

11-01-1999

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Docket No. AT9-99-548

In place of Form PTO-1595



101188546

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Rick A. Hamilton, II,  
Steven Jay Lipton

2. Name and address of  
receiving party(ies):

International Business Machine  
Corporation  
New Orchard Road  
Armonk, New York 10504

3. Nature of conveyance:

X Assignment

Execution Date:

10/13/99 and 10/14/99

09/422360

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is 10/13/99 and 10/14/99

A. Patent Application No.(s)

B. Patent No.(s)

5. Name and address of party to whom  
correspondence concerning document  
should be mailed:

David A. Mims, Jr.  
IBM Corporation  
Intellectual Property Law  
Internal Zip 4054  
11400 Burnet Road  
Austin, TX 78758  
(512) 823-0950

6. Total number of applications  
and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

X Authorized to be charged to  
deposit account

8. Deposit account number:

09-0447

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A. Mims, Jr.

Name of Person Signing

David A. Mims, Jr.

Signature

10/14/99

Date

Total number of pages including cover sheet: 23

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REEL: 010339 FRAME: 0923

of Flower Mound,  
and State of Texas.

**PATENT**  
**REEL: 010339 FRAME: 0924**

IBM DOCKET NO. AT9-99-548

## A S S I G N M E N T

Whereas, we,

(1) Rick A. Hamilton, II  
County of Travisof Austin,  
and State of Texas,

and

(2) Steven Jay Lipton  
County of Dentonof Flower Mound,  
and State of Texas.

have invented certain improvements in

**METHOD AND SYSTEM FOR IMPLEMENTING NETWORK FILESYSTEM-BASED AID FOR COMPUTER OPERATING SYSTEM UPGRADES**and executed, respectively, a United States patent application therefore on  
(Dates Inventors Signed Declaration) (1) \_\_\_\_\_ 19\_\_\_\_ and (2)  
\_\_\_\_\_, 19\_\_\_\_.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at \_\_\_\_\_ on \_\_\_\_\_, 19\_\_\_\_.

\_\_\_\_\_  
Rick A. Hamilton, IIand (2) Signed at Flower Mound, TX on Oct. 14 1999.\_\_\_\_\_  
Steven Jay Lipton