

10-18-99

10-19-1999



101173411

Atty Ref/Docket No.: 103.014US1

To the Honorable Commissioner of Patents and Trademarks, please record the information on this cover sheet and return a copy thereof.

1. Name of conveying party(ies): 2. Name and address of receiving party(ies):

Dennis A. Carson, Howard B. Cottam, Souchi Adachi and  
Lorenzo M. Leoni

Name: The Regents of the University of California

Additional name(s) of conveying party(ies) attached? [ ]Yes [X]No

Street Address: 1111 Franklin Street, 12th Floor

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

City: Oakland State: CA ZIP: 94607-5200

Additional name(s) & address(es) attached? [ ]Yes [X]No

Execution Date: October 6, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

- A. Patent Application No.(s)
- B. Patent No.(s)

Serial No. 09/360.020, filed July 23, 1999

Additional numbers attached? [ ]Yes [X]No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Warren D. Woessner, Ph.D.

Address: Schwegman, Lundberg, Woessner & Kluth, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our  
Deposit account number 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Warren D. Woessner, Ph.D./Reg. No. 30.440

Name of Person Signing

*Warren D. Woessner*  
Signature

10/13<sup>th</sup>, 1999  
Date

Total number of pages including cover sheet: 7

OMB No. 0651-0011 (exp. 4/94)

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## ASSIGNMENT

WHEREAS, WE. Dennis A. Carson, residing at 14824 Vista del Oceano, Del Mar, CA 92014, and Howard B. Cottam, residing at 1890 Lendee Drive, Escondido, CA 92025, and Souchi Adachi, residing at 8223 Caminito Modena, La Jolla, CA 92037, and Lorenzo M. Leoni, residing at 3977 Camino Calma, San Diego, CA 92122, made certain new and useful inventions and improvements for which We filed an application for Letters Patent of the United States on July 23, 1999, which application was assigned U.S. patent application serial number 09/360,020, and is entitled USE OF ETODOLAC FOR THE TREATMENT OF CHRONIC LYMPHOCYTIC LEUKEMIA.


AND WHEREAS, The Regents of the University of California, a corporation organized and existing under and by virtue of the laws of the State of California, and having an office and place of business at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200. (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor:

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.


AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by us.


IN TESTIMONY WHEREOF, I have hereunto set my hand this 6 day of October, 1999.

  
\_\_\_\_\_  
Dennis A. Carson

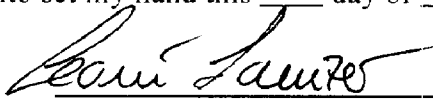
IN TESTIMONY WHEREOF, I have hereunto set my hand this 6 day of OCTOBER, 1999.

  
\_\_\_\_\_  
Howard B. Cottam

IN TESTIMONY WHEREOF, I have hereunto set my hand this 6 day of October, 1999.

  
\_\_\_\_\_  
Souchi Adachi

IN TESTIMONY WHEREOF, I have hereunto set my hand this 06 day of OCTOBER, 1999.

  
\_\_\_\_\_  
Lorenzo M. Leoni

STATE OF CALIFORNIA

COUNTY OF SAN DIEGO

Attached to Assignment UC Case 99-238-1

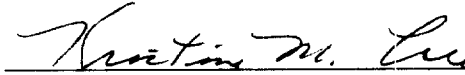
On 10/6/99 before me, the undersigned Notary Public,  
personally appeared

\_\_\_\_\_ personally known to me  
or

DENNIS A. CARSON proved to me on the basis  
of satisfactory evidence

to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument, and  
acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized  
capacity(~~ies~~) and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the  
entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and seal.



Kristine M. Cole



Notary Seal

STATE OF CALIFORNIA COUNTY OF SAN DIEGO

Attached to Assignment UC Case 99-238-1

On 10/6/99 before me, the undersigned Notary Public, personally appeared

\_\_\_\_\_ personally known to me or

HOWARD B. COYMAN proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies) and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and seal.

*Kristine M. Cole*

Kristine M. Cole



Notary Seal

STATE OF CALIFORNIA

COUNTY OF SAN DIEGO

Attached to Assignment UC Case 99-238-1

On 10/6/99 before me, the undersigned Notary Public,  
personally appeared

\_\_\_\_\_ personally known to me  
or

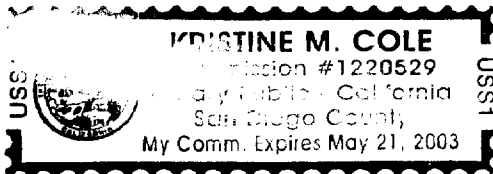
SOUCHE ADACHI proved to me on the basis  
of satisfactory evidence

to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument, and  
acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized  
capacity(ies) and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the  
entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and seal.

*Kristine M. Cole*

Kristine M. Cole



Notary Seal

STATE OF CALIFORNIA

COUNTY OF SAN DIEGO

Attached to Assignment UC Case 99-238-1

On 10/6/99 before me, the undersigned Notary Public,  
personally appeared

\_\_\_\_\_ personally known to me  
or

LORENZO M. LEONI proved to me on the basis  
of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument, and  
acknowledged to me that he/she/they executed the same in his/her/their authorized  
capacity(ies) and that by his/her/their signature(s) on the instrument the person(s), or the  
entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and seal.

Kristine M. Cole

Kristine M. Cole



Notary Seal