

10-14-1999

Atty Docket No.: 6388-0423

FORM PTO-1099  
1-31-92

101170839

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

128

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Lien BUI-BERTRAND and Marie-Laure CARREL

## 2. Name and address of receiving party(ies):

Name: L'OREAL

Address: 14, rue Royale 75008 Paris, FRANCE

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of Conveyance:

- ☒ Assignment      ☐ Merger  
☐ Security Agreement      ☐ Change of Name  
☐ Other

Execution Date: August 25, 1999

Additional name(s) and address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/358,255

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

OBLON, SPIVAK, McCLELLAND, MAIER &  
 NEUSTADT, P.C.  
 Attorneys at Law  
 Fourth Floor  
 1755 Jefferson Davis Highway  
 Arlington, Virginia 22202

## 6. Total applications and patents involved: 1

## 7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit account number: 15-0030

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James J. Kelly, Ph.D.

Name of Person Signing

Registration Number: 41,504

Signature

Date

Total number of pages including this cover sheet: 3

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
 Box Assignments  
 Washington, D.C. 20231

10/13/1999 NTHAI1 00000214 09358253

01 FC:581

40.00 DP

PATENT  
 REEL: 010297 FRAME: 0113

**Assignment Of Application**

Page 1 of 2

INSERT NAME  
AND RESIDENCE  
ADDRESS OF  
THE INVENTOR:

WHEREAS, I (WE) Lien BUI-BERTRAND of 1, Rue Romain GRIMOUX  
91600 Savigny Sur Orge, FRANCE

Marie-Laure CARREL of 15, Rue Labrouste  
75015 Paris, FRANCE

\_\_\_\_\_, respectively,

INSERT TITLE  
OF INVENTION:

have invented certain new and useful improvements in: SINGLE-PHASE TRANSPARENT  
AQUEOUS COSMETIC COMPOSITION

INSERT DATE IN-  
VENTORS SIGNED  
DECLARATION:

for which an application for Letters Patent was executed on August 25, 1999

(Application No. 09/358,255, filed July 20, 1999), and

INSERT NAME  
AND ADDRESS OF  
COMPANY OR  
OTHER ASSIGNEE:

WHEREAS, L'ORÉAL

(hereinafter referred to as "ASSIGNEE") having a place of business at: 14, rue Royale  
75008 Paris, FRANCE

is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

1/06

**PATENT**  
**REEL: 010297 FRAME: 0114**

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of Oblon, Spivak, McClelland, Maier & Neustadt, P.C. of Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: <u>August, 25, 1999</u>	<u>Lien BUI-BERTRAND</u> (Signature of Inventor) Lien BUI-BERTRAND
Date: <u>August, 25, 1999</u>	<u>Marie-Laure CARREL</u> (Signature of Inventor) Marie-Laure CARREL
Date: _____	_____ (Signature of Inventor)
Date: _____	_____ (Signature of Inventor)
Date: _____	_____ (Signature of Inventor)
Date: _____	_____ (Signature of Inventor)
Date: _____	_____ (Signature of Inventor)
Date: _____	_____ (Signature of Inventor)

**OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.**  
ATTORNEYS AT LAW  
FOURTH FLOOR  
1755 JEFFERSON DAVIS HIGHWAY  
ARLINGTON, VIRGINIA 22202

1/96

RECORDED: 10/12/1999

PATENT  
REEL: 010297 FRAME: 0115