

10-26-1999

Attorney Docket No.: 08919/037001

RECC

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Assistant Commissioner for Patents: Please

101181253

1. Name of conveying party(ies):

Jin-Hao Liu
Kuo-Joan Cheng
Cheng-Fang Tsai
Chia-Chieh Chang

2. Name and address of receiving party(ies):

Academia Sinica
128, Sec. 2, Academia Sinica Road
Nankang, Taipei, Taiwan

Additional name(s) attached? ☐ Yes ☒ NoAdditional names/addresses attached?
☐ Yes ☒ No

525 U.S. PTO
09/419459
10/15/99

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

Execution Date: **October 4, 1999**

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is: **October 4, 1999**

A. Patent Application No.(s):

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

Y. Rocky Tsao
Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110-2804

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR 3.41): \$40

- ☒ Enclosed
☐ Authorized to charge deposit account

8. Deposit account number: **06-1050**

If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.

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9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.*

John T. Li, Reg. No. 44,210

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

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I hereby certify under 37 CFR 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office To Addressee" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kevin Connelley
PATENT

REEL: 010325 FRAME: 0874

ASSIGNMENT

For valuable consideration, we, Jin-Hao Liu, of Calgary, Canada; Kuo-Joan Cheng of Richmond, Canada; Cheng-Fang Tsai of Taipei, Taiwan; and Chia-Chieh Chang of Taipei, Taiwan; hereby assign to Academia Sinica, a Taiwanese corporation having a place of business at 128, Sec. 2, Academia Sinica Road, Nankang, Taipei, Taiwan, R.O.C., and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest, including, without limitation, the right to sue for past infringement, throughout the world in the inventions and improvements, which are subject of an application for United States Patent signed by us, entitled CELLULASE ENZYMES, filed _____, and assigned U.S. Serial Number _____, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, and design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at Taipei, Taiwan,
this 4th day of October, 1999
Jin-Hao Liu _____ L.S.

Tein-Chin Chen _____ Oct. 4. 1999
Witness Date

Han-jing Jui _____ Oct. 9. 1999
Witness Date

IN WITNESS WHEREOF, I hereto set my hand and seal at _____,
this _____ day of _____, 19____

Kuo-Joan Cheng _____ L.S.

Witness Date

Witness Date

ASSIGNMENT

For valuable consideration, we, Jin-Hao Liu, of Calgary, Canada; Kuo-Joan Cheng of Richmond, Canada; Cheng-Fang Tsai of Taipei, Taiwan; and Chia-Chieh Chang of Taipei, Taiwan; hereby assign to Academia Sinica, a Taiwanese corporation having a place of business at 128, Sec. 2, Academia Sinica Road, Nankang, Taipei, Taiwan, R.O.C., and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest, including, without limitation, the right to sue for past infringement, throughout the world in the inventions and improvements, which are subject of an application for United States Patent signed by us, entitled CELLULASE ENZYMES, filed _____, and assigned U.S. Serial Number _____, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, and design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at _____,
this _____ day of _____, 19____

Jin-Hao Liu

L.S.

Witness

Date

Witness

Date

IN WITNESS WHEREOF, I hereto set my hand and seal at Vancouver, Canada,
this 4th day of October, 1999

Kuo-Joan Cheng
Kuo-Joan Cheng

L.S.

Al Shepard
Witness

OCT 4/99
Date

Xiang Li
Witness

OCT 4/1999
Date

IN WITNESS WHEREOF, I hereto set my hand and seal at Taipei, Taiwan,
this 4th day of October, 1999
Cheng-Fang Tsai L.S.
Cheng-Fang Tsai

Tein-Chin Chen Oct. 4. 1999
Witness Date

Hung-Jay Tsai Oct. 4. 1999
Witness Date

IN WITNESS WHEREOF, I hereto set my hand and seal at Taipei, Taiwan,
this 4th day of October, 1999
Chia-Chieh Chang L.S.
Chia-Chieh Chang

Tein-Chin Chen Oct. 4. 1999
Witness Date

Suk-Am Kim Oct. 4. 1999
Witness Date