

10-22-1999

Docket No.: 98-2144 /41PR7577

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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P08/REV02



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/ER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

James L. Rosen

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address: _____

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance: MRD 10-14-95

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: October 13, 1999

Street Address: One River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: October 13, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Philmore H. Colburn II

Internal Address: Cantor Colburn LLP

Street Address: 88 Day Hill Road

City: Windsor State: CT ZIP: 06095

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

06-1130

10/22/1999 NTHA11 00000067 09418263

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Philmore H. Colburn II

Name of Person Signing

Signature

October 14, 1999

Date

Total number of pages including cover sheet, attachments, and document: 2

PATENT

REEL: 010318 FRAME: 0359

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which I acknowledge receipt, I, James L. Rosen, of 478 Fern Street, West Hartford, CT 06107 sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements AUXILIARY POSITION SWITCH ASSEMBLY FOR A CIRCUIT BREAKER (Docket No. 41PR-7577) invented by me, as described in the application for United States patent executed concurrently herewith, and any and all applications for patent and patents therefore in any and all countries, including all divisions, reissues, continuations and extensions there of, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting there from to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

The aforementioned Assignor, James L. Rosen, of 478 Fern Street, West Hartford, CT 06107, hereby declares that all statements made herein of my knowledge are true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signed at town of Plainville, State of Connecticut, this 13 day of October, 1999.

Witnessed by: Reverie Panio to James L. Rosen
Witness Signature & Date 10/13/99 James L. Rosen
REVERIE PANIOTO
Printed Name of Witness