

MRO 10.19.99

10-22-1999



101180854

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

TOTA et al.

Serial No.: 09/289,527

Filed: April 9, 1999

Atty. File No.: 3957-43

For: A METHOD AND APPARATUS FOR
PRESSURE WAVE SUPPRESSION
IN SMALL-CHARGE BLASTING

) Group Art Unit:

) Examiner:

RECORDATION OF ASSIGNMENT

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE OR FEE IS
BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE
AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO
ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C.
20231 ON THIS 11 DAY OF Oct, 1999
SHERIDAN ROSS P.C.

BY: Rimee Thakur

BOX ASSIGNMENTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Please record the attached original documents or copy thereof:

1. Name of conveying parties:

Edward W. Tota
Mihailo Gavrilovic
John D. Watson
Peter N. Georgiou
Jeffrey W. Branson

2. Name and address of receiving party:

RockTek Limited
Lot 333 Harries Way
Pinjarra, Western Australia 6208 Australia

3. Nature of Conveyance:

[X] ^{40.00 pp} Assignment
[] Security Agreement
[] Other: _____

10/21/1999 MTH:11 00000245 09289327
01 FC:581

[] Merger
[] Change of Name

Execution Date: counterpart

4. Application number(s) or patent number(s)

PATENT
REEL: 010318 FRAME: 0617

A. Patent Application No.(s): 09/289,527

B. Patent No.(s): None.

5. Name and address of party to whom correspondence concerning documents should be mailed:

Bradley M. Knepper
Sheridan Ross P.C.
1560 Broadway, Suite 1200
Denver, Colorado 80202-5141

6. Total number of applications and patents involved:

1.

7. Total fee (37 CFR 3.41).....\$40.00.

☒ Enclosed

☒ Any deficiency in payment of recording fee is authorized to be charged to Deposit Account.

☐ Authorized to be charged to deposit account.

8. Deposit account number: 19-1970.


9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Total number of pages including cover sheet, attachments and document: 12

Respectfully submitted,

SHERIDAN ROSS P.C.

By: 
Bradley M. Knepper
Registration No. 44,189
1560 Broadway, Suite 1200
Denver, Colorado 80202-5141
(303) 863-9700

Date: October 11, 1999

ASSIGNMENT

WHEREAS, we, Edward W. Tota of 21 Magnetic Square, Mandurah, Western Australia 6210 Australia, Mihailo Gavrilovic of 855 S. Milwaukee Street, Denver, Colorado 80209 U.S.A., John D. Watson of 34804 West Meadow Road, Evergreen, Colorado 80439 U.S.A., Peter N. Georgiou of 1 Burraneer Avenue, St. Ives, New South Wales 2075 Australia, and Jeffrey W. Branson of 4 Cathryn Street, Mandurah, Western Australia 6210 Australia, have invented a certain new and useful invention entitled A METHOD AND APPARATUS FOR PRESSURE WAVE SUPPRESSION IN SMALL-CHARGE BLASTING, for which an application for Letters Patent of the United States has been prepared and filed on April 9, 1999, receiving Serial No. 09/289,527, and further identified as Attorney File No. 3957-43;

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, RockTek Limited, corporation having a place of business at Lot 333 Harries Way, Pinjarra, Western Australia 6208 Australia, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than RockTek Limited and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to RockTek Limited, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: 3 June 1999

By: _____

Edward W. Tota

Date: _____

By: _____

Mihailo Gavrilovic

Date: _____

By: _____

John D. Watson

ASSIGNMENT

WHEREAS, we, Edward W. Tota of 21 Magnetic Square, Mandurah, Western Australia 6210 Australia, Mihailo Gavrilovic of 855 S. Milwaukee Street, Denver, Colorado 80209 U.S.A., John D. Watson of 34804 West Meadow Road, Evergreen, Colorado 80439 U.S.A., Peter N. Georgiou of 1 Burraneer Avenue, St. Ives, New South Wales 2075 Australia, and Jeffrey W. Branson of 4 Cathryn Street, Mandurah, Western Australia 6210 Australia, have invented a certain new and useful invention entitled A METHOD AND APPARATUS FOR PRESSURE WAVE SUPPRESSION IN SMALL-CHARGE BLASTING, for which an application for Letters Patent of the United States has been prepared and filed on April 9, 1999, receiving Serial No. 09/289,527, and further identified as Attorney File No. 3957-43;

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, RockTek Limited, corporation having a place of business at Lot 333 Harries Way, Pinjarra, Western Australia 6208 Australia, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than RockTek Limited and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.


The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to RockTek Limited, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: _____

By: _____
Edward W. Tota

Date: 28 Sept 1999

By: 
Mihailo Gavrilovic

Date: _____

By: _____
John D. Watson

ASSIGNMENT

WHEREAS, we, Edward W. Tota of 21 Magnetic Square, Mandurah, Western Australia 6210 Australia, Mihailo Gavrilovic of 855 S. Milwaukee Street, Denver, Colorado 80209 U.S.A., John D. Watson of 34804 West Meadow Road, Evergreen, Colorado 80439 U.S.A., Peter N. Georgiou of 1 Burraneer Avenue, St. Ives, New South Wales 2075 Australia, and Jeffrey W. Branson of 4 Cathryn Street, Mandurah, Western Australia 6210 Australia, have invented a certain new and useful invention entitled A METHOD AND APPARATUS FOR PRESSURE WAVE SUPPRESSION IN SMALL-CHARGE BLASTING, for which an application for Letters Patent of the United States has been prepared and filed on April 9, 1999, receiving Serial No. 09/289,527, and further identified as Attorney File No. 3957-43;

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, RockTek Limited, corporation having a place of business at Lot 333 Harries Way, Pinjarra, Western Australia 6208 Australia, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than RockTek Limited and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to RockTek Limited, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

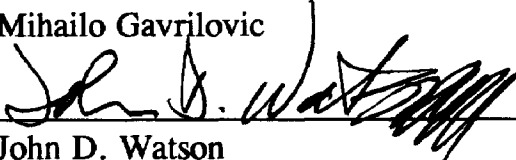
Date: _____

By: _____
Edward W. Tota

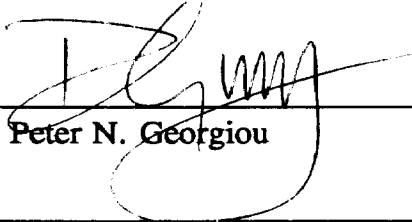
Date: _____

By: _____
Mihailo Gavrilovic

Date: June 29/99

By: 
John D. Watson

Date: 03 06 97

By: 
Peter N. Georgiou

Date: _____

By: _____
Jeffrey W. Branson

STATE OF)
) ss.
COUNTY OF)

Before me, a Notary Public in and for said County and State, personally appeared Edward W. Tota, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this _____ day of _____, 19____.

Notary Public

My Commission Expires: _____

STATE OF)
) ss.
COUNTY OF)

Before me, a Notary Public in and for said County and State, personally appeared Mihailo Gavrilovic, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this _____ day of _____, 19____.

Notary Public

My Commission Expires: _____

Date: _____

By: _____

Peter N. Georgiou

Date: _____

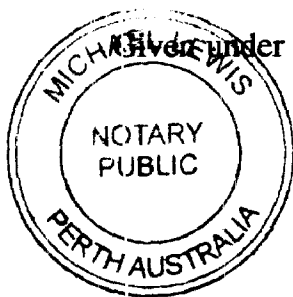
By: J. W. Branson

Jeffrey W. Branson

STATE OF Western Australia)

COUNTY OF Perth) ss.

Before me, a Notary Public in and for said County and State, personally appeared Edward W. Tota, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.



Given under my hand and seal of office this 2nd day of June, 1999.

MICHAEL LEWIS
NOTARY PUBLIC
PERTH
AUSTRALIA

Michael Lewis

Notary Public

✓ My Commission Expires: is permanent

STATE OF _____)

) ss.

COUNTY OF _____)

Before me, a Notary Public in and for said County and State, personally appeared Mihailo Gavrilovic, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this _____ day of _____, 19____.

Notary Public

My Commission Expires: _____

Date: _____

By: _____
Peter N. Georgiou

Date: _____

By: _____
Jeffrey W. Branson

STATE OF)
) ss.
COUNTY OF)

Before me, a Notary Public in and for said County and State, personally appeared Edward W. Tota, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this _____ day of _____, 19____.

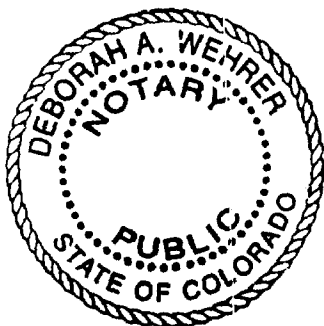
Notary Public

My Commission Expires: _____

STATE OF)
) ss.
COUNTY OF)

Before me, a Notary Public in and for said County and State, personally appeared Mihailo Gavrilovic, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 28th day of September, 1999.



Deborah A. Wehrer
Notary Public

My Commission Expires: February 18, 2000

STATE OF Colorado)
COUNTY OF Jefferson) ss.

Before me, a Notary Public in and for said County and State, personally appeared John D. Watson, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 29th day of June, 1999.

James Herrmann
Notary Public

My Commission Expires: 9/18/99

STATE OF)
COUNTY OF) ss.

Before me, a Notary Public in and for said County and State, personally appeared Peter N. Georgiou, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this _____ day of _____, 19____.

Notary Public

My Commission Expires: _____

STATE OF

)

) ss.

COUNTY OF

)

Before me, a Notary Public in and for said County and State, personally appeared John D. Watson, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this _____ day of _____, 19____.

Notary Public

My Commission Expires: _____

STATE OF *NEW SOUTH WALES*

) ss.

COUNTY OF *CORROON*

)

Before me, a Notary Public in and for said County and State, personally appeared Peter N. Georgiou, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this *2nd* day of *June*, 19*99*.

Notary Public

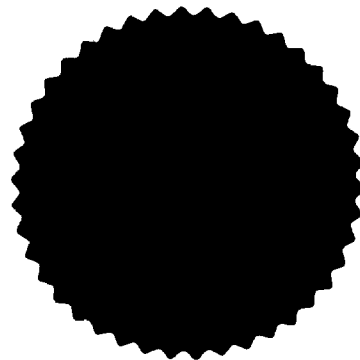
My Commission Expires: *15 Feb 2000*

WINSTON READFORD LL.B.

NOTARY PUBLIC
SOLICITOR
TAX AGENT

-3-

SHOP 14, COX'S ROAD MALL
203 COX'S ROAD
NORTH RYDE, N.S.W. 2113
TEL: 9887 2888
FAX: 9887 3936
EMAIL WINSTON.READFORD@MYPOSTBOX.COM.AU.



PATENT
REEL: 010318 FRAME: 0626

SYDNEY

NEW SOUTH WALES

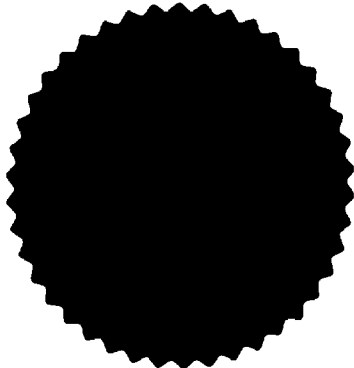
TO ALL TO WHOM THESE PRESENTS SHALL COME

I, WINSTON MAXWELL READFORD of Shop 14/203 Cox's Road, North Ryde
NOTARY PUBLIC duly authorised admitted and sworn and practising in the City of
Sydney the State of New South Wales in the Commonwealth of Australia DO
HEREBY CERTIFY that I was present on the 22nd day of June One thousand nine
hundred and ninety-nine and did see DR PETER N. GEORGIU the person named in
the ASSIGNMENT hereunto annexed duly sign in my presence the said
ASSIGNMENT and that the name or signature "DR PETER N. GEORGIU" thereto
subscribed is in the proper handwriting of the said DR PETER N. GEORGIU

IN FAITH AND TESTIMONY whereof
I have hereunto subscribed my name
and affixed my seal of office at North Ryde
this 22nd day of June in the year of our Lord
One thousand nine hundred and ninety-nine.



Notary Public.



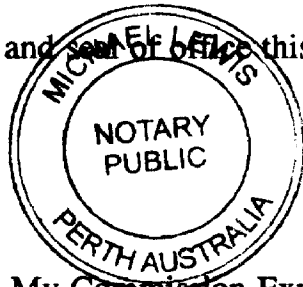
-102483-VL

STATE OF *Western Australia*)
COUNTY OF *Australia*) ss.

Before me, a Notary Public in and for said County and State, personally appeared Jeffrey W. Branson, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 3rd day of June, 1999.

MICHAEL LEWIS
NOTARY PUBLIC
PERTH
AUSTRALIA



Michael Lewis

Notary Public

My Commission Expires: is permanent