

MRD 10/26/99

Patent

DOCKET #

11496/9-1051

To the Hon. Commissioner of Patents & Trademarks:  
Please record the attached original or copy thereof.

1- **Name of Conveying Party:** Peptech Ltd.  
Unit 1, 35-41 Waterloo Road, North Ryde, NSW 2113 Australia

2- **Assignee(s):** Advanced Immuni T, Inc.  
**Address(es):** 25 East Loop Road  
Stony Brook, New York 11790

11-02-1999



101189700

3- **Nature of Conveyance:**

☒ Assignment ☐ Change of Name  
☐ Merger ☐ Security Agreement  
☐ Other:

**EXECUTION DATE:** September 17, 1999

4- **Application number(s) or Patent number(s):**

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A) Application number(s):

09/082,837

Filed: May 21, 1998

B) Patent number(s):

5,763,406

5,795,858

5,798,335

5,756,449

5- Name and Address of party to whom correspondence concerning document should be mailed: **NIMS, HOWES, COLLISON,**

**HANSEN & LACKERT**

605 Third Avenue

New York, NY 10158

(212) 661-9700

6- **Total number of applications and patents involved:** Five(5)

7- **TOTAL FEE** (37 CFR 3.41): \$140.00

☒ Enclosed.

☒ (The Commissioner is hereby authorized to charge the deposit account any additional fees required or to credit any overpayment to Deposit Account No: 14-1115.)

8- **Deposit Account No:** 14-1115

(Attach duplicate copy of this page if paying by deposit account)

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02 FC:482

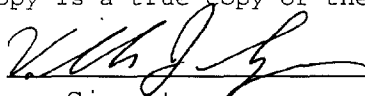
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**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William J. Sapone



October 20, 1999

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet: 8

A S S I G N M E N T

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, Peptech Ltd., a corporation having its principle place of business at Unit 1, 35-41 Waterloo Road, North Ryde, NSW 2113 Australia ("Peptech") does hereby:

SELL, ASSIGN AND TRANSFER to Advanced Immuni T, Inc. (the "Assignee"), a corporation having a place of business at 25 East Loop Road, Stony Brook, New York 11790, all its right, title and interest in the United States and Foreign Patents and Patent Applications identified in Appendix A hereto and any continuation, division, or continuation-in-part applications derived therefrom, including any reissue, reexamination or extension, including any foreign counterparts thereof, the same to be held and enjoyed by Assignee, for its own use and enjoyment and the use and enjoyment of its successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by PEPTECH, if this agreement had not been made, together with all claims for damages by reason of past infringement of the aforesaid Patents with the right to sue for and collect the same for Assignee's own use and enjoyment, and for the use and enjoyment of

assignees, successors, assigns or other legal representatives;

AUTHORIZE AND REQUEST the issuing authorities to issue any and all United States and foreign patents granted on such inventions to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, to carry out in good faith the intent and purpose of this assignment, by executing all papers required in all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such inventions; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such inventions and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such inventions in the Assignee, and for securing, maintaining and enforcing proper patent protection for such inventions.

This Assignment TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the

IN WITNESS WHEREOF, Peptech Ltd. has caused its undersigned duly authorized officer to execute this Assignment which has an effective date of March 31, 1999.

17 September 1999  
Date

By: Thomas W. Deibel

MELBOURNE, VICTORIA, AUSTRALIA )  
 of \_\_\_\_\_ ) S.S.  
 of \_\_\_\_\_ )

Michael  
Notary Public *is NOT LIMITED BY TIME*  
My Commission ~~Expires~~ \_\_\_\_\_

- 3

**PATENT**  
**REEL: 010340 FRAME: 0565**

## **APPENDIX A**

### **Assigned U.S. and Foreign patents and patent applications.**

#### **PEPTIDES FOR TREATMENT OF HERPES**

U.S. Patent No.: 5,763,406

Canada : 2,101,075

European Patent (validated in

Austria, Belgium, Switzerland, Germany,

Denmark, Spain, France, United Kingdom,

Greece, Italy, Liechtenstein, Luxembourg,

Monaco, Netherlands and Sweden) : 0 573 538

Japan : 505481/92

South Africa : 92/1270

#### **TREATMENT OF CROHN'S DISEASE OR ULCERATIVE COLITIS**

U.S. Patent No. : 5,795,858

EPO(designated in

Germany, Denmark, Spain, France,

United Kingdom, Italy) : 94928214.9

Japan : 7-509445

## **METHOD OF TREATING ECZEMA/DERMATITIS**

U.S. Patent No. : 5,798,335

Australia : 697,847

Canada : 2172491

EPO(designated in

Germany, Denmark, Spain, France,

United Kingdom, Italy,) : 94928213.1

Japan : 7-509444

## **PEPTIDE T FOR TREATING INFLAMMATION, INCLUDING MULTIPLE SCLEROSIS (INFLAMMATORY BOWEL)**

U.S. Patent No.: 5,756,449

Australia : 684713

Canada : 2132516

EP(designated in

Austria, Belgium, Switzerland, Germany,

Denmark, Spain, France, United Kingdom,

Greece, Ireland, Italy, Liechtenstein,

Luxembourg, Monaco, Netherlands,

Portugal and Sweden) : 93907942.2

Japan : 517220/93

Korea : 703264/94

Russia : 94046096  
South Africa : 93/2233  
Ukraine : 94095852

**PEPTIDE T FOR TREATING INFLAMMATION, INCLUDING MULTIPLE  
SCLEROSIS**

U.S. Patent Application No. : 09/082,837 filed 5/21/98

Australia : 63542/98

Australia : 22549/99

EPO(designated in

Austria, Belgium, Switzerland, Germany,

Denmark, Spain, France, United Kingdom,

Greece, Ireland, Italy, Liechtenstein, Luxembourg,

Monaco, Netherlands, Portugal and Sweden): 91101349.1