

10-19-1999



101174611

*Handwritten:* 10/18/99

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

*Stamp:* 99 OCT 18 AM 10:07

**TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).**

**Submission Type**

**New**

**Resubmission (Non-Recordation)**  
Document ID#

**Correction of PTO Error**  
Reel #  Frame #

**Corrective Document**  
Reel #  Frame #

**Conveyance Type**

**Assignment**       **Security Agreement**

**License**             **Change of Name**

**Merger**                 **Other**

**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)

**Departmental File**       **Secret File**

**Conveying Party(ies)**

**Mark if additional names of conveying parties attached**      Execution Date  
Month Day Year

Name (line 1)       Execution Date  
Month Day Year

Name (line 2)

**Second Party**

Name (line 1)       Execution Date  
Month Day Year

Name (line 2)

**Receiving Party**

**Mark if additional names of receiving parties attached**

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)            

**If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)**

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**FOR OFFICE USE ONLY**

10/19/1999 KTHAI1 00000013 192385 09264794

11 FC:581 40.00 CH

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

**Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231**

**PATENT  
REEL: 010308 FRAME: 0527**

**Correspondent Name and Address**

Area Code and Telephone Number

Name  *99 OCT 18 AM 10:07*

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments. #

**Application Number(s) or Patent Number(s)**  Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**

<input type="text" value="09264794"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>
PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>

**Number of Properties** Enter the total number of properties involved. #

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed  Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

DANIEL A. DEVITO  
Name of Person Signing

*[Handwritten Signature]*  
Signature

10-6-99  
Date

212 735 3747

**ASSIGNMENT**

WHEREAS, I, Stephen Marcus Jason Hoek, hereinafter referred to as Assignor, citizen of New Zealand, residing in 13 Vienna Place, Birkenhead, Auckland, New Zealand, whose post office address is 13 Vienna Place, Birkenhead, Auckland, New Zealand, have made an invention for which I am about to make application for Letters Patent of the United States entitled "SIGNAL PROCESSING TECHNIQUES FOR TIME-SCALE AND/OR PITCH MODIFICATION OF AUDIO SIGNALS"; and Serato Audio

WHEREAS, Research Limited, a corporation organized and existing under the laws of New Zealand, and having an office for the transaction of business at 125 The Strand, Parrett, Auckland, New Zealand, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said application for Letters Patent therefor, and any Letters Patent which may be obtained thereof;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, said Assignor has sold, assigned, transferred and conveyed, and does hereby sell, assign, transfer and convey to said Assignee, its successors and assigns, the entire right, title and interest in and to the said invention for which I am about to make application for Letters Patent of the United States entitled "SIGNAL PROCESSING TECHNIQUES FOR TIME-SCALE AND/OR PITCH MODIFICATION OF AUDIO SIGNALS", executed concurrently herewith, and any and all improvements thereon, and in and to said application and any divisions, renewals, continuations or continuations-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues and extensions thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, and all extensions, renewals and reissues thereof, and in and to all income, royalties, damages and payments now or hereafter due or payable with respect to any Letters Patent which may be granted, and in and to all causes of action (either in law or in equity), and the right to sue, counterclaim, and recover for past, present and future infringement of the rights assigned or to be assigned under this

Assignment, TO HAVE AND TO HOLD THE SAME for the sole use and benefit of said Assignee, its successors and assigns, and to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND said Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said Assignee, as the assignee of the entire right, title and interest in and to the same;

AND said Assignor, for the considerations aforesaid, does hereby covenant and agree to and with said Assignee, its successors and assigns, that Assignor has the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that Assignor, his executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, power of attorney, assignments, and other documents which, in the opinion of counsel for said Assignee, its successors and assigns, may in any country be required or necessary more effectively to secure to and vest in said Assignee, its successors and assigns the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that Assignor will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

IN WITNESS WHEREOF, said Assignor has hereunto set his hand and seal on the date below written.

Date: 23/12/98

By: Stephen Marcus Jason Hoek



**Certificate of Mail Under 37 C.F.R. 1.8**

Date of Deposit: October 14, 1999

I hereby certify that the below listed papers are being deposited with sufficient postage first class mail with the United States Postal Service under 37 C.F.R. 1.8 on the date indicated above in an envelope addressed to:

Box ASSIGNMENT  
Commissioner for Patents and Trademarks  
Washington, D.C. 20231



Michael C. McGuire

Date Signed: October 14, 1999

Enclosed papers:

- (1) Recordation form cover sheet with attached agreement for Patent Application No. 09/264,794.
- (2) Return postcard.

0255742.06-New YorkS3A

**RECORDED: 10/18/1999**

**PATENT**  
**| REEL: 010308 FRAME: 0531**