

10-18-1999

1140 TMOG77

APPENDIX B



DOCKET NO. 210-174

101172816

Form PTO 1595

U.S. Department of Commerce

RECORDATION FORM COVER SHEET  
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents on hand thereof.

1. Name of conveying party(ies)

Daniel J. Dempsey and Kevin Dale Thompson

Additional name(s) of conveying parties attached ☒ No

2. Name and address of receiving party(ies):

Name: Carrier Corporation  
Address: Carrier World Headquarters Building  
One Carrier Place  
Farmington, CT 06034Additional name(s) attached? ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ OtherExecution Date: Sept. 23, 1999

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of this application is: Sept. 23, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional Numbers attached? ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Edward C. Jason

Address: WALL MARJAMA BILINSKI & BURR  
101 South Salina Street, Suite 400  
Syracuse, NY 13202

Telephone: (315) 425-9000

Facsimile: (315) 425-9114

6. Total number of application and patents involved: 1

7. Total fee (37 CFR 3.4) \$40.00

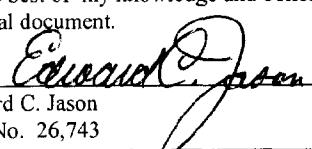
☒ Enclosed  
☒ Authorized to charge any deficiency or credit any over payment to  
Deposit Account No. 50-0289.

8. Charge Deposit account

DO NOT USE THIS SPACE

9. Statement and Signature:

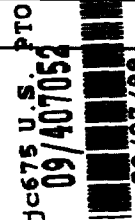
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

  
Edward C. Jason  
Reg. No. 26,743Sept. 24, 1999  
Date

OMB NO 0651-0011(EXP. 4/94)

DO NOT DETACH THIS PORTION

Mail documents to be recorded with required cover sheet information to:

COMMISSIONER OF PATENTS AND TRADEMARKS  
BOX ASSIGNMENTS  
WASHINGTON D.C. 2023109/05/1999 NPEOPLES 00000858 09/407052  
3 FC:581

40.00 OP

PATENT  
REEL: 010305 FRAME: 0383

## ASSIGNMENT

(1-8) Insert Name(s) of Inventor(s)

(1)	<u><b>Daniel Joseph Dempsey</b></u>	(5)	_____
(2)	<u><b>Kevin Dale Thompson</b></u>	(6)	_____
(3)	_____	(7)	_____
(4)	_____	(8)	_____

In consideration of the sum of dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(9) Insert name of Assignee

(9) **Carrier Corporation**

(10) Insert address of Assignee

(10) Carrier World Headquarters Building, One Carrier Place,  
Farmington, CT 06034

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

(11) Insert Identification of Invention, such as Title, Case Number or Foreign Application Number

(11) **Title - Method and Apparatus For Preventing Cold Spot Corrosion In Induced-Draft Gas-Fired Furnaces**

Case No. (210-174)

for which the undersigned have executed an application for patent in the United States of America

(12) Insert Date of Signing of Application

(12) on (See page 2 )

(13) Alternative identification

(13) **U.S. Application(s) Serial Number(s) for filed application(s) filed**

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) Thomas J. Wall, of WALL MARJAMA BILINSKI & BURR the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

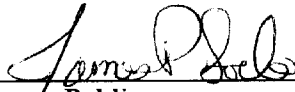
Date 9/23/99 Signature  (SEAL)  
Daniel Joseph Dempsey

Date 9/23/99 Signature  (SEAL)  
Kevin Dale Thompson

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. or (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

STATE OF Indiana }  
COUNTY OF Marion } SS:


On this 23<sup>rd</sup> day of September 1999, before me personally came Daniel Joseph Dempsey, to me known to be the person described in and who executed the within instrument, and acknowledged to me that he executed the same.

  
Notary Public

JAMES F. SODO  
NOTARY PUBLIC STATE OF INDIANA  
HENDRICKS COUNTY  
MY COMMISSION EXP. JUNE 30, 2000

STATE OF Indiana }  
COUNTY OF Marion } SS:

On this 23<sup>rd</sup> day of September 1999, before me personally came Kevin Dale Thompson, to me known to be the person described in and who executed the within instrument, and acknowledged to me that he executed the same.

  
Notary Public

JAMES F. SODO  
NOTARY PUBLIC STATE OF INDIANA  
HENDRICKS COUNTY  
MY COMMISSION EXP. JUNE 30, 2000