

10-26-1999



101181335

RECORDATION FORM COVER SHEET
PATENTS ONLY

10-25-99

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New

Resubmission (Non-Recordation)
Document ID#

Correction of PTO Error
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Conveyance Type

Assignment Security Agreement

License Change of Name

Merger Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

Departmental File Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (line 1) Three R Technologies, Inc.

10 12 1999

Name (line 2)

Second Party

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1) Roman, Walter C.

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1) 475 Thackery

Address (line 2)

Address (line 3) Worthington OH 43085

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

10/26/1999 MTHAI1 00000038 08401720

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PATENT
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Correspondent Name and Address Area Code and Telephone Number

Name

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Address (line 2)

Address (line 3)

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document including any attachments. #

Application Number(s) or Patent Number(s) Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)			Patent Number(s)		
<input type="text" value="08401720"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="5101977"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="08915355"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="5267823"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="5219064"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number PCT PCT

only if a U.S. Application Number PCT PCT

has not been assigned.

Number of Properties Enter the total number of properties involved. #

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed Deposit Account

Deposit Account

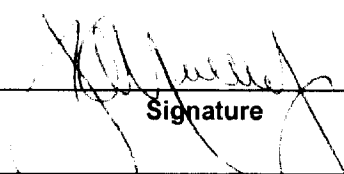
(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Jerry K. Mueller, Jr.  October 13, 1999

Name of Person Signing Signature Date

Correspondent Name and Address

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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PCT PCT PCT
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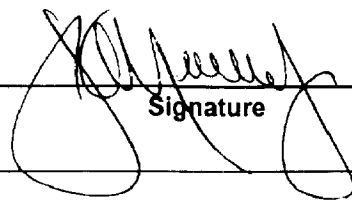
Deposit Account Number: #

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Jerry K. Mueller, Jr.
Name of Person Signing



Signature

October 13, 1999
Date

ASSIGNMENT

WHEREAS, Three R Technologies, Inc., a corporation of the State of Ohio, having an address at 7870 Olentangy River Road, Suite 311, Columbus, OH 43235 (the "Assignor"), is the sole and exclusive owner of the invention identified as:

U.S. Pat. No. 5,101,977, issued April 7, 1992, for "Solid Waste Sorting System";

U.S. Pat. No. 5,267,823, issued December 7, 1993, for "Solid Waste Sorting System";

U.S. Pat. No. 5,219,064, issued June 15, 1993, for "Solid Waste Sorting System";

U.S. Pat. Appln. Ser. No. 08/401,720, filed March 10, 1995, for "Bag Splitter and Separation Assembly"

U.S. Pat. Appln. Ser. No. 08/915,355, filed August 20, 1997, for "Bag Splitter and Separation Assembly"


WHEREAS, Walter C. Roman, an individual, residing at 475 Thackery, Worthington, OH 43085 (the "Assignee") is desirous of acquiring all of the right, title, and interest in and to the aforesaid invention and patent listed above.

NOW, THEREFORE, To All Whom It May concern, be it known that, for and in consideration of the sum of Ten Dollar (\$10.00), and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and pursuant to a Board of Directors Resolution, the Assignor has sold, assigned, and transferred, and by these presents does sell, assign, and transfer, unto said Assignee, its entire right, title and interest in and to the invention shown, described, and claimed in the aforesaid United States Patents Nos. 5,101,977, 5,267,823, and 5,219,064, and U.S. Pat. Applns. Ser. Nos. 08/401,720 and 08/915,355, and in and to any extensions, reissues, or renewals thereof.

And the Assignor further covenants and agrees that it will execute such additional and further assurance of title as may be necessary or proper to fully convey the interest herein sought to be conveyed; that the Assignor will execute such application papers as may be desired by the said Assignee for the filing of any reissues of United States Patents Nos. 5,101,977, 5,267,823, and 5,219,064, and U.S. Pat. Applns. Ser. Nos. 08/401,720 and 08/915,355.

IN TESTIMONY WHEREOF, Walter C. Roman, President of Three R Technologies, Inc. has hereunto set his hand at Columbus, Ohio this 12th day of October 1999.

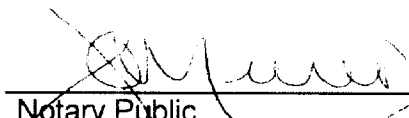
THREE R TECHNOLOGIES, INC.

By: 
Walter C. Roman, President

STATE OF OHIO)
) ss.
COUNTY OF FRANKLIN)

Personally appeared before me this day the above-named Walter C. Roman, President of Three R Technologies, Inc. to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal this 12th day of October 1999.


Notary Public
JERRY K. MUELLER, JR., Attorney-at-Law
Notary Public, State of Ohio
My Commission Has No Expiration Date
Section 147.03 R. C.

Applicant: Walter C. Roman

Serial or Patent No.: 08/401,720; 08/15,355; 5,101,977; 5,267,823; 5,219,064

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c))-SMALL BUSINESS CONCERN

I hereby declare that I am

the owner of the small business identified below:

an official of the small business concern empowered to act on behalf of the concern identified below:

an individual

Name of Individual: Walter C. Roman

Address of Concern: 475 Thackery, Worthington, OH 43085

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 12.13-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties control or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

the specification filed herewith

application serial no. 08/401,720; 08/15,355

patent no; 5,101,977; 5,267,823; 5,219,064

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 35 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). NOTE" Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

Name:

Address:

individual small business concern nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Walter C. Roman

Title of person if other than owner: Individual

Address of person signing: 475 Thackery, Worthington, OH 43085

Date _____

