

MRO 10/15/99

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U.S. Department of Commerce
Patent and Trademark Office
PATENT



101187166
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10584 U.S. PTO
09/418923

10/15/99

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- ☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other
U.S. Government
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☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1) Eggers, Philip E.

Execution Date
Month Day Year
10/14/1999

Name (line 2)

Second Party

Name (line 1) Eggers, Eric A.

Execution Date
Month Day Year
10/14/1999

Name (line 2)

09/418923

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Neothermia Corporation

☐ If document to be recorded
is an assignment and the
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domiciled in the United
States, an appointment
of a domestic
representative is attached.
(Designation must be a
separate document from
Assignment.)

Name (line 2)

Address (line 1) 4140 Tuller Road

Address (line 2) Suite 104

Address (line 3) Columbus

OH

State/Country

43017

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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PATENT

REEL: 010344 FRAME: 0029

10/25/1999
05 FC:581

Correspondent Name and Address

Area Code and Telephone Number (614) 436-0600

Name Mueller and Smith, LPA

Address (line 1) 7700 Rivers Edge Drive

Address (line 2) Columbus, Ohio 43235

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document
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2

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was
signed by the first named executing inventor.

Month Day Year
10 14 1999

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

13-4830

Authorization to charge additional fees:

Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document. Charges to deposit account are authorized, as
indicated herein.

Gerald L. Smith

Name of Person Signing

Signature

Date

15 Oct 99

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Eggers, Andrew R.

Execution Date
Month Day Year
10141999

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

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Name (line 2)

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Address (line 1)

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Address (line 3) City State/Country Zip Code

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Patent Number(s)

Correspondent Name and Address

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10 14 1999

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PCT

PCT

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Enter the total number of properties involved.

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Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

13-4830

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Gerald L. Smith

Name of Person Signing

Signature

Date

15 Oct 99

ASSIGNMENT

WHEREAS, we, Philip E. Eggers of Dublin, Ohio, Eric A. Eggers of Columbus, Ohio and Andrew R. Eggers of Ostrander, Ohio, have invented certain new and useful improvements in Method and Apparatus for

**ACCURATE CUTTING ABOUT AND INTO TISSUE VOLUMES WITH
ELECTROSURGICALLY DEPLOYED ELECTRODES**

for which we are about to make application for Letters Patent of the United States; and

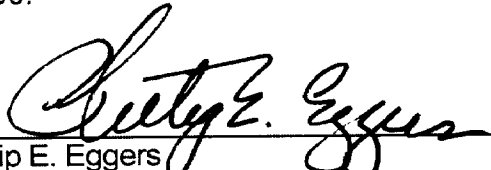
WHEREAS, Neothermia Corporation, a corporation of the State of California, having an office at 4140 Tuller, Road, Suite 104, Columbus, Ohio 43017, herein called "Assignee", is desirous of acquiring our entire right, title, and interest in said invention and application therefor, and in any patent or patents to be obtained therefor;

NOW, THEREFORE, To All Whom It May Concern, be it known that, for and in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign, and transfer unto said Assignee, our entire right, title and interest in and to the invention shown, described, or claimed therein, and the full and exclusive right and title to said application executed by us on the 14th day of October, 1999, the 14th day of October, 1999, and the 14th day of October, 1999, respectively, preparatory to obtaining Letters Patent of the United States therefor; and in and to any extensions, reissues, divisions, or renewals thereof; and we do hereby authorize and request the Commissioner of Patents and Trademarks or other proper Officer to issue such Letters Patent to the Assignee of our entire right, title and interest in and to the same for the sole use and behoove of the said Assignee, and its successors or assigns.

And we further covenant and agree that we will, at the request and expense of the said Assignee, execute such further assurance of title as may be necessary or proper to fully convey the interest herein sought to be conveyed; that we will, at the request and expense of the said Assignee, execute such application papers as may be desired by the said Assignee for the filing of any division or renewal of the application herein conveyed, or for the reissues or extension of any Letters Patent that may be granted upon said application, and will execute such Supplemental Oaths and Preliminary Statements, or other papers as desired by the said Assignee.

And we further assign unto the said Assignee our whole right, title, and interest to the invention disclosed in the application throughout all countries foreign to the United States, and do hereby authorize the said Assignee to apply for patents therefor in its own name in countries where such procedure is proper and to claim the benefit of the International Convention and I do agree to execute applications for the said invention in the several countries where it is necessary that the same be executed by the inventor, and to execute assignments of such applications and the patents to be obtained therefor to the said Assignee, as well as all other necessary papers.

IN TESTIMONY WHEREOF, we have hereunto set our hands at Columbus OH, this 14th day of October, 1999.


Philip E. Eggers

STATE OF OHIO

COUNTY OF FRANKLIN

)
) SS. 279-38-0055
)

Personally appeared before me this day the above-named Philip E. Eggers, to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal this 14th
 day of October, 1999.

Jean A. Kight
 Notary Public
 JEAN A. KIGHT
 NOTARY PUBLIC, STATE OF OHIO
 MY COMMISSION EXPIRES JUNE 19, 2004

Eric A. Eggers
 Eric A. Eggers

STATE OF Ohio

COUNTY OF Franklin

)
) SS. 284-84-3810
)

Personally appeared before me this day the above-named Eric A. Eggers, to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal this 14th
 day of October, 1999.

Jean A. Kight
 Notary Public
 JEAN A. KIGHT
 NOTARY PUBLIC, STATE OF OHIO
 MY COMMISSION EXPIRES JUNE 19, 2004

Andrew R. Eggers
 Andrew R. Eggers

STATE OF Ohio

COUNTY Franklin

)
) SS. 282-82-3876
)

Personally appeared before me this day the above-named Andrew R. Eggers, to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal this 14th
 day of October, 1999.

Jean A. Kight
 Notary Public
 JEAN A. KIGHT
 NOTARY PUBLIC, STATE OF OHIO
 MY COMMISSION EXPIRES JUNE 19, 2004