

11-03-1999

U.S. DEPARTMENT OF

Patent and Trademark Office



101190753

To the Honorable Commissioner of Patents _____ attached original documents or copy thereof.

1. Name of conveying part(ies):

- (1) Carlos Garibay
- (2) David Louis Reed
- (3) Wiilaim C. Ziegler

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.
 Street Address: 600 Mountain Avenue
 P.O. Box 636
 Murray Hill, NJ 07974-0636

Additional name(s) & address(es) attached? Yes No

1c511 U.S. PTO
 09/426970
 10/26/99

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other:

Execution Date: (1), (2) October 22, 1999
 (3) October 21, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: (1), (2) October 22, 1999
 (3) October 21, 1999

A. Patent application No.(s)

B. Patent No.(s)

09/426970

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

David P. Kelley, Esq.
 Thomas, Kayden, Horstemeyer & Risley
 100 Galleria Parkway
 Suite 1500
 Atlanta, Georgia 30339

6. Total number of applications/patents involved: [1]

7. Total fee (37 CFR 3.41) \$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit Account Number:

12-2325
 (Attach duplicate copy of this page if paying by Deposit Account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David P. Kelley

Name of Person Signing

David P. Kelley
 Signature

10/26/99
 Date

Lucent #: Garibay 5-14-1 Total number of pages including cover sheet, attachments, and document: [5]

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

ASSIGNMENT AND AGREEMENT

For value received, we, **Carlos Garibay** of Indianapolis in the County of Marion and State of Indiana, **David Louis Reed** of New Palestine in the County of Hancock and State of Indiana, and **William C. Ziegler** of Cicero in the County of Hamilton and State of Indiana, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to a **STORAGE SPOOL ASSEMBLY FOR OPTICAL FIBER** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Carlos Garibay
Carlos Garibay

Date: 10-22-99

United States of America)

State of Indiana) ss.: 305-44-3421

County of Hamilton)

On this 22nd day of October, 1999, before me personally came **Carlos Garibay**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Carol A Tenorio
Notary Public

CAROL A TENORIO
NOTARY PUBLIC STATE OF INDIANA
HAMILTON COUNTY
MY COMMISSION EXP. FEB. 18, 2007

David Louis Reed
David Louis Reed

Date: 10-22-99

United States of America)

State of Indiana) ss.: 305-44-34.21

County of Hamilton)

On this 22nd day of October, 1999, before me personally came **David Louis Reed**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Carol A Tenorio
Notary Public

CAROL A TENORIO
NOTARY PUBLIC STATE OF INDIANA
HAMILTON COUNTY
MY COMMISSION EXP. FEB. 18, 2007

William C. Ziegler
William C. Ziegler

Date: 10-21-99

United States of America)

State of Indiana) ss.:

County of Hamilton)

On this 21 day of October, 1999, before me personally came **William C. Ziegler**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Carol A Tenorio
Notary Public

CAROL A TENORIO
NOTARY PUBLIC STATE OF INDIANA
HAMILTON COUNTY
MY COMMISSION EXP. FEB. 18, 2007

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
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