

MRP
10.6.99

10-12-1999



101166777

Docket No. 3627-4004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCT 6

Applicant(s) : Roland HAUSSMANN

Serial No. : 09/214,539

Group Art Unit: 3744

Filed : February 9, 1999

Examiner:

For : HARD-SOLDERED FLAT TUBE EVAPORATOR WITH A FLOW AND ONE ROW IN THE AIR FLOW DIRECTION FOR A MOTOR VEHICLE AIR CONDITIONING SYSTEM

CORRECTIVE RECORDATION FORM COVER SHEET PURSUANT TO 37 C.F.R. § 3.31

Hon. Commissioner of Patents and Trademarks
BOX ASSIGNMENTS
Washington, D.C. 20231

Sir:

Please record the attached original documents or copy thereof.

Name of conveying party/parties:

1.

Roland HAUSSMANN

2. Name and address of receiving party/parties:

Name Valeo Klimatechnik GmbH & Co., KG

Street Address: Talhausstrasse 16

City Hockenheim Country Germany ZIP D-68766

Name _____

Internal Address: _____

Street Address: _____

City _____ State _____ ZIP _____

493707_1

(10/07/1999 MTHAI1 00000246 09214539)
01 FC:581 40.00 DP

Additional names and addresses attached.

3. Name of conveyance: Assignment Merger Security Agreement
 Change of Name
 Other Assignor Name Correction from "Roy Haussmann"
(incorrect) to Roland Haussmann (correct)
Execution Date: December 22, 1998

4. Application Number(s) or Patent Number(s):

This document is being filed together with a new application which was executed
on _____.

Patent Application No.(s) 09/214,539

Patent No.(s) _____

5. Address all future communications to:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154

6. Total number of applications and patents involved: one

7. Total fee (37 CFR §3.41): \$40.00 property x 1 property(ies) = \$ 40.00

A check in the amount of \$ 40.00 to cover the recordation fee is enclosed.

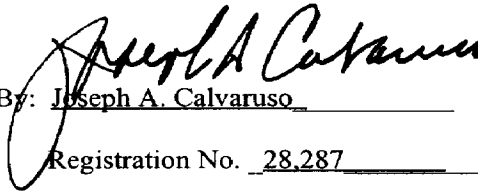
Charge fee to Deposit Account No. 13-4500. Order No. _____.

The Commissioner is hereby authorized to charge any additional fees which may be required for this recordation, or credit any overpayment to Deposit Account No. 13-4500. Order No. 3627-4004

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.


By: Joseph A. Calvaruso
Registration No. 28,287

Dated: September 29, 1999

CORRESPONDENCE ADDRESS:

Total number of pages including the recordation cover sheet 4

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

Roland HAUSSMANN, Rulander Weg 28, D-69168 Wiesloch, Germany

(full name(s) and post office address(s) of inventor(s) (including country))

(hereinafter referred to as ASSIGNOR(S)), has made a discovery or invention entitled:

HARD-SOLDERED FLAT TUBE EVAPORATOR WITH A DUAL FLOW AND ONE ROW IN THE AIR FLOW DIRECTION FOR A MOTOR VEHICLE AIR CONDITIONING SYSTEM

(title of discovery or invention)

for which application for Letters Patent of the United States has been executed on even date herewith,

for which application for Letters Patent of the United States has been filed on _____, under Serial No. _____, and

WHEREAS:

Valeo Klimatechnik GmbH & Co. KG, Talhausstrasse 16, D-68766 Hockenheim, Germany

(name and address of assignee)

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR(S) of the sum of ten Dollar (\$ 10.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR(S) hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and



3627-4004 JTH

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEPTEMBER 20, 1999

PTAS

MORGAN & FINNEGAN, L.L.P.
JOSEPH A. CALVARUSO
345 PARK AVENUE
NEW YORK, NY 10154



101107701A

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/06/1999

REEL/FRAME: 010098/0108
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HAUSSMANN, ROY

DOC DATE: 12/22/1998

ASSIGNEE:

VALEO KLIMATECHNIK GMBH & CO., KG
TALHAUSSTRASSE 16
HOCKENHEIM, FED REP GERMANY D-68766

SERIAL NUMBER: 09214539
PATENT NUMBER:

FILING DATE: 02/09/1999
ISSUE DATE:

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

**PATENT
REEL: 010287 FRAME: 0966**

A/DS81-40

09/214539

MED
1/6/99

300 Rec'd (PCT/PTO) 06 JAN 1999
Docket No. 3627-4004

07-21-1999

IN THE UNITED STATES PATENT



101107701

Applicant(s) : Roland HAUSSMANN

Serial No. : Group Art Unit:

Filed : Examiner:

For : HARD-SOLDERED FLAT TUBE EVAPORATOR WITH A DUAL FLOW AND ONE ROW IN THE AIR FLOW DIRECTION FOR A MOTOR VEHICLE AIR CONDITIONING SYSTEM

RECORDATION FORM COVER SHEET PURSUANT TO 37 C.F.R. § 3.31

Hon. Commissioner of Patents and Trademarks
BOX ASSIGNMENTS
Washington, D.C. 20231

Sir:

Please record the attached original documents or copy thereof.

Name of conveying party/parties:

1.

Roy HAUSSMANN

2. Name and address of receiving party/parties:

Name Valeo Klimatechnik GmbH & Co., KG

Street Address: Talhausstrasse 16

City Hockenheim Country Germany ZIP D-68766

Name _____

Internal Address: _____

Street Address: _____

City _____ State _____ ZIP _____

[] Additional names and addresses attached.

441520_1

3. Name of conveyance: Assignment Merger Security Agreement
 Change of Name Other _____
Execution Date: December 22, 1998

4. Application Number(s) or Patent Number(s):

This document is being filed together with a new application which was executed
on 22 December 1998

Patent Application No.(s) _____

Patent No.(s) _____

5. Address all future communications to:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154

6. Total number of applications and patents involved: one

7. Total fee (37 CFR §3.41): \$40.00 property x 1 property(ies) = \$ 40.00

A check in the amount of \$ 40.00 to cover the recordation fee is enclosed.


Charge fee to Deposit Account No. 13-4500. Order No. _____

The Commissioner is hereby authorized to charge any additional fees which may be required for this recordation, or credit any overpayment to Deposit Account No. 13-4500. Order No. 3627-4004

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.


By: Joseph A. Calvaruso
Registration No. 28,287

Dated: January 6, 1999

CORRESPONDENCE ADDRESS: Total number of pages including the recordation cover sheet 4

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 Facsimile