

10/21/99

10-25-1999

Docket No. 59360-JPW/JML

FORM PTO-1595 (Substitute)

(Rev. 8-96)



101181082

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of P.

original documents or copy thereof.

1. Name of conveying party(ies):

Lorna W. Role
David Talmage
Jianxin Bao

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

The Trustees of Columbia University
Name: _____ in the City of New York

Internal Address: _____

Street Address: West 116 Street and Broadway

City/State/Zip: New York, New York 10027

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date(s): September 17, 1999,
September 17, 1999, September 20, 1999Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are):

A. Patent Application No(s) 09/312,596

B. Patent No(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John P. White, Esq.

Internal Address: _____

Street Address: Cooper & Dunham LLP
1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

03-3125

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John P. White
Name of Person Signing

Signature

October 18, 1999
Date

Total Number of pages including cover sheet, attachments and document 4

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231

John P. White
Registration No. 28,678

Date

OMB No. 0651-0011 (exp. 4-94)

Mail documents to be recorded with required cover sheet information to:
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Box Assignments
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10/22/1999

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PATENT

REEL: 010322 FRAME: 0316

Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, we, the undersigned,

Lorna W. Role, residing at 573 West End Avenue, Apt. 12-B, New York, New York 10024,

David Talmage, residing at 200 Haven Avenue Apt. 6-E, New York, New York 10033

Jianxin Bao, residing at 3050 Fairfield Avenue Apt. 6-C, Riverdale, New York 10463

Hereby sell, assign and transfer to The Trustees of Columbia University in the City of New York a corporation of the State of New York having a place of business at 110 Low Memorial Library, West 116th Street and Broadway, New York, New York 10027 in the County of New York and State of New York 10027. its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned on September 17, and is entitled 1999, September 17, 1999, September 20, 1999.

A-FORM OF CYTOPLASMIC DOMAIN OF nARIA (CRD-NEUREGULIN) AND USES THEREOF
U.S. Serial No. 09/312,596, Filed May 14, 1999


and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;

Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

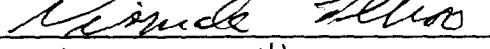
Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

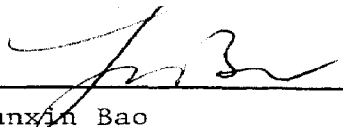
Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 17 September 1999  [L.S.]
Lorna W. Role

Witness: 
Nirjala Tilhoo
1436 Zerega Ave.
Bronx NY 10462

Date: Sept. 17 1999  [L.S.]
David Talmage

Witness: 
Nirjala Tilhoo
1436 Zerega Ave.
Bronx NY 10462


Jianxin Bao

L.S.

Date: 20 September 1999

Witness: 

Tom I. DAVIS

333 E. 53rd St 9M

New York, NY 10022