

To the Honorable Commission

10-13-1999



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COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

CS/K-21387/A/CIP

Attached original documents or copy thereof.

1. Name of conveying party(ies):

1. **Christoph Rickert**
2. **Hugh Stephen Laver**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Name of receiving party(ies)

Name: **Ciba Specialty Chemicals Corp.**

Internal Address: **P.O. Box 2005**

Street Address: **540 White Plains Road**

City: **Tarrytown** State: **NY** ZIP: **10591**

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

**Execution Date: 1. April 13, 1999 and
2. April 19, 1999**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or Patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) **09/298,573**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **JoAnn Villamizar**
Ciba Specialty Chemicals Corp.
Internal Address: **Patent Department**
P.O. Box 2005
Street Address: **540 White Plains Road**
City: **Tarrytown** State: **NY** ZIP: **10591**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41)..... **\$40.00**

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

03-1935

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David R. Crichton

David R. Crichton

SEP 29 1999

Name of Person Signing
Reg. No. **37,300**

Signature

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to: **3**
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

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12/1999 DNGUYEN 00000213 031935 09298573
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PATENT
REEL: 010294 FRAME: 0377

ASSIGNMENT

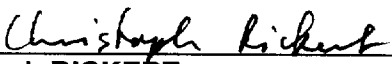
I / We **Christoph RICKERT of 4153 Reinach, Switzerland**
Hugh Stephen LAVER of 4153 Reinach, Switzerland

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to ***Ciba Specialty Chemicals Corporation, a Delaware corporation, of 540 White Plains Road, Tarrytown, NY 10591, USA***, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

Polyglycidyl compounds

invented by me/us and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said ***Ciba Specialty Chemicals Corporation***, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said ***Ciba Specialty Chemicals Corporation***.



Christoph RICKERT

13.4.99

Date



Hugh Stephen LAVER

19.4.99
