

MRD
10-13-99

ASSIGNMENT RECORDATION FORM COVER SHEET *Patents Only*

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof.

Attorney Dkt. No.: 4726-6A

1. Name of conveying party(ies):

Brian Lee Peterson

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

2. Name and address of receiving party(ies):

Litton Systems, Inc.
1201 Continental Boulevard
Charlotte, North Carolina 28273

10-15-1999



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Additional name(s) & address(es) attached? Yes ☐ No ☒

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other _____

Execution Date: September 21, 1999

4. Application No. 09/365,316, filed July 30, 1999

Patent No. _____

If this document is being filed together with a new application, the execution date of the application is: _____

Additional numbers attached? Yes ☐ No ☒

5. Name and address of party to whom correspondence concerning document should be mailed:

Guy R. Gosnell, Esq.
ALSTON & BIRD LLP
P. O. Drawer 34009
Charlotte, NC 28234-4009

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 16-0605

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Guy R. Gosnell, Esq., Reg. No. 34,610
Name of Person Signing

Signature

October 11, 1999
Date

Total number of pages including cover sheet, attachments and document: 3

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CL 101/4387097v1

40.00 DP

PATENT
REEL: 010302 FRAME: 0792

ASSIGNMENT

THIS ASSIGNMENT, made by me, **Brian Lee Peterson**, a citizen of the United States of America, residing at 10618 South Hall Drive, Charlotte, Mecklenburg County, North Carolina 28270,

WITNESSETH: That,

WHEREAS, I am the sole inventor of certain new and useful improvements in **MICROLASER-BASED ELECTRO-OPTIC SYSTEM AND ASSOCIATED FABRICATION METHOD** for which an application for United States Letters Patent has been filed in the United States Patent and Trademark Office on July 30, 1999, under Application No. 09/365,316, and

WHEREAS, **Litton Systems, Inc.**, a Delaware corporation having a principal place of business at 1201 Continental Boulevard, Charlotte, Mecklenburg County, North Carolina 28273, hereinafter referred to as assignee, is desirous of acquiring the entire right, title, and interest in and to said invention as described in said application, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I have sold and by these presents do hereby sell, assign, transfer, and convey unto the said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with the right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors, and assigns, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

I hereby request that said Letters Patent be issued in accordance with this assignment.

I further covenant and agree that, at the time of the execution and delivery of these presents, I possess full title to the invention and application above-mentioned, and that I have the unencumbered right and authority to make this assignment.

I further covenant and agree to bind my heirs, legal representatives, and assigns promptly to communicate to said assignee or its representatives any facts known to me relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives.

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 21st day of September, 1999.

Brian Lee Peterson (SEAL)
Brian Lee Peterson

STATE OF NC)
)
COUNTY OF Mecklenburg)

I, Stephan L. Futz, a Notary Public for said County and State, do hereby certify that Brian Lee Peterson personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the 21st day of Sept, 1999.

(Official Seal)

Stephan L. Futz
Notary Public

My commission expires: 10/14/00

CLT01/4376923v1