

urd 10/8/99

10-19-1999

Docket No.: 991349

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
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ET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101173995

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**SIDNEY DIAMOND**

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: **OCTOBER**, 1999

2. Name and address of receiving party(ies):

Name: **IMAGININGS 3, INC.**

Address: **6401 GROSS POINT ROAD**

City: **NILES**

State/Prov.: **IL**

Country: **USA**

ZIP: **60648-4507**

Additional name(s) & address(es)

☐ Yes ☒ No

10542 U.S. PTO  
29/112141  
10/08/99

29112141

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **OCTOBER**, 1999

Patent Application No.

Filing date

B. Patent No.(s)

**TO BE ASSIGNED**

**OCTOBER**, 1999

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **JOHN S. PACOCHA**

Registration No. **25197**

Address: **LAW OFFICES OF DICK AND HARRIS**

**181 W. MADISON STREET**

**SUITE 3800**

City: **CHICAGO**

State/Prov.: **IL**

Country: **USA**

ZIP: **60602**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

**04-1030**

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**JOHN S. PACOCHA**

Name of Person Signing

Signature

**OCTOBER 8, 1999**

Date

Total number of pages including cover sheet, attachments, and

2

PATENT

REEL: 010309 FRAME: 0601

# ASSIGNMENT

991349

Law Offices of Dick  
**DICK AND HARRIS**

181 West Madison  
Suite 3600  
Chicago, ILLINOIS 60602  
(312) 728-4000

FOR VALUE RECEIVED, the receipt of which is hereby acknowledged, the following inventor or inventors (hereinafter called "ASSIGNOR")

SIDNEY DIAMOND

hereby assigns, transfers and sets over to:

IMAGININGS 3, INC.

(Hereinafter called "ASSIGNEE"), the entire worldwide right, title and interest in and to the invention known as:

PACIFIER LOLLYPOP

for which a United States Patent Application was executed on October \_\_\_\_\_, 1999

and all rights and privileges under any Letters Patent which may be granted thereon, including all rights, if any, throughout the entire world to sue for all past infringements which may have occurred before the execution of this assignment.

(1) The ASSIGNOR agrees without charge to said ASSIGNEE but at its expense (a) to execute (i) all necessary papers to be used in connection with this application and any additional, continuing, or divisional applications, as the ASSIGNEE may deem necessary or expedient, (ii) all papers in connection with any interference or other legal or quasilegal proceedings relating to this application or any additional, continuing, or divisional application thereof, (iii) all papers and documents which may be necessary in connection with the preparation and filing of any foreign applications or with making claims to priority rights granted under the provisions of the International Convention for Protection of Industrial Property or similar agreements; (b) to cooperate with ASSIGNEE in every way possible in obtaining evidence and going forward in any such proceedings; and (c) to perform all other affirmative acts which may be necessary or desirable to obtain a grant of a valid patent for said invention in any country throughout the world.

(2) ASSIGNOR hereby authorizes and requests the Commissioner of Patents of the United States, and the appropriate officials in any other country, to issue any and all Letters Patent that may be granted upon any patent application or any additional, continuing or divisional applications thereof to the ASSIGNEE, its successors and assigns.

(3) ASSIGNOR hereby covenants and warrants that he has full right to convey the entire right, title and interest by this instrument, free of any encumbrances and that no other agreement has been or will be executed in conflict herewith.

(4) ASSIGNOR hereby grants to the law firm of DICK AND HARRIS of Chicago, Illinois, authority and power to insert on this instrument any further identification which may be necessary or desirable for purposes of recordation in the United States Patent Office or the Patent Office of any foreign country.

Date 10-8-99 Name of Inventor Sidney Diamond (Seal)  
Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_ (Seal)  
Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_ (Seal)  
Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_ (Seal)  
Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_ (Seal)

The execution by the inventor(s) should be witnessed by at least two witnesses who sign here. If this Assignment is signed outside the United States, it should preferably be signed before a United States Consul.



Witness [Signature]  
Witness [Signature]

RECORDED: 10/08/1999

PATENT  
REEL: 010309 FRAME: 0602