

11-03-1999

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**William J. DOWER, Ronald W. BARRETT, Steven E. CWIRLA,
David J. DUFFIN, Christian M. GATES, Sherril S. HASELDEN,
Larry C. MATTHEAKIS, Peter J. SCHATZ, Christopher R.
WAGSTROM and Nicholas C. WRIGHTON**

Additional name(s) of conveying party(ies) attached?

3. Nature of conveyance:

☒ **Assignment**

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 10/31/97;; 10/31/97; 11/03/97; 10/30/97; 11/11/97;
11/11/97; 10/30/97; 10/29/97; 11/11/97; 10/30/97

2. Name and address of receiving party(ies):

Name: **Glaxo Wellcome Inc.**

Internal Address:

Global Intellectual Property Department

Street Address: **Five Moore Drive, PO Box 13398
Research Triangle Park, NC 27709-3398**

Additional name(s) & address(es) attached?

Yes

No

4. Application number(s) or patent number(s):

08/485,301 filed June 7, 1995

08/478,128 filed June 7, 1995

PCT/US96/09623 filed June 7, 1996

08/973,225 filed December 4, 1997

If this document is being filed together with a new application, the Express Mail date of the application is:

A Patent Application No.(s)

Patent No.(s)

60/065,984 filed on November 14, 1997

PCT/US98/24158 filed on November 12, 1998

Additional numbers attached?

Yes

X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **David J. Levy
Patent Counsel**

Internal Address: **Glaxo Wellcome Inc.
Global Intellectual Property**

Street Address: **Five Moore Drive
PO Box 13398**

City: **RTP** State: **NC** Zip: **27709**

6 Total number of applications and patents involved: **4**

7. Total fee (37 CFR 3.41): **\$160.00€**
Enclosed

X Authorized to be charged to deposit account

8. Deposit account number: **07-1392**

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Virginia C. BENNETT

X

Name

Signature

Date

Total number of pages comprising cover sheet: **1 of 1**

Assignment

WHEREAS, I/we **William J. DOWER, Ronald W. BARRETT, Steven E. CWIRLA, David J. DUFFIN, Christian M. GATES, Sherril S. HASELDEN, Larry C. MATTHEAKIS, Peter J. SCHATZ, Christopher R. WAGSTROM, ,** all citizens of the United States of America, **and Nicholas C. WRIGHTON,** a citizen of the United Kingdom, all respectively residing in, Menlo Park, California; Saratoga, California; Menlo Park, California; East Palo Alto, California; Morgan Hill, California; Sana Cruz, California; Cupertino, California; Mountain View, California; Los Altos, California; and Palo Alto, California, have invented or discovered certain improvements in **PEPTIDES AND COMPOUNDS THAT BIND TO A THROMBOPOIETIN RECEPTOR** hereinafter referred to as said invention and improvements for which patent applications, 08/485,301 was filed on June 7, 1995 and patent application 08/478,128 was filed on June 7, 1995 in the United States Patent and Trademark Office and for which international application PCT/US96/09623 was filed on June 7, 1996 designating the United States of America.

WHEREAS, GLAXO WELLCOME INC., a corporation organized and existing under and by virtue of the laws of the State of North Carolina and having its principal place of business at Five Moore Drive, Research Triangle Park, North Carolina 27709, USA, is desirous of acquiring the whole right, title and interest in and to said invention and improvements and said applications, and in and to any Letters Patent to be obtained therefor, in the United States of America, its territories and possessions; and

WHEREAS, GLAXO GROUP LIMITED., a company incorporated in England, whose registered office is at Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex, UB6 ONN, UK, is desirous of acquiring the whole right, title and interest in and to said invention and improvements, and in and to any applications for said invention and improvements and any Letters Patent to be obtained therefor, in all countries other than the United States of America, its territories and possessions;

NOW, THEREFORE, to all whom it may concern, be it known that I/we **William J. DOWER, Ronald W. BARRETT, Steven E. CWIRLA, David J. DUFFIN, Christian M. GATES, Sherril S.**

HASELDEN, Larry C. MATTHEAKIS, Peter J. SCHATZ, Christopher R. WAGSTROM, and Nicholas C. WRIGHTON for good and valuable consideration unto me/us moving, the receipt whereof is hereby acknowledged, have sold, assigned and transferred, and by these presents do sell, assign and transfer my/our whole right, title and interest in and to said invention and improvements to said GLAXO WELLCOME INC., throughout the United States of America, its territories and possessions, and in and to said application and any extensions, reissues, continuations, continuations-in-part, and any divisions thereof, and in and to any and all Letters Patent of the United States of America;

AND, my/our whole right, title and interest in and to said invention and improvements to GLAXO GROUP LTD, in all countries throughout the world other than in the United States of America, its territories and possessions, and in and to any applications in said countries, and continuations-in-part, patents of addition, revalidation patents, patents of importation, registrations, and any renewals, extensions and divisions thereof, and in and to any and all Letters Patent of said countries which may be granted on said invention and improvements including any priority rights under the International Convention.

AND, I/we do hereby authorize and request the issue of any Letters Patent in the respective areas referred to, to said GLAXO WELLCOME INC. or GLAXO GROUP LTD, as assignees of my/our whole right, title and interest in and to the same for the sole use and behoof of the said assignees, their successors and assigns as their interests appear herein;

AND, I/we warrant that I/we have not knowingly conveyed to others any right in said invention, improvements, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing said invention and improvements and that I/we have good right to assign the same to GLAXO WELLCOME INC. and GLAXO GROUP LTD;

AND, I/we the undersigned **William J. DOWER, Ronald W. BARRETT, Steven E. CWIRLA, David J. DUFFIN, Christian M. GATES, Sherril S. HASELDEN, Larry C. MATTHEAKIS, Peter J. SCHATZ, Christopher R. WAGSTROM, and Nicholas C. WRIGHTON** for the consideration aforesaid, do hereby agree that I/we or my/our executors or legal representatives, will provide information and make, execute

and deliver any and all other instruments in writing, and any and all further acts, application papers, affidavits, assignments and other documents which may be necessary or desirable to more effectually secure to and vest in said GLAXO WELLCOME INC. and GLAXO GROUP LTD, their successors and assigns, the whole right, title and interest in and to the said invention and improvements, applications, Letters Patent, rights, title and interest hereby sold, assigned and conveyed, or intended so to be. **This assignment should be deemed effective as of June 7, 1995.**

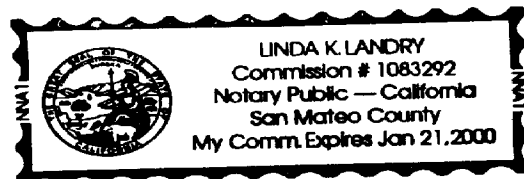
IN WITNESS WHEREOF, I/we have hereunto set my/our hand(s) and affixed my/our seal(s) on the date(s) indicated below.

William J. Dower 10/31/97
Inventor: **William J. DOWER** Date:

State of: CALIFORNIA
County of: SANTA CLARA

On the date(s) indicated, before me personally came **William J. DOWER** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: Linda K. Landry
My Commission Expires: 21 Jan 2000

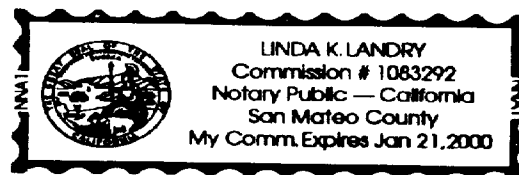


Ronald W. Barrett 10/31/97
Inventor: **Ronald W. BARRETT** Date:

State of: CALIFORNIA
County of: SANTA CLARA

On the date(s) indicated, before me personally came **Ronald W. BARRETT** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: Linda K. Landry
My Commission Expires: 21 Jan 2000



Steven E. CWIRLA

11/3/97

Inventor: Steven E. CWIRLA

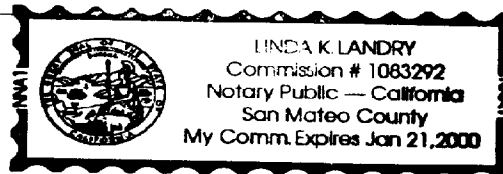
Date:

State of: CALIFORNIA
County of: Santa Clara

On the date(s) indicated, before me personally came **Steven E. CWIRLA** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: [Signature]

My Commission Expires: 21 Jan 2000



David J. DUFFIN

10/30/97

Inventor: David J. DUFFIN

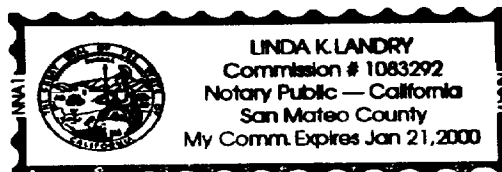
Date:

State of: California
County of: Santa Clara

On the date(s) indicated, before me personally came **David J. DUFFIN** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: [Signature]

My Commission Expires: 21 Jan 2000



Christian M. GATES

11/11/97

Inventor: Christian M. GATES

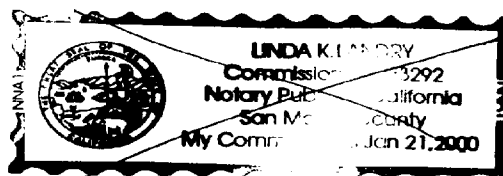
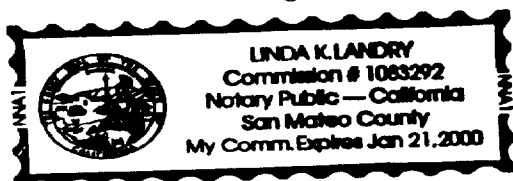
Date:

State of: CALIFORNIA
County of: Santa Clara

On the date(s) indicated, before me personally came **Christian M. GATES** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: [Signature]

My Commission Expires: 21 Jan 2000



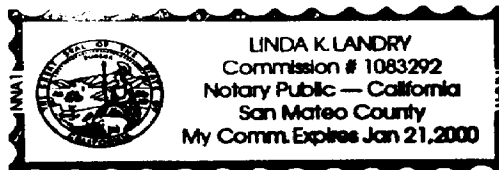
Sherril S. Haselden
Inventor: Sherril S. HASELDEN

11/11/97
Date:

State of: CALIFORNIA
County of: SANTA CLARA

On the date(s) indicated, before me personally came **Sherril S. HASELDEN** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: [Signature]
My Commission Expires: 21 JAN 2000



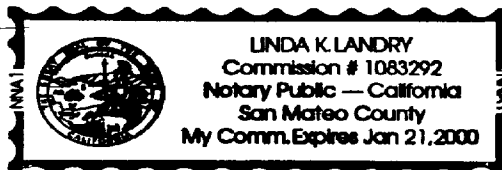
Larry C. Mattheakis
Inventor: Larry C. MATTHEAKIS

10/30/97
Date:

State of: CALIFORNIA
County of: SANTA CLARA

On the date(s) indicated, before me personally came **Larry C. MATTHEAKIS** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: [Signature]
My Commission Expires: _____



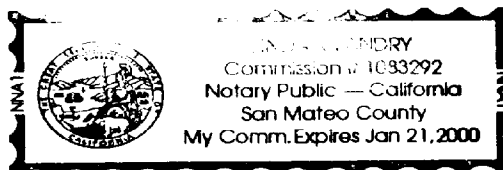
Peter J. Schatz
Inventor: Peter J. SCHATZ

10/29/97
Date:

State of: CALIFORNIA
County of: SANTA CLARA

On the date(s) indicated, before me personally came **Peter J. SCHATZ** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: [Signature]
My Commission Expires: 21 JAN 2000



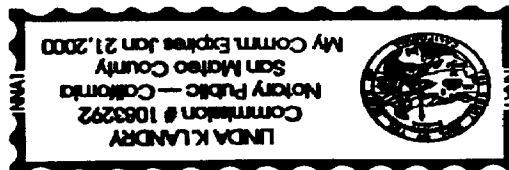
Christopher R. Wagstrom
Inventor: Christopher R. WAGSTROM

Nov 11, 1997
Date:

State of: CALIFORNIA
County of: SANTA CLARA

On the date(s) indicated, before me personally came **Christopher R. WAGSTROM** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: [Signature]
My Commission Expires: 21 Jan 2000



N.C. Wrighton
Inventor: Nicholas C. WRIGHTON

10/30/97
Date:

State of: CALIFORNIA
County of: SANTA CLARA

On the date(s) indicated, before me personally came **Nicholas C. WRIGHTON** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: [Signature]
My Commission Expires: 21 Jan 2000

