

01-10-2000



OFFICE

101212927

Docket No. 1701.05986

Banner & Witcoff, Ltd.
PATENT ASSIGNMENT RECORDATION FORM COVER SHEET

To the Assistant Commissioner of Patents, Box Assignments, Washington, D.C. 20231.
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Toru TANZAWA

Tomoharu TANAKA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: KABUSHIKI KAISHA TOSHIBA

Internal Address: 72 Horikawa-cho, Saiwai-ku, Kawasaki-shi, JAPAN

Street Address: 72 Horikawa-cho, Saiwai-ku, Kawasaki-shi, JAPAN

City: _____ State: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: April 11, 1997

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 11, 1997

A. Patent Application No.(s) TBA (based Japanese Pat. Appn. No. 8-098421, filed April 19, 1996; and Japanese Pat. Appn. No. 8-098852, filed April 19, 1996.

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

08/843721

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph M. Potenza, Esquire

Internal Address: Banner & Witcoff, Ltd.

Street Address: 1001 G Street, N.W., Suite 1100

City: Washington State: D.C. Zip: 20001-4597

06. Total number of applications and patents involved: one

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed (Charge or credit any difference to deposit account)☐ Authorized to be charged to deposit account

8. Deposit account number: 19-0733

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original documents.

Joseph M. Potenza

Name of Person Signing

Michael J. Potenza #34725
for JOSEPH M. Potenza
Reg. No. 28175

Date: April 17, 1997

96S0687

A S S I G N M E N T

In consideration of value received, I, having a post office address at c/o Intellectual Property Division, KABUSHIKI KAISHA TOSHIBA, 1-1 Shibaura 1-chome, Minato-ku, Tokyo 105, Japan and a residence as stated below next to my name, the sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are listed below) of an invention described in an application for United States patent entitled:

SEMICONDUCTOR MEMORY DEVICE

said application having been filed on _____
and assigned Serial No. _____;
sell and assign to
KABUSHIKI KAISHA TOSHIBA
a corporation of Japan, having a business address at
72 Horikawa-cho, Saiwai-ku, Kawasaki-shi, Japan

its successors, assigns or nominees, hereinafter referred to as "Assignee", my entire right, title and interest in and to said invention as disclosed, shown and described in said application for United States patent: (check one)

☒ executed concurrently herewith;

☐ executed separately herefrom;

☐ filed on _____ under Application No. _____

and in and to all applications for patent and patents for said invention, in all countries of the world, including all divisions, reissues, continuations, substitutions and extensions thereof and all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property, including rights of priority, resulting from the filing of any of said applications; and I authorize and request any official whose duty is to issue patents, to issue any patent on said invention or resulting therefrom to said Assignee, and I agree that on request and without further consideration, but at the expense of said Assignee, I will communicate to said Assignee or its representatives all facts known to me respecting said invention and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue, or other applications, make all rightful oaths and declarations, and generally do everything possible to aid said Assignee to obtain and enforce proper patent protection for said invention in all countries.

I hereby grant the following law firm the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the Rules of the U. S. Patent and Trademark Office for recordation of this document.

Banner & Witcoff, Ltd., 11th Floor, 1001 G Street, N.W., Washington,
D. C. 20001-4597

PATENT
REEL: 010306 FRAME: 0433

A S S I G N M E N T

96S0687

Inventor: (Signature)DateResidenceToru TanzawaAPR 11.1997Ebina-shi, Kanagawa-ken, Japan

Toru Tanzawa

Tomoharu TanakaAPR 11.1997Yokohama-shi, Japan

Tomoharu Tanaka

RECORDING OFFICER:

After recording please return to:
BANNER & WITCOFF, LTD.

1001 G STREET, N. W.
WASHINGTON, D. C. 20001-4597

RECORDED: 04/17/1999

PATENT
REEL: 010306 FRAME: 0434