

10-26-1999

Atty Docket No. 09076/085US1



Form PTO-1595 (Modified)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jay A. Nadel

Kiyoshi Takeyama

2. Name and address of receiving party(ies):

Name: The University of California

Internal Address: Suite 1, Box 1209

Street Address: 1294 9<sup>th</sup> Avenue

City: San Francisco State: CA ZIP: 94143-1209

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

Execution Date: September 24, 1999

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application numbers(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/375,597; Filed August 17, 1999

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Pamela Sherwood

Street Address: BOZICEVIC, FIELD, & FRANCIS LLP  
285 Hamilton Avenue, Suite 200  
Palo Alto, California 94301

Telephone: (650) 327-3400

Facsimile: (650) 327-3231

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account No. 50-0815

The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 50-0815 with regards to this correspondence.

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Pamela Sherwood, Reg. No. 36,677

Name of Person Signing

Signature

Date

10/25/1999 MTHA11 00000243 09375597

Total number of pages comprising cover sheet 2

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PATENT  
REEL: 010324 FRAME: 0531

**ASSIGNMENT OF APPLICATION  
(JOINT)**

Address to:  
Box Assignment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket Number	09076/085US1
First Named Inventor	Jay A. Nadel
Application Number	09/375,597
Filing Date	August 17, 1999
Title	<i>Preventing Airway Mucus Production..</i>
Group Art Unit	1614
Examiner Name	Unassigned

THIS ASSIGNMENT, by Jay A. Nadel and Kiyoshi Takeyama (hereinafter referred to as the assignors), residing at San Francisco, California respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in *Preventing Airway Mucus Production By Administration of EGF-R Antagonists*

  X   for which an application for a United States Patent was filed on August 17, 1999, Application No. 09/375,597.  
      for which an application for a United States Patent was executed on      , and

WHEREAS, The University of California, a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 1294 9<sup>th</sup> Avenue, Suite 1, Box 1209, San Francisco, California 94143-1209 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behoof of said assignee, its successors, legal representatives and assigns.

Date 9/24/99

Name of Inventor Jay A. Nadel  
Jay A. Nadel

Date 9/24/99

Name of Inventor Kiyoshi Takeyama  
Kiyoshi Takeyama