

10-18-1999

Form PTO-159 (Modified)

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Patent and Trademark Office

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10/12/99

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Igor Gonda
Hans SchreierAdditional name(s) of conveying party(ies) attached? ___ Yes X No

3. Nature of conveyance:

☒ Assignment (in counterparts)
☐ Merger ☐ Change of Name
☐ Security Agreement
☐ Other:Execution Date: September 10, 1999 and
September 22, 1999

2. Name and address of receiving party(ies):

Name: Aradigm Corporation

Internal Address:

Street Address: 26219 Eden Landing Road

City: Hayward State: California ZIP: 94545

Additional name(s) & address(es) attached? ___ Yes X No

4. Application numbers(s) or patent number(s): 09/330,903, filed June 11, 1999

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/330,903

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Karl Bozicevic
Street Address: BOZICEVIC, FIELD, & FRANCIS LLP
285 Hamilton Avenue, Suite 200
Palo Alto, California 94301Telephone: (650) 327-3400
Facsimile: (650) 327-32316. Total number of applications and patents involved: 01

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account No. 50-0815

The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 50-0815 with regards to this correspondence.

10/15/1999 MTHAI1 00000264 09330903

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01 FC-581 40.00 DP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paula A. Borden

Name of Person Signing

Signature

Oct. 7, 1999

Date

Total number of pages comprising cover sheet 03

**ASSIGNMENT OF APPLICATION
(JOINT)**

Address to:
Box Assignment
Assistant Commissioner for Patents
Washington, D.C. 20231

| | |
|------------------------|--------------------------------------|
| Attorney Docket Number | 6513-061US1 |
| First Named Inventor | Gonda, et al. |
| Application Number | 09/330,903 |
| Filing Date | June 11, 1999 |
| Title | Methods of Delivering Aerosolized... |
| Group Art Unit | N/A |
| Examiner Name | N/A |

THIS ASSIGNMENT, by Igor Gonda and Hans Schreier (hereinafter referred to as the assignors), residing at San Francisco, California and Sebastopol, Francisco, California respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in Methods of Delivering Aerosolized Polynucleotides to the Respiratory Tract

 X for which an application for a United States Patent was filed on June 11, 1999, Application No. 09/330,903.

 for which an application for a United States Patent was executed on , and

WHEREAS, Aradigm Corporation a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 26219 Eden Landing Road, Hayward, California 94545 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behoof of said assignee, its successors, legal representatives and assigns.

Date September 10, 1999 Name of Inventor

Igor Gonda

Date _____ Name of Inventor

Hans Schreier

**ASSIGNMENT OF APPLICATION
(JOINT)**

Address to:
Box Assignment
Assistant Commissioner for Patents
Washington, D.C. 20231

| | |
|------------------------|--------------------------------------|
| Attorney Docket Number | 6513-061US1 |
| First Named Inventor | Gonda, et al. |
| Application Number | 09/330,903 |
| Filing Date | June 11, 1999 |
| Title | Methods of Delivering Aerosolized... |
| Group Art Unit | N/A |
| Examiner Name | N/A |

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X for which an application for a United States Patent was filed on June 11, 1999, Application No. 09/330,903.

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NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behoof of said assignee, its successors, legal representatives and assigns.

Date _____ Name of Inventor _____

Igor Gonda

Date 9/22/99 Name of Inventor _____

Hans Schreier