

10-20-1999



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Attny Docket No. X-11629

Please record the attached original

10-15-99

To the Honorable Commissi documents or copy thereof:

1. Name of conveying party(ies):  
 John Harrison Heiligenstein

Additional name(s) of conveying party(ies) attached? ( ) Yes (x) No

2. Name & address of receiving party(ies):  
 Name: Eli Lilly and Company  
 Internal Address: Patent Division  
 Street Address: Lilly Corporate Center  
 City: Indianapolis State: IN Zip: 46285

3. Nature of conveyance:  
 Assignment ( ) Merger  
 Security Agreement ( ) Change of Name  
 Other \_\_\_\_\_  
 Execution Date:  
 September 17, 1998

Additional name(s) & address(es) attached?  
 Yes (x) No

4. Application number(s) or patent Number(s):  
 If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_  
 A. Patent Application No.(s): 09/156,289  
 B. Patent No.(s): \_\_\_\_\_  
 Additional Numbers attached ( ) Yes (X) No

5. Name and address of party to whom correspondence concerning documents should be mailed:  
 Cheryl Eyed  
 Eli Lilly and Company  
 Lilly Corporate Center  
 Indianapolis, IN 46285

6. Total number of applications and patents involved: (1)  
 7. Total fee (37 CFR \$3.41) (\$40.00 per assignment) \$40.00  
 Enclosed  
 Authorized to be charged to deposit account (along with any additional fees or the credit of any overpayment) **40E**  
 8. Deposit account number: 05-0840

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9. Statement and signature.  
 To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  
 Robert D. Titus  
 Name of Attorney Signing Reg. No. 40,206  
 Signature  
 Date 22 Sept 99

Total number of pages including cover sheet, attachments and document: (3)

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date appearing below.

ELI LILLY AND COMPANY

BY Kinda M Lumbkin DATE October 13, 1999

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

**ASSIGNMENT**

**WHEREAS I**, John Harrison Heiligenstein, Indianapolis, Indiana, Marion County have made an invention which is the subject of an application for Letters Patent of the United States ("Application") entitled **TREATMENT OF OPPOSITIONAL DEFIANT DISORDER** which has been executed by me on the 17 day of September, 1998 and

**WHEREAS ELI LILLY AND COMPANY**, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46285, wishes to acquire the entire interest in all inventions disclosed in such Application;

**NOW, THEREFORE**, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign, transfer and set over unto Eli Lilly and Company, its successors and assigns (collectively "Lilly") my entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in the United States or any foreign country, inclusive of, but not limited to, continuations, continuations-in-part, divisions, substitutions, reexaminations, reissues, international applications under the Patent Cooperation Treaty ("PCT"), United States provisional patent applications, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates, copyrights, trademarks, and data package exclusivity rights; and any and all Letters Patent of the United States and of all foreign countries and all related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by me had this Assignment and sale to Lilly not been made.

For myself and for my heirs, successors and legal representatives, I covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

For myself and for my heirs, successors and legal representatives, I further covenant and agree with Lilly that upon request I and they will, without further consideration than that now paid, but at the expense of Lilly: (i) execute original, provisional, substitute, continuation, divisional, continuation-in-part, reexamined, or reissued applications, amended specifications, or rightful declarations or oaths for such application; (ii) communicate to Lilly any facts known to me or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings, litigation discovery proceedings and depositions, oppositions, cancellation proceedings, priority contests, public use proceedings, administrative agency proceedings, litigation and other court actions and the like; (iv) execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for Lilly, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Lilly or its nominees, in the United States and in all other countries where Lilly may desire to have such inventions, or any of them, patented,

**PATENT**

| REEL: 010312 FRAME: 0292

