10-26-1999

101185335

PATENT

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

Practitioner's Docket No. 566.08-US1



COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION (37 CFR 3.31)

IDENTIFICATION OF APPLICATION (37 CFR 3.21 and 37 CFR 3.31(a)(4))

- 1. The patent application filed herewith and, to which the attached assignment (document) refers, is identified as follows:
 - a. Type of application: Application of patent (37 C.F.R. 1.53(b))
 - b. Date of execution: October 6, 1999
 - c. Name of each inventor:
 - 1: Leung, Roger
 - 2: Fan, Wenya
 - 3: Silkonia, John
 - 4: Wu, Hui-Jung
 - d. Title of invention: NANOPOROUS MATERIAL FABRICATED USING A

CERTIFICATION UNDER 37 C.F.R. 1.10*

(Express Mail label number is mandatory.)
(Express Mail certification is optional.)

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying New Patent Application" and the documents referred to as attached therein are being deposited with the United States Postal Service on this date, October 15, 1999 in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EL260407242US, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kristin L. Jonkman

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to

obtain a date of mailing or transmission for this correspondence.

*WARNING: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label

olaced thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an wersight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will

not be granted on petition." Notice of Oct. 24. 1996, 60 Fed. Reg. 56,439, at 56,442.

10/26/1999 DNGUYEN 00000056 09420611

(Cover Sheet for Assignment Accompanying New Patent Application—page 1 of 4)

01 FC:581

40.00 DP

NAME OF PARTY(IES) CONVEYING INTEREST (37 CFR 3.31(a)(1))

2. The parties conveying this interest are:

Name 1: Leung, Roger Name 2: Fan, Wenya Name 3: Silkonia. John Name 4: Wu, Hui-Jung

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST

(37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

AlliedSignal, Inc. 101 Columbia Road Morristown, NJ 07962-1057 U.S.A. (408) 962-2000

DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED

(37 CFR 3.31 (a)(3))

4. The accompanying document intends to accomplish: an assignment.

NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE SHOULD BE MAILED (37 CFR 3.31(a)(5))

5. Please address correspondence to:

Leslie Weise AlliedSignal, Inc. 101 Columbia Road P.O. Box 2245 Morristown, NJ 07962-1057 U.S.A. (408) 962-2000

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on October 6, 1999.

(Cover Sheet for Assignment Accompanying New Patent Application—page 2 of 4)

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

7. The attached document is in the English language.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

8. Submitted herewith is the original document.

NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET AND THE FEE

- **9.** A. This cover sheet identifies only one application.
 - B. The fee for recordal (37 CFR 1.21(h)) is \$40.00. Attached is a check for \$40.00.

STATEMENT (37 CFR 3.31(a)(9)) **AND SIGNATURE** (37 CFR 3.31(a)(10))

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: 10/18/97

Robert D. Fish

Reg. No. 33880

Tel. No.: (714) 449-2337

Crockett & Fish 1440 N. Harbor Blvd.

Suite 706

Fullerton, CA 92835 U.S.A.

(Cover Sheet for Assignment Accompanying New Patent Application—page 3 of 6)

TOTAL NUMBER OF PAGES BEING SUBMITTED



ASSIGNMENT

Assignment before issue of Letters Patent

Allied File 30-4818(4780)

WHEREAS, Roger Leung, Wenya Fan, John Silkonia, and H:ui-Jung WU

(hereinafter "Assignors") have invented certain new and useful improvements in

Nanoporous Material Fabricated Using a Dissolvable Phase

(hereinafter "invention") for which Assignor is making or has made application for LETTERS PATENT OF

THE UNITED STATES, which application has been duly executed by Assignor on

the day(s) and date(s) of these presents.

AND WHEREAS, AlliedSignal Inc., a corporation organized and existing under the laws of the State of Delaware, U.S.A., having a place of business at 101 Columbia Road, Morris Township, Morris County, New Jersey, U.S.A., hereinafter called the Assignee, is desirous of acquiring the entire right, title and interest in and to said invention within the United States of America and its territorial possessions and all foreign countries and any United States or foreign LETTERS PATENT that may be granted therefor.

NOW, THIS INDENTURE WITNESSETH, that for good and valuable considerations, the receipt whereof is hereby acknowledged. Assignor has assigned, sold and transferred, and does hereby assign, sell and transfer to the said Assignee the entire right, title and interest in and to the said invention, within the United States of America and its territorial possessions and all foreign countries and in and to any LETTERS PATENT of the United States and foreign countries, including utility models, inventor's certificates and like government grants, and all divisions, reissues, continuations and extensions thereof that may be granted therefor, and the right to apply for LETTERS PATENT in foreign countries with full benefit of such priorities as may now or hereafter be granted to Assignor by local laws or by treaty, including any international convention, for the protection of industrial property, together with the right to extend the protection of said U.S. LETTERS PATENT to the various territorial possessions now owned or which may be hereafter acquired by the United States of America, all said rights to be held and enjoyed by the Assignee for its own use and benefit, and for the use and benefit of its successors or assigns, to the full end of the term for which said LETTERS PATENT may be granted, as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment and sale had not been made. And Assignor does hereby request and authorize the Commissioner of Patents and Trademarks, U.S.A., to issue said U.S. LETTERS PATENT, when granted, in accordance with this assignment.

Assignor further covenants and agrees with the Assignee that Assignor has a full and unencumbered title to the invention hereby assigned, which title Assignor warrants unto the Assignee, and Assignor further agrees that Assignor will, without demanding any further consideration therefor, at the request but at the expense of the Assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, that may be or become necessary for obtaining, sustaining, extending, reissuing or reexamining United States and foreign LETTERS PATENT or the like for the said invention, and for maintaining and perfecting the Assignee's right to said invention and LETTERS PATENT particularly in cases of interference, conflict, opposition and litigation.

IN TESTIMONY WHEREOF, I have hereunto set my hand this	day of October , 19 39
Roger Leung	In hoknin Ferry
IN TESTIMONY WHEREOF, I have hereunto set my hand this	6 day of OCF, 19 99
14/	enter a de la companya de la company
Wenya Fan	
IN TESTIMONY WHEREOF, I have hereunto set my hand this	day of Cotober, 19 99
John Silkonia	hub Silveri

C-182 (6/87)

ASSIGNMENT									
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IN TESTIMONY WHEREOF, I have hereunto set my hand this									
Hui-Jung Wu	3								
IN TESTIMONY WHEREOF, I have hereunto set my hand this	day of	, 19							
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STATE OF (alifornia)
COUNTY OF Sarta Clara) ss.:
(the A a that a
On this day of October, 19 me before me personally appeared Roger Leung
to me known and known to me to be the individual described in and who executed the foregoing instrument,
and he /-she-/ they acknowledged to me that he /-she-/ they executed the same.
J. MORGAN Commission # 1094192 Nokary Rubiic — California
My Comm. Expres Apr 11, 2000 My commission expires: April 11, 2000 Notary Public
STATE OF California)
COUNTY OF Santa Clara) ss.:
On this 64th day of October, 19 99 before me personally appeared
Wenya Fan
to me known and known to me to be the individual described in and who executed the foregoing instrument,
and he / she / they acknowledged to me that he / she/ they executed the same. [SLAL] Notary Public — Collowide Ranka Clora County Notary Public
My commission expires: [April 11, 2000] Notary Public
STATE OF <u>California</u>)
COUNTY OF Santa Clara) ss.:
On this 6th day of 6thber, 19 99 before me personally appeared John Silkonia
to me known and known to me to be the individual described in and who executed the foregoing instrument,
and he /-she-/-they acknowledged to me that he /-she/ they executed the same.
the residence of the state of t
J. MORGAN Commission # 1094192
Notary Public — Collomia Sortia Clara County My continission expires: April 1, 7000

STATE OF	Califor	nia	_)		
COUNTY OF	Santa	Clara) ss.:)		
	On this 7	th day of	actiber	. 19 99	before me personally appeared
Hui-Jung Wu	<u> </u>			,	
to me known and	d known to me	to be the individua	al described in and v	who executed the	foregoing instrument,
and he /-she / -the	ey acknowledge	d to me that he 🕒	she/ they executed the	ne same.	
SEAL	J. MORG/ Commission # 1 Notary Public — (Santa Clara C	094192 California		(o) /-	Notary Public
M	Comm. Expires /	\pr 11,2000	My commission ex	pires: UM	il 11, 2000
STATE OF			-) -) ss.:		
COUNTY OF			_),		
	On this	day of		, 19	_ before me personally appeared
[inventor name	here]	.,			
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			My commission ex	nires:	Notary Public
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STATE OF			-)) ss.:		
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[inventor name				, , , , , , , , , , , , , , , , , , , ,	
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and he / she / th	ey acknowledge	ed to me that he /:	she/ they executed the	he same.	
[SEAL]					
					Notary Public
			My commission ex	mirae.	

RECORDED: 10/18/1999