

10-22-1999

Docket No.: DOC.050

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



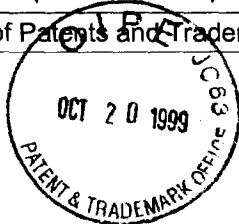
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

**Robert TE KOLSTE**  
**Alan D. KATHMAN**  
**Eric G. JOHNSON**  
**Michael R. FELDMAN**



Additional names(s) of conveying party(ies)

☐ Yes ☒ No3. Nature of conveyance: *MRD 10-20-99*☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: **October 5, 14, 14, 5, 1999**

## 2. Name and address of receiving party(ies):

Name: **Digital Optics Corporation**Address: **5900 Northwoods Business Parkway**Suite **J**City: **Charlotte**State/Prov.: **NC**Country: **U.S.A.**ZIP: **28269**

Additional name(s) &amp; address(es)

☐ Yes ☒ No

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

**09/386,280****August 31, 1999**

Additional numbers

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **SUSAN S. MORSE**Registration No. **35,292**Address: **JONES VOLENTINE, L.L.P.****12200 SUNRISE VALLEY DRIVE****SUITE 150**City: **RESTON**State/Prov.: **VA**Country: **U.S.A.**ZIP: **20191**

## 6. Total number of applications and patents involved:

**1**7. Total fee (37 CFR 3.41):.....\$ **40.00**☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

## 8. Deposit account number:

**50-0238**

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**SUSAN S. MORSE**
**OCTOBER 20, 1999**

Name of Person Signing

Signature

Date

**5**

Total number of pages including cover sheet, attachments, and

**PATENT**  
**REEL: 010316 FRAME: 0900**

**Assignment of Rights, Title and Interest in Invention**  
**(Multiple inventors; single assignee)**

Docket No.  
DOC.050

*This is an Assignment of the following rights, title and interest: (check all that apply):*

☒ *United States of America rights, title and interest in the invention*

☒ *Foreign rights, title and interest in the invention*

☒ *United States Patent Application Serial No.* 09/386,280

*Date of Execution:* \_\_\_\_\_

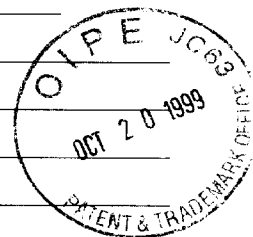
*Date of Filing:* August 31, 1999

☐ *United States Provisional Patent Application Serial No.* \_\_\_\_\_

☐ *United States Patent No(s).* \_\_\_\_\_

☐ *International (PCT) Patent Application Serial No.* \_\_\_\_\_

☐ *Other (specify)* \_\_\_\_\_



*Title of the Invention*

DIFFRACTIVE VERTICAL CAVITY SURFACE EMITTING LASER POWER MONITOR AND SYSTEM

*Inventors (assignors)*

<i>Name</i>	<i>Address</i>
Robert TE KOLSTE	2320 Belvedere Avenue Charlotte, North Carolina 28205
Alan D. KATHMAN	4700 Lone Tree Lane, Charlotte, North Carolina 28269
Eric G. JOHNSON	5721 Whitegate Lane, Charlotte, North Carolina 28269
Michael R. FELDMAN	3117 Lakewood Edge, Charlotte, North Carolina 28269

*Assignee*

<i>Name</i>	<i>Address</i>
DIGITAL OPTICS CORPORATION	5900 Northwoods Business Parkway, Suite J, Charlotte, NC 28269 U.S.A.

**Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)**

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*Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");*

*And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;*

*Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;*

*We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;*

*And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;*

*And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.*



*Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)*

Name	Signature/Date
Robert TE KOLSTE	Robert TeKolste 10/5/99
Alan D. KATHMAN	Alan D. Kathman 10/14/99
Eric G. JOHNSON	Eric G. Johnson 10/14/99
Michael R. FELDMAN	Michael R. Feldman 10/5/99

**Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)**

Docket No.  
DOC.050

**Notarization**

*(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)*

*Executed this                      day of                      , in the year*  
*at*

\_\_\_\_\_  
*(Signature of Inventor)*

*State of*

*County of*

*Before me personally appeared* Robert TE KOLSTE  
*who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to*  
*execute the same this                      day of                      , in the year*

\_\_\_\_\_  
*(Notary Public)*

*Executed this                      day of                      , in the year*  
*at*

\_\_\_\_\_  
*(Signature of Inventor)*

*State of*

*County of*

*Before me personally appeared* Alan D. KATHMAN  
*who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to*  
*execute the same this                      day of                      , in the year*

\_\_\_\_\_  
*(Notary Public)*

*Executed this                      day of                      , in the year*  
*at*

\_\_\_\_\_  
*(Signature of Inventor)*

*State of*

*County of*

*Before me personally appeared* Eric G. JOHNSON  
*who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to*  
*execute the same this                      day of                      , in the year*

\_\_\_\_\_  
*(Notary Public)*

**Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)**

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**Notarization**

*(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)*

Executed this \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_  
at \_\_\_\_\_

\_\_\_\_\_  
(Signature of Inventor)

State of \_\_\_\_\_

County of \_\_\_\_\_

Before me personally appeared Michael R. FELDMAN  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this \_\_\_\_\_ day of \_\_\_\_\_ in the year \_\_\_\_\_

\_\_\_\_\_  
(Notary Public)

Executed this \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_  
at \_\_\_\_\_

\_\_\_\_\_  
(Signature of Inventor)

State of \_\_\_\_\_

County of \_\_\_\_\_

Before me personally appeared \_\_\_\_\_  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this \_\_\_\_\_ day of \_\_\_\_\_ in the year \_\_\_\_\_

\_\_\_\_\_  
(Notary Public)

Executed this \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_  
at \_\_\_\_\_

\_\_\_\_\_  
(Signature of Inventor)

State of \_\_\_\_\_

County of \_\_\_\_\_

Before me personally appeared \_\_\_\_\_  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this \_\_\_\_\_ day of \_\_\_\_\_ in the year \_\_\_\_\_

\_\_\_\_\_  
(Notary Public)

