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APPENDIX B



DOCKET NO. 782_093

101183797

Form PTO-505

U.S. Department of Commerce

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents on hand thereof.

1. Name of conveying party(ies)

Tatsuo Kawaguchi and Minoru Imaeda

Additional name(s) of conveying parties attached ☒ No

2. Name and address of receiving party(ies):

Name: NGK Insulators, Ltd.

Address: 2-56, Suda-Cho. Mizuho-Ku,
Nagoya-City, Aichi-Prefecture, 467-8530
Japan

Additional name(s) attached? ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date September 10, 1999

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of this application is _____

A. Patent Application No.(s)

B. Patent No.(s)

09/375,132 filed August 10, 1999

Additional Numbers attached? ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Stephen P. Burr

Address: WALL MARJAMA BILINSKI & BURR
101 South Salina Street, Suite 400
Syracuse, NY 13202
Telephone: (315) 425-9000
Facsimile: (315) 425-9114

6. Total number of application and patents involved: 1

7. Total fee (37 CFR 3.4) \$40.00

☒ Enclosed
☒ Authorized to charge any deficiency or credit any over payment to
Deposit Account No. 50-0289.

8. Charge Deposit account

DO NOT USE THIS SPACE

9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stephen P. Burr

Reg. No. 32,970

October 15, 1999

Date

Total Number of Pages Comprising Cover Sheet 3

OMB NO 0651-0011 (EXP. 4/94)

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COMMISSIONER OF PATENTS AND TRADEMARKS
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PATENT
REEL: 010343 FRAME: 0921

ASSIGNMENT

(1-8) Insert Name(s) of Inventor(s)	(1) <u>Tatsuo KAWAGUCHI,</u> (2) <u>Minoru IMAEDA,</u> (3) _____ (4) _____	(5) _____ (6) _____ (7) _____ (8) _____
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In consideration of the sum of dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(9) Insert name of Assignee	(9) <u>NGK INSULATORS, LTD.</u>
(10) Insert address of Assignee	(10) <u>2-56, Suda-Cho, Mizuho-Ku, Nagoya City, Aichi Pref., Japan</u>

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

(11) Insert Identification of Invention, such as Title, Case Number or Foreign Application Number	(11) <u>A METHOD FOR PRODUCING AN OPTICAL WAVEGUIDE PART AND AN OPTICAL WAVEGUIDE PART</u> _____ (Case No. _____)
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for which the undersigned has (have) executed an application for patent in the United States of America

(12) Insert Date of Signing of Application	(12) on _____
(13) Alternative identification for filed applications	(13) U.S. application Serial Number <u>09/375,132</u> filed <u>August 16, 1999</u>

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) the firm of WALL, MARJAMA & BILINSKI the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date <u>September 10, 1999</u>	Name of Inventor <u>Tatsuo Kawaguchi</u> (SEAL) Tatsuo KAWAGUCHI
Date _____	Name of Inventor _____ (SEAL)
Date <u>September 10, 1999</u>	Name of Inventor <u>Minoru Imaeda</u> (SEAL) Minoru IMAEDA
Date _____	Name of Inventor _____ (SEAL)
Date _____	Name of Inventor _____ (SEAL)
Date _____	Name of Inventor _____ (SEAL)
Date _____	Name of Inventor _____ (SEAL)

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. or (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date <u>September 10, 1999</u>	Witness <u>Fusako Ise</u>
Date <u>September 10, 1999</u>	Witness <u>Shinichi Imahara</u>