

10-26-1999

Express Mail No. EL 265 832 895 US



101185460

COVER SHEET

...LY

Attorney Docket Number

5914-081

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS

Box Assignment
Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Dah Shiarn Chiaur, Michele Pagano, and Esther
LatresAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: October 6, 1999

2. Name and address of receiving party(ies):

Name: New York UniversityAddress: 70 Washington Square South
New York, New York 10012

Country (if other than USA): _____

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/385,219

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, NY 100366. Number of applications
and patents involved: 17. Total fee (37 CFR 3.41):.....\$ _____
Please charge to the deposit account listed in
Section 8.

8. Deposit account number:

16-1150

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy
is a true copy of the original document.*

Laura A. Coruzzi

30,742

Signature

Name of Person Signing Reg. No.

October 20, 1999

Date

Total number of pages including cover sheet:

3Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 20231

PATENT NY2 - 1008233.1

REEL: 010326 FRAME: 0534

ASSIGNMENT

WHEREAS, WE, **Dah Shiarn Chiaur, Michele Pagano, and Esther Latres**, ASSIGNORS, citizens of Taiwan, Italy, and Spain, respectively, residing respectively at 16 Mott Street, 4th Floor, New York, NY 10013, One Washington Sq. Village, Apt. 3N, New York, NY 10012, and Skirball Building, NYU Medical Center, New York, NY 10016, are the inventors of the invention in **NOVEL UBIQUITIN LIGASES AS THERAPEUTIC TARGETS** for which we have executed an application for a Patent of the United States

- ☒ which is identified by Pennie & Edmonds LLP docket no. 5914-081-999
- ☒ which was filed on August 27, 1999, Application No. 09/385,219

and WHEREAS, **NEW YORK UNIVERSITY** having an address at 70 Washington Square South, New York, N.Y. 10012, ASSIGNEE is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries

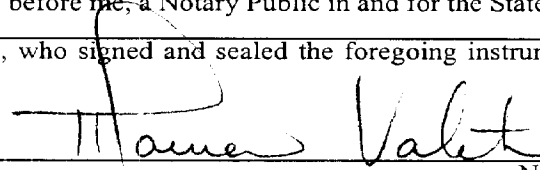
IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 10/6/99 1999


DAH SHIARN CHIAUR L.S.

State of New York }
County of New York } SS.:

On this 6th day of October, 1999, before me, a Notary Public in and for the State and County aforesaid, personally appeared Dah Shiarn Chiaur to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.


Notary Public.

MAUREEN VALENTI
Notary Public, State of New York
No. 01VA5014085
Qualified in Kings County
Commission Expires July 15, 19

2001

Date 10/6/99, 1999 *Michele Pagano* L.S.
 State of New York }
 County of New York } SS.:
 MICHELE PAGANO

On this 6 day of October, 1999, before me, a Notary Public in and for the State and County aforesaid, personally appeared Michele Pagano to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Maureen Valenti
 Notary Public.

MAUREEN VALENTI
 Notary Public, State of New York
 No. 01VA5014085
 Qualified in Kings County
 Commission Expires July 15, 192001

Date 10/6/99, 1999 *Esther Latres* L.S.
 State of New York }
 County of New York } SS.:
 ESTHER LATRES

On this 6th day of October, 1999, before me, a Notary Public in and for the State and County aforesaid, personally appeared Esther Latres to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Maureen Valenti
 Notary Public.

MAUREEN VALENTI
 Notary Public, State of New York
 No. 01VA5014085
 Qualified in Kings County
 Commission Expires July 15, 192001