

10-18-1999

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Journey Docket No. 10981927

1. Name of conveying party(ies):

Yong Chen
Shih-Yuan Wang

2. Name and address of receiving party(ies):

HEWLETT-PACKARD COMPANY
Legal Department, M/S 20BN
3000 Hanover Street
Palo Alto, CA 94304Additional name(s) of conveying party(ies) attached ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Change of Name☐ Security Agreement☐ Other

Execution Date(s): February 1, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No.: 09/243,184 Date Filed: 02/02/99

Additional numbers attached? NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Records Manager
HEWLETT-PACKARD COMPANY
1501 Page Mill Road
M/S 4U-10
Palo Alto, CA 94304-11266. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41): . . . \$40.00

☐ Enclosed☒ Authorization to be charged to deposit account.8. Deposit Account Number: 08-2025

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

10/15/1999 MTHAI1 00000129 082025 09243184

01 FC:581 40.00 CH

Linda A. Iimura

Name of Person Signing

Signature

Oct 06, 1999

Date

Total number of pages including cover sheet, attachments, and document: 3

OMB No. 0651-0011 (exp. 4/94)

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When recorded please return to:

HEWLETT-PACKARD COMPANY
Legal Department, 20BN
P. O. Box 10301
Palo Alto, California 94303-0890

PATENT APPLICATION

ATTORNEY DOCKET NO. 10981927

ASSIGNMENT OF PATENT APPLICATION

I/We, the undersigned (each) have agreed and hereby agree to assign to HEWLETT-PACKARD COMPANY, a Delaware corporation having its principal place of business in Palo Alto, California, (hereinafter HP), in furtherance of my/our obligations to HP, and do hereby assign and transfer to HP, its successors and assigns, the entire right, title and interest, including the right of priority, in, to and under an application for Letters Patent of the United States entitled:

VERTICAL CAVITY SURFACE EMITTING LASER (VCSEL) USING BURIED BRAGG REFLECTORS AND METHOD FOR PRODUCING SAME

Filing date: February 2, 1999

Serial No.: 09/243,184

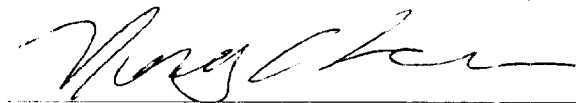
and the invention(s) and improvement(s) set forth therein, and any and all continuations, continuations-in-part (C-I-P's), divisionals, and renewals of and substitutes for said application for said Letters Patent, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; and any reissues, or reexaminations, or extensions of said Letters Patent.

I/we additionally authorize HP to file applications in my/our name for Letters Patent in any country, to be held and enjoyed by HP, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, and transfer not been made;

AND I/we hereby covenant that I/we have full right to convey the entire interest herein assigned, and that I/we have not executed and will not execute any agreement in conflict herewith, and I/we further covenant and agree that I/we will, each time a request is made, and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said invention(s) or improvement(s), said application and said Letters Patent, to HP, its successors, assigns, nominees or legal representatives, and I/we agree to communicate to HP, or to its nominee, all known facts respecting said invention(s) or improvement(s), said application and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisionals, continuations, C-I-P's, reissue and foreign applications, to make all rightful oaths and declarations, and generally to do everything possible to aid HP, its successors, assigns, nominees and legal representatives to obtain and enforce, for its or their own benefit, proper patent protection for said invention(s) or improvement(s) in any and all countries provided the expenses which may be incurred by me/us in lending such cooperation and assistance are paid by HP;

AND I/we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue to HP, as assignee of the entire right, title and interest, any and all Letters Patent for said invention(s) or improvement(s), including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this assignment.

I/we further authorize and direct HP attorneys to insert the serial number and filing date of said application now identified by the attorney docket number and title set forth above as soon as the same shall have been made known to them by the United States Patent and Trademark Office.



Inventor's Signature (Seal)

Date Assignment Signed: 2/1/99

Inventor's Typed Name: Yong Chen

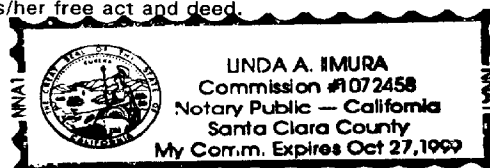
Date Application Signed: 2/1/99

State of California

County of Santa Clara

) ss.:
)

Before me this 1ST day of February, 1999, personally appeared Yong Chen who is personally known or ~~proved to me on the basis of~~ satisfactory evidence to be the person who acknowledged the foregoing instrument of assignment to be his/her free act and deed.





Notary Public

My commission expires: 10/27/99

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PATENT APPLICATION

ATTORNEY DOCKET NO. 10981927

ASSIGNMENT OF PATENT APPLICATION (cont.)

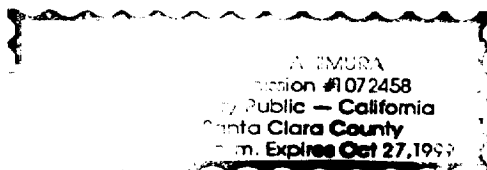
IN WITNESS WHEREOF, I we hereunto set my/our hand(s) and seal(s):

Shih-Yuan Wang Date Assignment Signed: Feb 1, 99
Inventor's Signature (Seal)

Inventor's Typed Name: Shih-Yuan Wang Date Application Signed: Feb 1, 99

State of California)
) ss.:
County of Santa Clara)

Before me this 1st day of February, 1999, personally appeared Shih-Yuan Wang who is personally known or ~~proved to me~~ on the basis of satisfactory evidence to be the person who acknowledged the foregoing instrument of assignment to be his/her free act and deed.



Linda B. [Signature]
Notary Public
My commission expires: 10/27/99

Inventor's Signature (Seal) Date Assignment Signed: _____

Inventor's Typed Name: _____ Date Application Signed: _____

State of _____)
) ss.:
County of _____)

Before me this _____ day of _____, personally appeared _____ who is personally known or proved to me on the basis of satisfactory evidence to be the person who acknowledged the foregoing instrument of assignment to be his/her free act and deed.

Notary Public
My commission expires: _____

Inventor's Signature (Seal) Date Assignment Signed: _____

Inventor's Typed Name: _____ Date Application Signed: _____

State of _____)
) ss.:
County of _____)

Before me this _____ day of _____, personally appeared _____ who is personally known or proved to me on the basis of satisfactory evidence to be the person who acknowledged the foregoing instrument of assignment to be his/her free act and deed.

Notary Public
My commission expires: _____