

10-28-1999



U.S.DEPARTMENT OF COMMERCE Patent and Trademark Office

## 'ER SHEET

To the Honorable Commissioner of Patents and Trademarks. Fie rease record are attached original documents or copy thereof. Name of conveying party(ies): Name and address of receiving party(ies): Wei Zhu Name: Lucent Technologies Inc. Additional name(s) of conveying party(ies) attached? ☐ Yes ☒No Internal Address: 3. Nature of conveyance: ☐ Merger Street Address: 600 Mountain Avenue, P. O. Box 636 Change of Name ☐ Security Agreement City: Murray Hill State: NJ Zip: 07974-0636 ☐ Other Additional name(s) & address(es) attached? ☐ Yes ☒ No Execution Date: 10/22/99 Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No. (s) A. Patent Application No.(s) 09/376457 Additional numbers attached? ☐ Yes ☑ No 6. Total number of applications and patents involved: 5. Name and address of party to whom correspondence concerning document should be mailed: 7. Total fee (37 CFR 3.41) \$ \$40.00 Name: **Docket Administrator** Internal Address: Room 3C-512 ☐ Enclosed Street Address: **600 Mountain Avenue** Authorized to be charged to deposit account P. O. Box 636 8. Deposit account number: 12-2325 City: Murray Hill, State: New Jersey, Zip: 07974-0636 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. October 22, 1999 Scott J. Rittman Reg. No. 39010

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D. C. 20231

Total number of pages including cover sheet, attachments, and document: 3

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Name of Person Signing

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C.A. BOWER 3-3-50

Phoneby certify that this correspondence is being deposited with the United States Postal Service Control of International Commissioner of Patents and Trysformatic, Wildle Service, D.C. 2021, as 1275 feet 22, 1222

**PATENT** 

**REEL: 010332 FRAME: 0709** 

## ASSIGNMENT AND AGREEMENT

For value received, I, Wei Zhu, of Warren in the County of Somerset and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Method For Fabrication Of Patterned Carbon Nanotube Films** described in an application for Letters Patent of the United States, Serial No. 09/376457, filed August 18, 1999, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and I also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from me, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

PATENT

REEL: 010332 FRAME: 0710

I agree that, when requested, I at its expense, sign all papers, and ovenient in connection with said apple	do all ac	ts which n	nay be necess	sary, de	esirable or con-
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Date: 10/22 )1999					
United States of America	)				
State of New Jersey	) ss.:				
County of Union	)				
On this QQ day of personally came Wei Zhu, to me ki cuted the foregoing instrument, and	nown to	be the ind	lividual descr	ibed in	, before me and who exe-
			Sheila	K.	Cole
			Notary Pub	olic	

SHERA R. COLE
A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 10/18/2000

Lucent Technologies Inc. 600 Mountain Avenue (Room 3C-512) P. O. Box 636 Murray Hill, New Jersey 07974-0636

PATENT RECORDED: 10/25/1999 REEL: 010332 FRAME: 0711