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Docket No.: 3838

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Tab settings ☐ ☐ ☐ ☐

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R SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

- 1) Takeshi OGASAWARA 5) Yasuhiko ITOH  
2) Nobuyuki HIGASHIYAMA 6) Koji NISHIO  
3) Mutsumi YANO  
4) Mamoru KIMOTO

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 1)+2)+3)+5) = 09/27/99  
4)+6) = 09/28/99

## 2. Name and address of receiving party(ies)

Name: SANYO ELECTRIC CO., LTD.

Internal Address: 5-5, Keihanhondori 2-chome  
Moriguchi-city, Osaka, Japan

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 1)+2)+3)+5) = 09/27/99

A. Patent Application No.(s)

B. Patent No.(s)

(To be Assigned - Docket No.: 3838)

4)+6) = 09/28/99

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Fasse Patent Attorneys, P.A.

Internal Address: P.O. Box K

St. Albans, ME 04971

Street Address: Indian Pond Lane

St. Albans, ME 04971

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

6. Total number of applications and patents involved: 

## 7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed (ck# / 898)~~No Authorization to charge to deposit account~~~~Ex Deposit account number~~~~XXXXXXXX~~

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Walter F. Fasse  
Name of Person Signing

*Walter F. Fasse*  
Signature

Sept. 30, 1999  
Date

Total number of pages including cover sheet, attachments, and document: 

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

case: 3838/ar

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I hereby certify that this correspondence with enclosures is being deposited with the United States Postal Service "Express Mail Post Office Addressee" service under 37 CFR 1.10 on the date indicated below, addressed to Assistant Commissioner for Patents, Washington, D. C. 20231.

Date: September 30, 1999

Name: Cynthia Falk

*Cynthia Falk* Sept. 30, 99

PATENT  
REEL: 010305 FRAME: 0298

10/12/1999 FLOYD  
02 FC:581

1554 U.S. PTO  
09/410298

09/30/99

SOLE/JOINT INVENTION  
(U.S. Rights Only)

## ASSIGNMENT

WHEREAS I/We, the below named inventor(s), (hereinafter referred to as Assignor(s)), have made an invention entitled:  
**POSITIVE ACTIVE MATERIAL FOR USE IN SEALED ALKALINE STORAGE BATTERIES**  
(Title of Invention)

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for  
United States Letters Patent on \_\_\_\_\_, 19\_\_\_\_ (Serial No. \_\_\_\_\_); and

WHEREAS, SANYO ELECTRIC CO., LTD.  
(Full Name of Assignee)

a corporation of Japan  
(Country of Incorporation)

whose post office address is 5-5, Keihanhondori 2-chome, Moriguchi-city, Osaka, Japan

(hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, the  
application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from assignee is hereby  
acknowledged, I/We, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set  
over unto the assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention and  
this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted  
thereon, and all reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the  
United States to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms  
of this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with  
assignee, its successors and assigns, any facts known to me/us respecting this invention and testify in any legal proceeding,  
sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect  
the title to this invention in said assignee, its successors and assigns, execute all divisional, continuation, and reissue  
applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain  
and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to  
the execution of such papers shall be borne by the assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/We have hereunto set our hand(s).

1. FULL NAME OF SOLE OR FIRST ASSIGNOR <u>Takeshi Ogasawara</u>	ASSIGNOR'S SIGNATURE <u>Takeshi Ogasawara</u>	DATE <u>September 27, 1999</u>
ADDRESS <u>c/o SANYO ELECTRIC CO., LTD., 5-5, Keihanhondori 2-chome, Moriguchi-city, Osaka, Japan</u>	CITIZENSHIP <u>Japan</u>	
2. FULL NAME OF SECOND ASSIGNOR IF ANY <u>Nobuyuki Higashiyama</u>	ASSIGNOR'S SIGNATURE <u>Nobuyuki Higashiyama</u>	DATE <u>Sep. 29, 1999</u>
ADDRESS <u>c/o SANYO ELECTRIC CO., LTD., 5-5, Keihanhondori 2-chome, Moriguchi-city, Osaka, Japan</u>	CITIZENSHIP <u>Japan</u>	
3. FULL NAME OF THIRD ASSIGNOR IF ANY <u>Mutsumi Yano</u>	ASSIGNOR'S SIGNATURE <u>Mutsumi Yano</u>	DATE <u>Sep. 27, 1999</u>
ADDRESS <u>c/o SANYO ELECTRIC CO., LTD., 5-5, Keihanhondori 2-chome, Moriguchi-city, Osaka, Japan</u>	CITIZENSHIP <u>Japan</u>	
4. FULL NAME OF FOURTH ASSIGNOR IF ANY <u>Mamoru Kimoto</u>	ASSIGNOR'S SIGNATURE <u>Mamoru Kimoto</u>	DATE <u>Sep. 28, 1999</u>
ADDRESS <u>c/o SANYO ELECTRIC CO., LTD., 5-5, Keihanhondori 2-chome, Moriguchi-city, Osaka, Japan</u>	CITIZENSHIP <u>Japan</u>	
5. FULL NAME OF FIFTH ASSIGNOR IF ANY <u>Yasuhiko Itoh</u>	ASSIGNOR'S SIGNATURE <u>Yasuhiko Itoh</u>	DATE <u>Sep. 27, 1999</u>
ADDRESS <u>c/o SANYO ELECTRIC CO., LTD., 5-5, Keihanhondori 2-chome, Moriguchi-city, Osaka, Japan</u>	CITIZENSHIP <u>Japan</u>	
6. FULL NAME OF SIXTH ASSIGNOR IF ANY <u>Koji Nishio</u>	ASSIGNOR'S SIGNATURE <u>Koji Nishio</u>	DATE <u>September 28, 1999</u>
ADDRESS <u>c/o SANYO ELECTRIC CO., LTD., 5-5, Keihanhondori 2-chome, Moriguchi-city, Osaka, Japan</u>	CITIZENSHIP <u>Japan</u>	