

11-02-1999



Form PTO-1595
RECORDATION FORM COVER SHEET PATENTS ONLY

101189883

Patents & Trademark Office

Attorney Docket No. P8903-9009

Date: October 28, 1999

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof

1. Name of conveying party(ies)

Marco VILLA
Dario TENTORIO
Angelo RESTELLI
Sergio RIVA

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: ZAMBON GROUP S.p.A.

Internal Address: _____

Street Address: via della Chimica, 9, 36100

City: VINCENZA COUNTRY: ITALY ZIP: _____

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: October 1, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: NIKAIDO, MARMELESTEIN, MURRAY & ORAM LLP

Internal Address: _____

Street Address: 655 15th Street, N.W.

G Street Lobby - Suite 330

City: Washington State: DC Zip: 20005

6. Total number of applications and patents involved:

One (1)

7. Total fee (37 CFR 3.41)..... \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

14-1060

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James A. Poulos, III

Name of Person Signing
Reg. No. 31,714

Signature

Date

Total number of pages comprising cover sheet: One (1)

PATENT
REEL: 010344 FRAME: 0876

Docket No. _____

U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by

[Insert
ASSIGNEE's
Name(s)
Address(es)]

ZAMBON GROUP S.p.A.
via della Chimica, 9
36100 VICENZA
(ITALY)

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled :

Process for the enzymatic kinetic resolution of 3-phenylglycidates by transesterification with aminoalcohols

[Title of
Invention]

for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

[*If the assignment
is being filed
after the
filing of the
application, this
section must be
completed]

*filed on September 20, 1999 Serial No. _____

(Nikaido, Marmelstein, Murray & Oram LLP is hereby authorized to insert the series code, serial number and/or filing date hereon, when known)


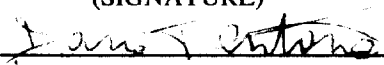

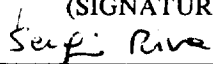
and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with application and any continuing, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, division, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

[Signature(s)]


(SIGNATURE)

(SIGNATURE)

(SIGNATURE)

(SIGNATURE)

(SIGNATURE)

<u>Marco Villa</u>	<u>October 1, 1999</u>
(TYPE NAME)	(DATE)
<u>Dario Tentorio</u>	<u>October 1, 1999</u>
(TYPE NAME)	(DATE)
<u>Angelo Restelli</u>	<u>October 1, 1999</u>
(TYPE NAME)	(DATE)
<u>Sergio Riva</u>	<u>October 1, 1999</u>
(TYPE NAME)	(DATE)
_____	_____
(TYPE NAME)	(DATE)

NO LEGALIZATION REQUIRED

PATENT
REEL: 010344 FRAME: 0877

N, M, M & O Docket No. _____

NIKAIDO, MARMELSTEIN, MURRAY & ORAM LLP

Declaration For U.S. Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

(Insert Title) Process for the enzymatic kinetic resolution of 3-phenylglycidates by transesterification with aminoalcohols

the specification of which is attached hereto unless the following box is checked:

☒ was filed on September 20, 1999 as United States Application Number or PCT International Application Number _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International Application having a filing date before that of the application(s) for which priority is claimed:

(List prior foreign applications. See note A on back of this page)

MI98A002061

(Number)

ITALY

(Country)

24 September 1998

(Day/Month/Year Filed)

Priority Claimed

☒ Yes ☐ No

(Number)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

(Number)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

(See Note B on back of this page)

☐ See attached list for additional prior foreign or provisional applications.

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) (U.S. or PCT) in the manner provided by the first paragraph of 35, U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(List prior U.S. Applications or PCT International applications designating the U.S.)

(Application Serial No.)

(Filing Date)

(Status) (patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status) (patented, pending, abandoned)

And I hereby appoint as principal attorneys David T. Nikaido, Reg. No. 22,663; Charles M. Marmelstein, Reg. No. 25,895; George E. Oram, Jr., Reg. No. 27,931; Robert B. Murray, Reg. No. 22,980; Martin S. Posman, Reg. No. 18,570; E. Marcie Emas, Reg. No. 32,131; Douglas H. Goldhush, Reg. No. 33,125; Kevin C. Brown, Reg. No. 32,402; Monica Chin Kitts, Reg. No. 36,105; Richard J. Berman, Reg. No. 39,107; and James A. Poulos, III, Reg. No. 31,714.

Please direct all communications to the following address: **NIKAIDO, MARMELSTEIN, MURRAY & ORAM LLP**
Metropolitan Square
655 Fifteenth Street, N.W., Suite 330 - G Street Lobby
Washington, D.C. 20005-5701
(202) 638-5000 Fax: (202) 638-4810

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(See Note C on back of this page)

Full name of sole or first inventor Marco VillaInventor's signature Marco VillaOctober 1, 1999

Date

Residence MILAN (ITALY)Citizenship ITALIANPost Office Address Viale Lunigiana, 10 - 20125 MILAN (ITALY)**PATENT****REEL: 010344 FRAME: 0878**

Full name of second joint inventor, if any Dario Tentorio

Inventor's signature Dario Tentorio

October 1, 1999

Date

Residence VIGANO' (COMO) ITALY

Citizenship ITALIAN

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Full name of third joint inventor, if any Angelo Restelli

Inventor's signature Angelo Restelli

October 1, 1999

Date

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Citizenship ITALIAN

Post Office Address Via Manzoni, 1 - 21040 GERENZANO (VARESE) ITALY

Full name of fourth joint inventor, if any Sergio Riva

Inventor's signature Sergio Riva

October 1, 1999

Date

Residence SEVESO (MILANO) ITALY

Citizenship ITALIAN

Post Office Address Via Sabotino, 42 - 20030 SEVESO (MILANO) ITALY

Full name of fifth joint inventor, if any _____

Inventor's signature _____

Date

Residence _____

Citizenship _____

Post Office Address _____

Full name of sixth joint inventor, if any _____

Inventor's signature _____

Date

Residence _____

Citizenship _____

Post Office Address _____

Full name of seventh joint inventor, if any _____

Inventor's signature _____

Date

Residence _____

Citizenship _____

Post Office Address _____

Full name of eighth joint inventor, if any _____

Inventor's signature _____

Date

Residence _____

Citizenship _____

Post Office Address _____

Full name of ninth joint inventor, if any _____

Inventor's signature _____

Date

Residence _____

Citizenship _____

Post Office Address _____