

10-29-1999

FORM PT-1595(modified) REC

IEET U.S. Department of Commerce
Patent and Trademark Office

101186191

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Frank P. Battito

Additional name(s) of conveying party(ies)
attached? ☐ yes ☒ no

2. Name and address of receiving party(ies)

Name: Hoechst Trespaphan GmbH

Internal Address: _____

Street Address: BergstrasseCity: Neunkirchen Country: Germany D66539Additional name(s) & address(es) attached? ☐ yes ☒ no

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____Effective Date: October 20, 1999

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

09/160,495

Additional numbers attached: ☐ yes ☒ no5. Name and address of party to whom
correspondence concerning document should be
mailed:Name: Mary E. Bak, Esquire
Howson and Howson

Internal Address: _____

Street Address: Spring House Corporate Center,
Box 457City: Spring House State: PA Zip: 194776. Total number of applications and
Patents involved:..... 17. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

08-3040

(Attach duplicate copy of this page if paying by deposit account)

Do not use this space

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mary E. Bak
Name of Person SigningMary E. Bak
SignatureOct 25, 1999
DateTotal number of pages, including cover sheet, attachments, and document: 5Mail documents to be recorded with required coversheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, DC 20231

10/28/1999 DNGUYEN 00000336 09160495

01 FC:581

40.00 OP

PATENT
REEL: 010334 FRAME: 0769

ASSIGNMENT

WHEREAS, Michael A. Hubbard, James A. McCaulley, Frank P. Battito, and Douglas R. Holcomb, hereinafter referred to as ASSIGNORS, have invented and are the owners of an invention entitled LITHIUM AND POTASSIUM COPOLYSILICATE BARRIER COATINGS said invention being fully set forth and described in the application for Letters Patent of the United States which has been prepared in connection with said invention, and which has been filed on September 24, 1998 and given Application Number 09/160,495.

WHEREAS, Hoechst Tresaphan GmbH, a corporation organized and existing under and by virtue of the laws of Germany and engaged in business at Bergstrasse, Neunkirchen, Germany D66539, hereinafter referred to as ASSIGNEE, is desirous of acquiring the said invention and any and all applications for Letters Patent and any and all Letters Patent of the United States and foreign countries which may be issued therefor;

NOW, THEREFORE, THIS IS TO WITNESS that in consideration of the sum of One Dollar (\$1.00) paid to ASSIGNORS by ASSIGNEE, the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS have sold, assigned and set over, and hereby do sell, assign and set over, unto ASSIGNEE, its successors and assigns, the entire right, title and interest for, to and within the United States and all foreign countries, in and to the aforesaid invention and in and to any application for Letters Patent which ASSIGNEE at its expense may cause to be filed in the United States, under an international treaty, or in any foreign country, and in any United States or foreign patent which may be granted on said invention, including any extensions, divisions, reissues, continuations or continuations-in-part.

And ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States to ASSIGNEE, its successors and assigns, as the owner of all right, title and interest therein.

And for said consideration, it is hereby covenanted and agreed that at the request and expense of ASSIGNEE, its successors and assigns, ASSIGNORS will execute any further papers and do such other acts and things as may be necessary and proper to procure Letters Patent for said invention in the United States and in such foreign countries as ASSIGNEE, its successors and assigns may elect, and vest the full title thereto in ASSIGNEE, its successors and assigns.

IN WITNESS WHEREOF, this assignment has been executed on the dates indicated below.

Date _____ (SEAL)

Michael A. Hubbard

Date _____ (SEAL)

James A. McCaulley

Date 10/20/99 _____ (SEAL)

Frank P. Battito
Frank P. Battito

Date _____ (SEAL)

Douglas R. Holcomb

NOTARIAL CERTIFICATE

State of _____ :

: ss.:

County of _____ :

Before me, the undersigned, a notary public, in and for the county aforesaid, on this _____ day of _____ 1999, personally appeared **Michael A. Hubbard** to me known to be the person described in and who executed the foregoing instrument and who acknowledged the execution of the same as a free act and deed and desired the same to be recorded as such.

(SEAL)

Notary Public

NOTARIAL CERTIFICATE

State of _____ :

: ss.:

County of _____ :

Before me, the undersigned, a notary public, in and for the county aforesaid, on this _____ day of _____ 1999, personally appeared **James A. McCaulley** to me known to be the person described in and who executed the foregoing instrument and who acknowledged the execution of the same as a free act and deed and desired the same to be recorded as such.

(SEAL)

Notary Public

NOTARIAL CERTIFICATE

State of New Jersey :
County of Essex : SS. 153 30 2005

Before me, the undersigned, a notary public, in and for the county aforesaid, on this 20 day of October 1999, personally appeared **Frank P. Battito** to me known to be the person described in and who executed the foregoing instrument and who acknowledged the execution of the same as a free act and deed and desired the same to be recorded as such.

(SEAL)

Patricia M. Battito
Notary Public **PATRICIA M. BATTITO**
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires July 25, 2001

NOTARIAL CERTIFICATE

State of :
County of : SS.:

Before me, the undersigned, a notary public, in and for the county aforesaid, on this _____ day of _____ 1999, personally appeared **Douglas R. Holcomb** to me known to be the person described in and who executed the foregoing instrument and who acknowledged the execution of the same as a free act and deed and desired the same to be recorded as such.

(SEAL)

Notary Public