

11-01-1999



PATENT

101187675

RECORDATION FORM COVER SHEET PATENTS ONLY

MIND 10/28/99

TO: Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type☒ New☐ Resubmission (Non-Recordation)Document ID#: ☐ Correction of PTO ErrorReel #: Frame # ☐ Corrective DocumentReel #: Frame # **Conveyance Type**☒ Assignment☐ License☐ Merger☐ Security Agreement☐ Change of Name☐ Other **U.S. Government**

(For use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File**Conveying Party(ies)**☐ Mark if additional names of conveying parties attachedName Eric SwansonName Christopher L. PetersenName Edward McNamaraName Ronald B. LamportName David L. KellyExecution Date
Month Day Year 08/18/99 08/18/99 08/18/99 08/18/99 08/18/99**Receiving Party**☐ Mark if additional names of receiving parties attachedName (line 1) Coherent Diagnostic Technology, LLCName (line 2) Address (line 1) 133 Littleton RoadAddress (line 2) Address (line 3) Westford

City

 MA

State/Country

 01886

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Address (line 1) Address (line 2) Address (line 3) Address (line 4)

10/29/1999 DNGUYEN 00000179 09370756

FOR OFFICE USE ONLY

01 FC:581

40.00 DP

rees1590/2.879869-1

Mail documents to be recorded with required cover sheet(s) information to:
 Box Assignment, Commissioner of Patents and Trademarks, Washington, D.C. 20231

PATENT
REEL: 010339 FRAME: 0069

Correspondent Name and Address

Area Code and Telephone Number (617) 248-7000

Name Patent Administrator

Address (line 1) Testa, Hurwitz & Thibault, LLP

Address (line 2) High Street Tower

Address (line 3) 125 High Street

Address (line 4) Boston, MA 02110

Pages Enter the total number of pages of the attached conveyance document including any attachments.

3

Application Number(s) or Patent Number(s)☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/370,756

Patent Number(s)**Patent Cooperation Treaty (PCT)**Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment:

Enclosed ☒Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account)

Deposit Account Number

20-0531

Authorization to charge additional fees:

Yes ☒No ☐**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Dianne M. Rees

Name of Person Signing

Signature

October 26, 1999

Date

ASSIGNMENT

WHEREAS, We, Eric Swanson, Christopher L. Petersen, Edward McNamara, Ronald B. Lamport and David L. Kelly have invented one or more improvements in:

ULTRA-SMALL OPTICAL PROBES, IMAGING OPTICS, AND METHODS FOR USING SAME

described in an application (or provisional application) for Letters Patent of the United States:

☐ identified by Attorney Docket No. _____, and/or executed by us of even date herewith and about to be filed in the United States Patent Office;

☒ Serial No. 09/370,756 filed in the United States Patent Office on August 9,
1999; and

WHEREAS, Coherent Diagnostic Technology, LLC (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the State of Delaware, and having a usual place of business at 133 Littleton Road, Westford, MA 01886, desires to acquire an interest therein, in accordance with agreements duly entered into with us;

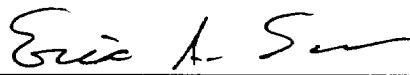
NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the inventions described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon or claim priority under international convention, including but not limited to continuations, divisionals, reissues, and reexaminations of said application of such Letters Patent; said inventions, applications and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all of our rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said inventions, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all Letters Patent to ASSIGNEE for its own name as assignee of our entire right, title and interest therein.

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns, and legal representatives, but at its or their expense and charges, including: the execution of applications for patents in foreign countries; the execution of substitution, reissue, divisional or continuation applications; and preliminary or other statements or the giving of testimony in any

interference or other proceeding in which said inventions or any application or patent directed thereto may be involved; and we further hereby authorize ASSIGNEE or its attorneys or agents to insert the correct serial number and filing date into this assignment, if none is indicated on that date of our execution of this assignment;

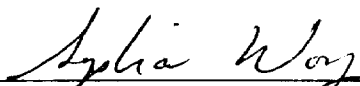
AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

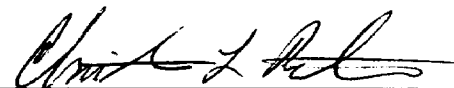
IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor: 
Eric Swanson

Commonwealth of Massachusetts)
County of Middlesex) ss


Subscribed and sworn to before me, by the above-named Eric Swanson this 18 day of August, 1999.


Notary Public
My Commission Expires: My Commission Expires Sept. 14, 2001

Inventor: 
Christopher L. Petersen

Commonwealth of Massachusetts)
County of Middlesex) ss

Subscribed and sworn to before me, by the above-named Christopher L. Petersen this 18 day of August, 1999.


Notary Public
My Commission Expires: My Commission Expires Sept. 14, 2001

Inventor: 
Edward McNamara

Commonwealth of Massachusetts)
County of Middlesex) ss

Subscribed and sworn to before me, by the above-named Edward McNamara this 18th day
of August, 1999.

Sylvia Wey
Notary Public
My Commission Expires: My Commission Expires Sept. 14, 2001

Inventor: Ronald B. Lampert
Ronald B. Lampert

Commonwealth of Massachusetts)
County of Middlesex) ss

Subscribed and sworn to before me, by the above-named Ronald B. Lampert this
18 day of August, 1999.

Sylvia Wey
Notary Public
My Commission Expires: My Commission Expires Sept. 14, 2001

Inventor: David L. Kelly
David L. Kelly

Commonwealth of Massachusetts)
County of Middlesex) ss

Subscribed and sworn to before me, by the above-named David L. Kelly this 18
day of 18 August, 1999.

Sylvia Wey
Notary Public
My Commission Expires: My Commission Expires Sept. 14, 2001

REES1590/2.838106-1