

10-18-1999



HEETU.S. DEPARTMENT OF

Patent and Trademark Office

101172664

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Norman Roger Lampert  
Elizabeth J. Driscoll  
John Francis May  
Jeffrey Dale Nielson  
Naif Taleb Subh

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.  
Street Address: 600 Mountain Avenue  
P.O. Box 636  
Murray Hill, NJ 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: October 5, 1999

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: October 6, 1999

A. Patent application No.(s)

B. Patent No.(s)

09/413431

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

David P. Kelley, Esq.  
Thomas, Kayden, Horstemeyer & Risley  
100 Galleria Parkway  
Suite 1500  
Atlanta, Georgia 30339

## 6. Total number of applications/patents involved: [ 1 ]

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Deposit Account Number:

12-2325  
(Attach duplicate copy of this page if paying by Deposit Account)

10/15/1999 DNGUYEN 00000135 122325 09413431

FC:581

40.00 CH

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Daniel J. Santos.

Name of Person Signing

Signature

October 6, 1999

Date

Lucent #: Driscoll 2-36-5-5-8 Total number of pages including cover sheet, attachments, and document: [ 7 ]

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments

PATENT  
REEL: 010305 FRAME: 0715

## ASSIGNMENT AND AGREEMENT

For value received, we, **Norman Roger Lampert** of Norcross in the County of Gwinnett and State of Georgia, **Elizabeth J. Driscoll** of Atlanta in the County of Dekalb and State of Georgia, **John Francis May** of Omaha in the County of Douglas and State of Nebraska, **Jeffrey Dale Nielson** of Elkhorn in the County of Douglas and State of Nebraska, and **Naif Taleb Subh** of Lawrenceville in the County of Gwinnett and the State of Georgia, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **AN OPTICAL CONNECTOR HAVING A ONE-PIECE HOUSING** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

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We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Norman Roger Lampert  
Norman Roger Lampert

Date: Oct. 5, 1999

United States of America )

State of Georgia ) ss.:

County of Gwinnett )

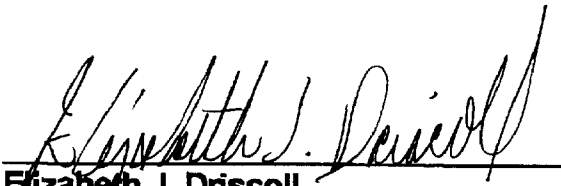
On this 5th day of October, 1999, before me personally came **Norman Roger Lampert**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Christine P. Williams  
Notary Public

Notary Public, Forsyth County, Georgia  
My Commission Expires September 28, 2001

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Elizabeth J. Driscoll

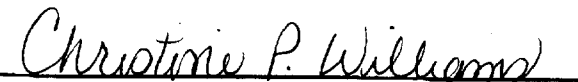
Date: 10/05/99

United States of America )

State of Georgia ) ss.:

County of Gwinnett )

On this 5th day of October, 1999, before me personally came **Elizabeth J. Driscoll**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

Notary Public, Forsyth County, Georgia  
My Commission Expires September 28, 2001

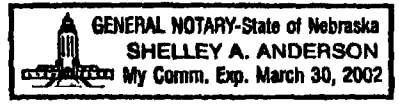
  
John Francis May

Date: 10/5/99

United States of America )

State of Nebraska ) ss.:

County of DESE )



On this 5 day of October, 1999, before me personally came **John Francis May**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

OCT. 4.1999 6:26PM THMS/KDEN/HSMYR/RSLY

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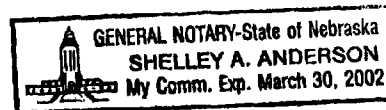
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Jeffrey Dale Nielson  
Jeffrey Dale Nielson

Date: 10/5/99

United States of America )

State of Nebraska ) ss.:

County of Douglas )

On this 5 day of October, 1999, before me personally came **Jeffrey Dale Nielson**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Shelley Anderson  
Notary Public

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Naif Taleb Subh  
Naif Taleb Subh

Date: 10/5/99

United States of America )

State of Georgia ) ss.:

County of Gwinnett )

On this 5th day of October, 1999, before me personally came **Naif Taleb Subh**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Walter DeLoach Leiger  
Notary Public

Notary Public, DeKalb County, Georgia  
My Commission Expires April 4, 2003

Lucent Technologies Inc.  
600 Mountain Avenue (Room 3C-512)  
P. O. Box 636  
Murray Hill, New Jersey 07974-0636