

MRD
10/25/99

10-27-1999

Attorney Docket No. 02-025510US



FORM PTO-1595
(Rev. 6-93)

101183788

U.S. Department of Commerce
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Willem P. C. Stemmer, Linda A. Castle, and
Takashi Yamamoto

Additional name(s) of conveying parties attached? No

2. Name and address of receiving party(ies)

Name: Maxygen, Inc.

Internal Address:

Street Address:

515 Galveston Drive
Redwood City, CA 94063 USA

Additional names and addresses attached? No

3. Nature of conveyance:

X Assignment Merger

☐ Security Agreement ☐ Change of Name

☐ Other:

Execution Dates: 07/07/1999, 07/08/1999, 07/09/1999

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s):

B. Patent No(s):

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jonathan Alan Quine, Esq.
Law Offices of Jonathan Alan Quine
P.O. Box 458
Alameda, CA 94501
(510) 337-7871

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed X Charge Fees to Deposit Account

X Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 50-0893

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To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Jonathan A. Quine
Name of Person Signing

Jonathan Alan Quine
Signature

10-21-99
Date

Atty Reg. No. 41,261

Total number of pages including cover sheet, attachments and document 7

10. Change Correspondence Address to that of Part 5? X Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

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Attorney Docket No. 02-025510US

FORM PTO-1595
(Rev. 6-93)

101106695

U.S. Department of Commerce
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Willem P. C. Stemmer, et al.

Additional name(s) of conveying parties attached? No

3. Nature of conveyance:

7-30-99

X Assignment Merger

☐ Security Agreement ☐ Change of Name

☐ Other:

Execution Dates: 07/07/1999, 07/08/1999, 07/09/1999

2. Name and address of receiving party(ies)

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Redwood City, CA 94063 USA

Additional names and addresses attached? No

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/296,886

Additional numbers attached? No

B. Patent No(s):

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jonathan Alan Quine, Esq.
Law Offices of Jonathan Alan Quine
P.O. Box 458
Alameda, CA 94501
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Jonathan A. Quine
Name of Person Signing

Jonathan Alan Quine
Signature

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Date

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10. Change Correspondence Address to that of Part 5? X Yes ☐ No

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Washington, D.C. 20231

PATENT
REEL: 010328 FRAME: 0293

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Willem P. C. Stemmer, of 108 Kathy Court, Los Gatos, CA 95030 USA, Linda Castle of 784 Hans Avenue, Mountain View, CA 94040 USA, and Takashi Yamamoto, of 42959 Nido Court, Fremont, CA 94539 USA hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: OPTIMIZATION OF PEST RESISTANCE
GENES USING DNA SHUFFLING

Date(s) of execution of Declaration: _____

Filing Date: April 22, 1999

Application No.: 09/296,886; and

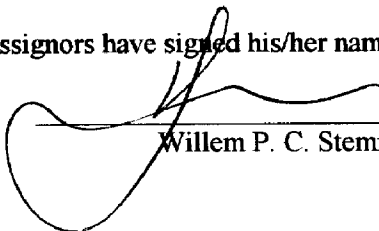
WHEREAS, Maxygen, Inc., a Delaware corporation, located at 515 Galveston Drive, Redwood City, CA 94063 USA, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors. Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

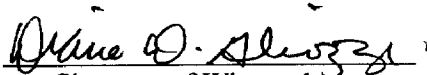
Dated: 7-9-99



Willem P. C. Stemmer


SIGNATURE WITNESSED BY:

(1) Date: 7/9/99




Signature of Witness 1

(2) Date: 7/9/99



Signature of Witness 2

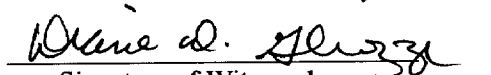
Dated: 7/8/99



Linda Castle
A.

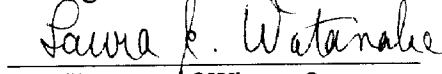
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(1) Date: 7/8/99



Signature of Witness 1

(2) Date: 7/8/99



Signature of Witness 2

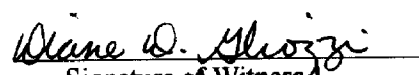
Dated: 7-7-99



Takashi Yamamoto

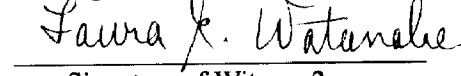
SIGNATURE WITNESSED BY:

(1) Date: 7/7/99



Signature of Witness 1

(2) Date: 7/7/99



Signature of Witness 2