

10-25-1999



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ER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Karl-Heinz SCHUSTER 09/27/1999
Helmut BEIERL 09/25/1999

2. Name and address of receiving party(ies):

Name: **Carl-Zeiss-Stiftung trading as Carl Zeiss**

Internal Address:

Additional names(s) of conveying party(ies) Yes No

3. Nature of conveyance: **MRD 10-12-99**

- Assignment Merger
- Security Agreement Change of Name
- Other

Street Address: **D-89518 Heidenheim (Brenz)**

Germany

City: State: **N/A** ZIP:

Execution Date: **09/25/1999 & 09/27/1999**

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **09/25 & 09/27/1999**

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed

Name: **M. Robert Kestenbaum**

Internal Address:

Street Address: **11011 Bermuda Dunes NE**

City: **Albuquerque,** State: **NM** ZIP: **87111**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

8. Deposit account number:

11-0665

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

M. Robert Kestenbaum

October 12, 1999

Name of Person Signing

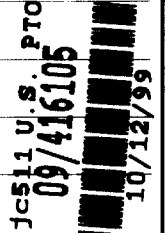
Signature

Date

Total number of pages including cover sheet, attachments, and document:

3

PATENT



ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS, the inventors identified below; (hereinafter referred to as ASSIGNORS), have invented a certain invention entitled: Micro lithographic reduction objective, projection exposure equipment and process, for which application for Letters Patent of the United States (1) was executed on September 25, 1999, or (2) was filed _____, and received US Serial number _____, and

WHEREAS, Carl-Zeiss-Stiftung, a foundation organized and existing under the laws of the Federal Republic of Germany and trading as Carl Zeiss, having its principal office at 89518 Heidenheim (Brenz), Federal Republic of Germany, (hereinafter referred to as ASSIGNEE) is desirous of acquiring the entire interest in the United States in, to and under said invention, said application and the United States Letters Patent to be obtained therefor,

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, Be it known that in consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign, and transfer and confirm the sale, assignment and transfer to ASSIGNEE of the full and exclusive right, title and interest in the United States to said invention, said application, and all Letters Patent of the United States to be obtained on said application, and any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention, said application, said Letters Patent and legal equivalents thereof, as may be known and accessible to

ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said invention, said application, said Letters Patent and said equivalents thereof, which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, we have hereunto set hand and seal on the date set forth below.

Karl-Heinz Schuster 9/27/1999
1st **Karl-Heinz SCHUSTER** Date

Rechbergstrasse 24, D-89551 Königsbrunn, Germany
1st Inventor's Residence

Helmut Beierl 9/25/99
2nd **Helmut BEIERL** Date

Robert-Kochstrasse 53, D-89522 Heidenheim, Germany
2nd Inventor's Residence