NPO 19784 10-13-1999 Commerce FORM PTO-1619A Expires 06/30/99 irk Office RECEIVED OMB 0651-0027 CCT -3 1097 101167800 OCT 0 5 1989 RECORDATION FORM COVER SHEET PATENTS ONLY TO: The Commissioner atents and Trademarks: Please record the attached original document(s) or copy(ies). Submission Type **Conveyance Type** X **Assignment Security Agreement** New Resubmission (Non-Recordation) License Change of Name Document ID# **Correction of PTO Error** Other | Confirmatory License Merger Frame # Reel # U.S. Government **Corrective Document** (For Use ONLY by U.S. Government Agencies) Reel # Frame # Departmental File Secret File Mark if additional names of conveying parties attached Execution Date Conveying Party(ies) Month Day Name (line 1) California Institute of Technology 09/15/99 Name (line 2) Execution Date Month Day Year Second Party Name (line 1) Name (line 2) Receiving Party Mark if additional names of receiving parties attached If document to be recorded Name (line 1) National Aeronautics and Space Administration is an assignment and the receiving party is not Name (line 2) domiciled in the United States, an appointment of a domestic Address (line 1) "E" Street, SW., Code GP representative is attached. (Designation must be a separate document from Address (line 2) Assignment.) Address (line 3) Washington 20546 State/Country **Domestic Representative Name and Address** Enter for the first Receiving Party only. Name Address (line 1) Address (line 2) Address (line 3) Address (line 4) FOR OFFICE USE ONLY

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	NPO 19784	
FORM PTO-1619B Expires 06/30/99 OMB 0651-0027	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT
Correspondent Name and Address	S Area Code and Telephone Nu	mber 202-358-2040
Name Kathy Bayer		
Address (line 1) National Aeronaut	ics and Space Admin	
Address (line 2) 300 "E" Street, S	SW., Code GP	
Address (line 3) Washington, DC 2	20546	
Address (line 4)		
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Application Number(s) or Patent N Enter either the Patent Application Number or to Patent Application Number (s) 08744955 If this document is being filed together with a new signed by the first named executing inventor.	the Patent Number (DO NOT ENTER BOTH r	Patent Number(s)
Patent Cooperation Treaty (PCT) Enter PCT application number only if a U.S. Application Num has not been assigned.		PCT PCT
Number of Properties	e total number of properties involve	d. # 1
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Deposit Account
(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

Authorization to charge additional fees:

Yes

No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Kathy Bayer

Name of Person Signing

Signature

Date



Patent Application

License to the Government

In accordance with a contract set forth below between the Government of the United States of America, as represented by the Administrator of the National Aeronautics and Space Administration (NASA) and the below-named Contractor, the invention cited below is a SUBJECT INVENTION made in the performance of work under the contract and the __x_ Contractor has elected to retain title to the SUBJECT INVENTION; or the Contractor has elected not to retain title to the SUBJECT INVENTION; and NASA, after consultation with the Contractor, has granted the request for retention of rights by the Inventor, and employee of the Contractor, subject to the provisions of 35 U.S.C. § 202(d) and FAR 52.227-11. Patent Rights-Retention by the Contractor, but subject to a license to the Government, as described below.

NOW THEREFORE, pursuant to the terms and conditions of the contract, the Contractor/Inventor does hereby grant to the Government of the United States a non-exclusive, non-transferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States this SUBJECT INVENTION and any patents which may issue thereon throughout the world. At this time at least the following U.S. patent application has been filed on the SUBJECT INVENTION.

Title: Successive Approximation Analog-To-Digital Converter Using Balanced Charge Integrating Amplifiers

Patent Application Serial No.:

08/744,955 Filed: 11/7/96

Patent No.: 5,793,322 Issue Date: 8/11/98

Contractor: California Institute of Technology

Contract No.: NAS7-1407 Contractor Case No.: CIT-2414 NASA Case No.: NPO-19784

Inventor(s): Eric R. Fossum, Zhimin Zhou and Bedabrata Pain

The Contractor/Inventor agrees to conclude, within the specification of any Unites States patent applications and any patents issuing thereon covering this SUBJECT INVENTION, the following statement as in FAR 52.227-11(f)(4):

"This invention was made with Government support under contract NAS7-1407 awarded by NASA. The Government has certain rights in this invention"

The Contractor/Inventor further agrees to notify the NASA of any decision not to continue prosecution of the application(s), pay maintenance fees, or defend in a reexamination or opposition proceeding on a patent, in any country, not less than thirty days before the expiration period required by the U.S. Patent and Trademark Office.

Title to this Invention is subject to March-In-Rights by the NASA as stated in 35 U.S.C. § 203 and FAR 52.227-11(j).

The Contractor/Inventor shall furnish a copy of the patent application cited above and a listing of each country in which applications have been and are filed. Whenever a patent issues on the SUBJECT INVENTION, the patent number, the issue date, and the country shall be made known to the Government as soon as it is available to the Contractor/Inventor.

Adam Cochran

The Intellectual Property Counsel

(Typed name and title of Corporate Officer)

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gnature and date)

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