

11-02-1999

U.S. Department of Commerce
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101189645

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☒ New

☐ Resubmission (Non-Recordation)

Document ID#

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Conveyance Type

☒ Assignment

☐ Security Agreement

☐ License

☐ Change of Name

☐ Merger

☐ Other

U.S. Government

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☐ Departmental File

☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Jay S. WALKER

Execution Date
Month Day Year
10-25-99

Name (line 2)

Second Party

Name (line 1) James A. JORASCH

Execution Date
Month Day Year
10-25-99

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) WALKER DIGITAL CORPORATION

Name (line 2)

Address (line 1) One High Ridge Park

Address (line 2)

Address (line 3) Stamford

City

Connecticut

State/Country

06905

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

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Address (line 2)

Address (line 3)

Address (line 4)

11/01/1999 JSHABAZZ 00000115 500271 09168398

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

REEL: 010345 FRAME: 0710

Correspondent Name and Address

Area Code and Telephone Number

(203) 905-6666

Name Dean Alderucci

Address (line 1) Walker Digital Corporation

Address (line 2) One High Ridge Park

Address (line 3) Stamford, Connecticut 06905-1326

Address (line 4) United States of America

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

1

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/168,398

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Enclosed ☐

Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

50-0271

Authorization to charge additional fees:

Yes



No



Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Dean Alderucci

October 27, 1999

Name of Person Signing

Signature

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Jay S. WALKER

Execution Date
Month Day Year
10 25 99

Name (line 2) 124 Spectacle Lane, Ridgefield, Connecticut 06877, USA

Name (line 1) James A. JORASCH

Execution Date
Month Day Year
10 25 99

Name (line 2) 25 Forest Street, Apt. 5G, Stamford, Connecticut 06901, USA

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

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Name (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

**Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)**

Docket No.
96-112-1

This is an Assignment of the following rights, title and interest: (check all that apply):

- ☒ *United States of America rights, title and interest in the invention*
☒ *Foreign rights, title and interest in the invention*
☒ *United States Patent Application Serial No.* 09/168,398

Date of Execution: _____

Date of Filing: October 6, 1998

- ☐ *United States Provisional Patent Application Serial No.* _____
☐ *United States Patent No(s).* _____
☐ *International (PCT) Patent Application Serial No.* _____
☐ *Other (specify)* _____

Title of the Invention

SYSTEM AND METHOD FOR GENERATING AND EXECUTING INSURANCE POLICES FOR GAMBLING LOSSES

Inventors (assignors)

<i>Name</i>	<i>Address</i>
Jay S. WALKER	124 Spectacle Lane, Ridgefield, Connecticut 06877, USA
James A. JORASCH	25 Forest Street, Apt. 5G, Stamford, Connecticut 06901, USA

Assignee

<i>Name</i>	<i>Address</i>
WALKER DIGITAL CORPORATION	One High Ridge Park, Stamford, Connecticut 06905-1326

Assignment of Rights, Title and Interest in Invention (Multiple inventors; single assignee)

Docket No.

96-112-1

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

[illegible]


Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)

Docket No.
96-112-1

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 22nd day of October, in the year 1999
at Stamford


(Signature of Inventor)

State of Connecticut

County of Fairfield

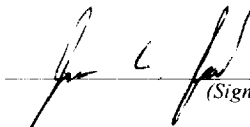
Before me personally appeared Jay S. WALKER

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this 22nd day of October, in the year 1999

V. S. LELIEVER
NOTARY PUBLIC
MY COMMISSION EXPIRES AUG. 31, 2002


(Notary Public)

Executed this 25th day of October, in the year 1999
at Stamford


(Signature of Inventor)


State of Connecticut

County of Fairfield

Before me personally appeared James A. JORASCH

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this 25th day of October, in the year 1999

V. S. LELIEVER
NOTARY PUBLIC
MY COMMISSION EXPIRES AUG 31, 2002


(Notary Public)

Executed this _____ day of _____, in the year _____
at _____

(Signature of Inventor)

State of _____

County of _____

Before me personally appeared _____

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this _____ day of _____, in the year _____

(Notary Public)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Walker et al.

For: SYSTEM AND METHOD FOR
GENERATING AND EXECUTING
INSURANCE POLICIES FOR
GAMBLING LOSSES

Serial No.: 09/168,398

Filing Date: October 6, 1998

)
)
)
)
)
) Examiner: Schaaf, James
)
) Group Art Unit: 3713
)
) Docket No.: 96-112-1

Box Assignments:

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

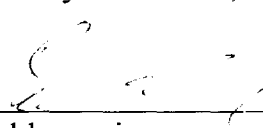
1. Assignment Recordation Cover Sheet;
2. Assignment; and
3. Postcard.

The Commissioner is hereby authorized to charge \$40.00 to Deposit Account No. 50-0271 Order No. 96-112-1 for the fees required for the enclosed Assignment. The Commissioner is further authorized to charge any further required fees, or to credit any overpayment, to Deposit Account No. 50-0271. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,

October 27, 1999

Date



Dean Alderucci
Attorney for Applicants
PTO Reg. No. 40,484
Walker Digital Corporation
One High Ridge Park
Stamford, CT 06905

Certificate of Mailing

I hereby certify that this correspondence is being sent via first class mail in an envelope with sufficient postage addressed to: Box Assignments, Assistant Commissioner for Patents, Washington, D.C. 20231 on October 27, 1999.

Sylvia Gonzalez

Typed Name of Person Making
Deposit

Signature

10/27/99
Date