т.			
	Street Add		Talhausstrasse 16			D (074)		
	City Ho	ockenheii	n Countr	y <u>Germany</u>	ZIP	D-68766		
	Name							
	Internal A	ddress:						
	Street Add							
	City		Si	tate	ZIP			
493707	_		_					
99 MTHAI1 I	00000246		00 00					

	[]	Additional 1	names and add	resses attached					
3.	Name o	of conveyance:	[] Change ([x] Other <u>a</u> (incorrect) to		e Correctio smann" (co	n from "Ro orrect)			
4.	Applica	ntion Number(s)	or Patent Nun	nber(s):					
	[] Th	is document is l	peing filed toge	ether with a new	w applicati	on which v	vas executed		
	on	ı							
	[x]Pate	ent Application	No.(s) 09/214	.539					
	[] Pat	tent No.(s)							<u>.</u>
5.	Addres	s all future com	munications to) :					
				ORGAN & FIN 345 Park New York, Ne	Avenue				
6.	Total n	umber of applic	ations and pate	ents involved:	or	<u>1e</u>			 .
7.	Total fee (37 CFR §3.41): \$40.00 property x1 property(ies) = \$40.00					·			
	[x]	A check in th	e amount of \$	40.00	to	cover the	recordation fe	e is enclosed.	
	[]	Charge fee to	Deposit Accor	unt No. 13-450	0. Order l	No	 -		
	[X]		sioner is hereby or credit any ov						
8.		ne best of my kr			going infor	mation is t	rue and correc	ct and any atta	ched
					Respe	ectfully sub	mitted,		
					MOR	GAN & FI	NNEGAN, L.	.L.P.	
Date	ed: <u>Sep</u>	tember 29 , 19	<u>999</u>		By: I	keph A. C	Calvaruso_ No28,287	Janua	
COI	RRESPO	NDENCE ADD	RESS:	Total number	of pages i	including th	ne recordation	cover sheet _	4
	RGAN & Park Ave	FINNEGAN, I	L.L.P.						
				-1	2-				
4937	07_1								

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:				
Roland HAUSSMANN, Rulander Weg 28, D-69168 Wiesloch, Germany				
(full name(s) and post office address(s) of inventor(s) (including country))				
(hereinafter referred to as ASSIGNOR(S)), has made a discovery or invention entitled:				
HARD-SOLDERED FLAT TUBE EVAPORATOR WITH A DUAL FLOW AND ONE ROW IN THE AIR FLOW DIRECTION FOR A MOTOR VEHICLE AIR CONDITIONING SYSTEM				
(title of discovery or invention)				
[] for which application for Letters Patent of the United States has been executed on even date herewith,				
[] for which application for Letters Patent of the United States has been filed on, under Serial No, and				
WHEREAS:				
Valeo Klimatechnik GmbH & Co. KG, Talhausstrasse 16, D-68766 Hockenheim, Germany				
(name and address of assignee)				
(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.				
NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:				
Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR(S) of the sum of				
I, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and				

438196_1

behalf of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

I, SAID, ASSIGNOR(S), hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND I, SAID ASSIGNOR(S) hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to me respecting said discover or invention, and execute and deliver and all lawful papers that may be necessary or desireable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that it reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discover in all countries, and without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

Assignor's signatur		ie/	Miller !		
	First Name	/ M	iddle initial	Last name	
Citizenship: Gerr	nan				
IN WITNESS WHI	EREOF, I have he	reunto set my hanc	and affixed my seal	this 22 day of December,	
STATE OF) ss.:				
COUNTY OF)				
On this day	of	, 19, before r	ne, the undersigned a	authority, personally appeared to me	
known and known who duly acknowle purposed therein sp	edged to me that he	lividual who is des e executed the sam	cribed in and who ex e as his own volunta	ry act and deed for the uses and	
Notary Public					



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SEPTEMBER 20, 1999

PTAS

1011077013

MORGAN & FINNEGAN, L.L.P. JOSEPH A. CALVARUSO 345 PARK AVENUE NEW YORK, NY 10154

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED CN THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/06/1999

REEL/FRAME: 010098/0108

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR''S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HAUSSMANN, ROY

DOC DATE: 12/22/1998

ASSIGNEE:

VALEO KLIMATECHNIK GMBH & CO., KG TALHAUSSTRASSE 16

HOCKENHEIM, FED REP GERMANY D-68766

SERIAL NUMBER: 09214539

PATENT NUMBER:

FILING DATE: 02/09/1999

ISSUE DATE:

SHARON LATIMER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

40 1/4/99

300 Rec' (1977) 06 JAN 1999 Docket No. 3627-4004

07-21-1999

Applicant(s)

Roland HAUSSMANN

101107701

Serial No.

.

Group Art Unit:

Filed

.

Examiner:

For

HARD-SOLDERED FLAT TUBE EVAPORATOR WITH A DUAL FLOW AND ONE

ROW IN THE AIR FLOW DIRECTION FOR A MOTOR VEHICLE AIR

CONDITIONING SYSTEM

RECORDATION FORM COVER SHEET PURSUANT TO 37 C.F.R. § 3.31

Hon. Commissioner of Patents and Trademarks BOX ASSIGNMENTS Washington, D.C. 20231

Sir

Please record the attached original documents or copy thereof.

Additional names and addresses attached.

Name of conveying party/parties:

1.

Roy HAUSSMANN

2. Name and address of receiving party/parties:

Name Valeo Klimatechnik GmbH & Co., KG

Street Address: Talhausstrasse 16

City Hockenheim Country Germany ZIP D-68766

Name

Internal Address:

Street Address:

City State ZIP

441520 1

[]

3.	Name of conveyance:	[] Change of Name [] Other
		Execution Date: _December 22, 1998
4.	Application Number(s)	or Patent Number(s):
	[x] This document is b	being filed together with a new application which was executed
	on 22 December 1	998
	[]Patent Application N	o.(s)
	[] Patent No.(s)	
5.	Address all future com	munications to:
		MORGAN & FINNEGAN, L.L.P. 345 Park Avenue
		New York, New York 10154
6.	Total number of applic	ations and patents involved: one
7.	Total fee (37 CFR §3.4	1): \$40.00 property x <u>1</u> property(ies) = \$ <u>40.00</u> .
	[x] A check in the	e amount of \$ 40.00 to cover the recordation fee is enclosed.
	[] Charge fee to	Deposit Account No. 13-4500. Order No
		ioner is hereby authorized to charge any additional fees which may be required for this r credit any overpayment to Deposit Account No. 13-4500. Order No. 3627-4004
8.		owledge and belief, the foregoing information is true and correct and any attached f the original document.
		Respectfully submitted,
		MORGAN & FINNEGAN, L.L.P.
Date	d: January 6 , 1999	By: Joseph A. Galvaruso Registration No. 28,287
COR	RESPONDENCE ADDI	RESS: Total number of pages including the recordation cover sheet4
345 I New (212	RGAN & FINNEGAN, L Park Avenue York, New York 10154) 758-4800) 751-6849 Facsimile	L.P.
		-2-
44152	20_1	

RECORDED: 10/06/1999