

10-13-1999



ILY

AP99-001C

To the Honorable Commissioner of

101172578

...are attached original documents or copy thereof.

1. Name of conveying party(ies):

PETER LEE

10/7/99

2. Name and address of receiving party(ies):

Name: Aplus Flash Technology, Inc.

Internal Address:

Street Address:

3777 Stevens Creek Boulevard

City: Santa Clara

State: California

Zip: 95051

Country: U.S.A.

Additional name(s) or conveying party(ies) attached?  Yes  No

3. Nature of conveyance

Assignment  Merger

Security Agreement  Change of Name

other \_\_\_\_\_

Execution Date: 7/9/99

Additional name(s) & address(es) attached? Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s)

B. Patent No.(s)

09/351,740

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence patents involved: 1

concerning document should be mailed:

Name: GEORGE O. SAILE

Internal Address: \_\_\_\_\_

Street Address: 20 MCINTOSH DRIVE

City: POUGHKEEPSIE State: NY ZIP: 12603

6. Total no. of applications and

7. Total fee (37 CFR 3.41): \$ 40.00

Enclosed

Authorized to be charged to deposit acct.

8. Deposit account number: 19-0033

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document

GEORGE O. SAILE

Name of Person Signing

George O. Saile

Signature

Oct. 5, 1999

Date

10/12/1999 DMGUYEN 00000198 190033 09351740

01 FC:581 40.00 CH

For  U.S. and/or  Foreign Rights

For  Application or  U.S. Patent

By  Inventor(s) or  Present Owner

### ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

#### ASSIGNOR:

PETER W. LEE  
Type or print name of ASSIGNOR  
13990 PIKE RD.  
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Nationality

FU-CHANG HSU  
Type or print name of ASSIGNOR  
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#### ASSIGNEE

**Aplus Flash Technology Inc.**  
3777 Stevens Creek Boulevard  
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Nationality

Hsing-Yu Tsao  
Type or print name of ASSIGNOR  
131 Saratoga Ave. #3319  
Address  
Santa Clara, CA 95051  
Taiwan.  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- the entire right, title and interest  
 an undivided \_\_\_\_\_ percent (\_\_\_%) interest for the United States and its territorial possessions  
(check the following box if foreign rights are also to be assigned)  
 and in all foreign countries

in and to any and all improvements which are disclosed in the invention entitled:

**A Reversed Split-Gate Cell Array**

and which is found in

- (a)  U.S. patent application executed on even date herewith
- (b)  U.S. patent application executed on \_\_\_\_\_
- (c)  U.S. application serial no. \_\_\_\_\_ filed on \_\_\_\_\_
- (d)  U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
*(also check (a) if foreign application(s) is also being assigned)*
- (e)  and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute, thereof, and as to letters patent any re-issue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I / We have signed this

July 9, 1999 (Date of signing)

WARNING Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Peter W. Co  
 Signature of ASSIGNOR(s)

King-Ye Tsai

If ASSIGNOR is a legal entity complete the following information

\_\_\_\_\_  
Type or print the name of the above person authorized to sign on behalf of ASSIGNOR

\_\_\_\_\_  
Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261). Use next page if notarization is desired.

\_\_\_\_\_  
Notarization or Legalization Page Added