

10-14-1999

PATENT



101171514

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Submission Type

- ☒ New
☐ Resubmission (Non- Recordation)
Document ID # _____
☐ Correction of PTO Error
Reel # _____ Frame # _____
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Reel # _____ Frame # _____

Conveyance Type

- ☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other _____

U.S. Government

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☐ Departmental File ☐ Secret File

Attorney Docket No: _____

Conveying Party (ies)

☐ Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (1st party) Sergey I. Kovalev

05/20/97

Name (2nd party) _____

Name (3rd party) _____

Name (4th party) _____

Receiving Party

☐ Mark if additional names of receiving parties attached

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) JOINT VENTURE

Name (line 2) _____

Address (line 1) 47338 Fremont Blvd.

Address (line 2) _____

Address (line 3) _____

Fremont

California

94538

City

State/Country

Zip Code

Domestic Representative Name and Address

(Complete only if receiving party is not domiciled in the United States)

Enter for the first Receiving Party only.

Name _____

Address (line 1) _____

Address (line 2) _____

Address (line 3) _____

Address (line 4) _____

10/13/1999 MTHA11 00000191 08866193

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01 FC:581

40.00 OP

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT

REEL: 010298 FRAME: 0770

Correspondent Name and Address

Area Code and Telephone Number (408) 720-8598

Name BLAKELY SOKOLOFF TAYLOR & ZAFMAN

Address (line 1) Daniel M. De Vos

Address (line 2) 12400 Wilshire Blvd.

Address (line 3) Seventh Floor

Address (line 4) Los Angeles, California 90025

Pages Enter the total number of pages of the attached conveyance document. # 2

Application Number (s) or Patent Number (s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number (s)

Patent Number (s)

(08/866,193) _____

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a
U.S. Application Number has not been
assigned.

PCT _____ PCT _____ PCT _____
PCT _____ PCT _____ PCT _____

Number of Properties

Enter the total number of properties involved. # 1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 02-2666

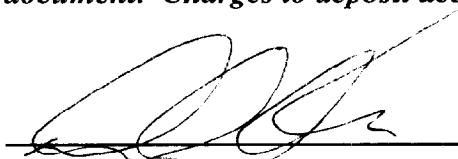
Authorization to charge additional fees: Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Daniel M. De Vos

37,813



10/5/99

Name of Person Signing

Registration No.

Signature

Date

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

No.: 76580.P007 (For Execution After Filing Patent Application)

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I,
the undersigned, Sergey I. Kovalev,
hereby sell, assign, and transfer to a JOINT VENTURE ("Assignee"), 50% owned by Alaris Incorporated, having a principal place of business at 47338 Fremont Blvd., Fremont, California 94538, and 50% owned by GT Technology, Inc., having a principal place of business at 12306 Brookglen Drive, Saratoga, California 95070, the JOINT VENTURE, having a principal place of business at 47338 Fremont Blvd., Fremont, California 94538,
and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements that are disclosed in the application for the United States patent that was filed _____, 19____ and assigned Application No. _____ and is entitled


Method and Apparatus for Compression and Decompression of Still and Motion Video Data Based on Adaptive Pixel-by-Pixel Processing and Adaptive Variable Length Coding.

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights

and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: May 20, 1987 
Name: Sergey I. Kovalev

Date: _____, 19____
Name: _____

Date: _____, 19____
Name: _____

Date: _____, 19____
Name: _____

Date: _____, 19____
Name: _____

Date: _____, 19____
Name: _____

FEE TRANSMITTAL FOR FY 1999**TOTAL AMOUNT OF PAYMENT (\$)** \$40.00**Complete if Known:**

Application No. 08/866,193
 Filing Date May 30, 1997
 First Named Inventor Sergey I. Kovalev
 Group Art Unit 2713
 Examiner Name Le, V.
 Attorney Docket No. 076580.P007

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number _____
 Deposit Account Name _____

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check
 _____ Money Order
 _____ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	760	201	380	Utility application filing fee	<u>0.00</u>
106	310	206	155	Design application filing fee	_____
107	480	207	240	Plant filing fee	_____
108	760	208	380	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____
SUBTOTAL (1)					<u>\$ 0.00</u>

2. EXTRA CLAIM FEES

Extra Claims			Fee from below	Fee Paid
Total Claims	_____	- 20** = _____	X 18.00	= 0.00
Independent	_____	- 3** = _____	X 78.00	= 0.00
Multiple Dependent	_____			= _____

**Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	_____
102	78	202	39	Independent claims in excess of 3	_____
104	260	204	130	Multiple dependent claim, if not paid	_____
109	78	209	39	**Reissue independent claims over original patent	_____
110	18	210	9	**Reissue claims in excess of 20 and over original patent	_____
SUBTOTAL (2)					<u>\$0.00</u>

06/08/99

- 1 -

PTO/SB/17 (12-98)

Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.

See Forms PTO/SB/09-12

PATENT
REEL: 010298 FRAME: 0774

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	380	216	190	Extension for response within second month	
117	870	217	435	Extension for response within third month	
118	1,360	218	680	Extension for response within fourth month	
128	1,850	228	925	Extension for response within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,210	241	605	Petition to revive unintentionally abandoned application	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	760	246	380	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (see 37 CFR 1.129(a))	
Other fee (specify) _____					
Other fee (specify) _____					

SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Daniel M. De VosSignature Date 10/5/99Reg. Number 37,813Deposit Account User ID 02-2666

(complete if applicable)

FEE TRANSMITTAL FOR FY 1999**TOTAL AMOUNT OF PAYMENT (\$)** \$40.00**Complete if Known:**

Application No. 08/866,193
Filing Date May 30, 1997
First Named Inventor Sergey I. Kovalev
Group Art Unit 2713
Examiner Name Le, V.
Attorney Docket No. 076580.P007

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☐ Money Order
☐ Other

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<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>		
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>		
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SUBTOTAL (1)					\$ <u>0.00</u>

2. EXTRA CLAIM FEES

			<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
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Independent	_____	- 3** =	_____	X <u>78.00</u>	= <u>0.00</u>
Multiple Dependent	_____			_____	= _____

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>	
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PATENT
REEL: 010298 FRAME: 0776

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

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149	760	249	380	For each additional invention to be examined (see 37 CFR 1.129(a))	
Other fee (specify) _____					
Other fee (specify) _____					

SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Daniel M. De VosSignature Date 10/5/99Reg. Number 37,813Deposit Account User ID 02-2666

(complete if applicable)