

10-19-1999

Form PTO 1595

RECO

Docket No. NUVAS1140Hand. 10/4/99

101173934

To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of Conveying party(ies):

**L.L. Houston**Additional name(s) of conveying party(ies)  
attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of name  
☐ OtherExecution Date: October 4, 1999

2. Name and address of receiving Party(ies)

Name: NuVas, LLC.

Internal Address: \_\_\_\_\_

Street Address: 11545 Sorrento Valley RoadSuite 305-BCity: San Diego State: CA Zip: 92121

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

09411067If this document is being filed together with a new application, the execution date of the application is: October 4, 1999.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence  
concerning document should be mailed:Name: Stephen E. Reiter  
GRAY CARY WARE & FREIDENRICH LLPStreet Address 4365 Executive Drive, Suite 1600City: San Diego State: CA Zip: 921216. Total number of Applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40.00☐ : Enclosed  
☒ : Authorized to charge the recordation fee or  
any underpayment to deposit account.8. ☒ Deposit account Number: 07-1895

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Stephen E. ReiterName of Person Signing  
Registration No. 31,192

Signature

10/4/99

Date

Total number of pages including cover sheet, attachments, and document: 3

09411067

071095

0000051

KHARLING

10/15/1999

04 FC:561

40.00 CH

## **ASSIGNMENT**

This Assignment is made by L.L. Houston of Del Mar, California, Assignor, to NuVas LLC, Assignee, having a place of business at 11545 Sorrento Valley Road, Suite 305-B, San Diego, California 92121.

WHEREAS, Assignor has invented a new and useful invention entitled USE OF A CONTEXT-DEPENDENT FUNCTIONAL ENTITY TO ENHANCE THE EFFICACY OF AN AGENT, for which an application for United States Letters Patent was filed on October 4, 1999 in the United States Patent and Trademark Office and assigned Application No. \_\_\_\_\_, identified as Attorney Docket No. NVAS1140;

WHEREAS, Assignor believes himself to be the original and sole inventor of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the party desires to have a recordable instrument assigning the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor sells, assigns, and transfers to Assignee, their entire right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, he and his legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said

invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Assistant Commissioner for Patents of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date provided below.

Assignor: L.L. HOUSTON

Date: October 4, 1999

L.L. Houston

STATE OF CALIFORNIA     )  
  ) ss.  
COUNTY OF SAN DIEGO     )

On October 4, 1999, before me, the undersigned Notary Public, personally appeared L.L. Houston, personally known to me to be the person whose name is subscribed to the within instrument, or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Rita H. Jennings  
Signature of Notary