

11-04-1999



101191765

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

MRD 11/01/99

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

New

Resubmission (Non-Recordation)
Document ID#

Correction of PTO Error
Reel # Frame #

Corrective Document
Reel # Frame #

Conveyance Type

Assignment Security Agreement

License Change of Name

Merger Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

Departmental File Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached

Name (line 1) Execution Date
Month Day Year
03 17 1999

Name (line 2)

Second Party

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Receiving Party

Mark if additional names of receiving parties attached

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)
City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

11/03/1999 MTHA11 00000274 181102 00005760
01 FC:581 | 40.00 CH |

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231
PATENT

REEL: 010350 FRAME: 0624

Correspondent Name and Address **Area Code and Telephone Number**

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document including any attachments. #

Application Number(s) or Patent Number(s) Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)			Patent Number(s)		
<input type="text" value="08/885,768"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT

PCT PCT PCT

Number of Properties Enter the total number of properties involved. #

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed Deposit Account

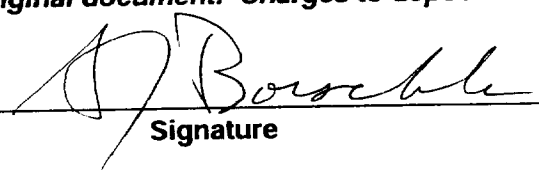
Deposit Account
(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

August J. Borschke  October 28, 1999

Name of Person Signing **Signature** **Date**

ASSIGNMENT

WHEREAS, the **UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION**, a corporation of the State of **KENTUCKY** having its principal place of business at **Lexington** in the County of **Fayette** and State of **Kentucky**, is the assignee of United States Patent Application Serial No. **08/885,768**; filed **June 30, 1997**; having all rights, title and interest to said invention and patent application by Assignment recorded in the United States Patent Office on **October 5, 1998** on **Reel 9505** and **Frame 0632**.

NOW, THEREFORE, to all whom it may concern, be it known that the **UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION**, hereby sells, assigns and transfers for good and valuable consideration the receipt of which is hereby acknowledged to **TARGACEPT, INC.** a corporation of the State of **DELAWARE** having a place of business at **WINSTON-SALEM** in the County of **FORSYTH** and State of **NORTH CAROLINA** its successors, assigns and legal representatives, its entire right, title and interest for all countries, in and to the invention disclosed and claimed, and as possessed by the undersigned, any invention which is disclosed but not claimed, in United States Patent Application Serial No. **08/885,768** filed **June 30, 1997**; and entitled **PHARMACEUTICAL COMPOSITIONS AND METHODS FOR EFFECTING DOPAMINE RELEASE** and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents which have been or shall be issued on said inventions;

Authorize and request the Commissioner of Patents and Trademarks to issue to the Assignees, the corporations above named, their successors, assigns and legal representatives, in accordance with this agreement, any and all United States Letters Patent on said inventions disclosed in said application;

Agree that said Assignees may apply for and receive foreign Letters Patent for said inventions; and may claim, in applications for said foreign Letters Patent, the priority of the aforesaid United States application under the provisions of the International Convention; and that, when requested, without charge to but at the expense of said Assignees, their successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignees, their successors, assigns, and representatives, all facts known

to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignees, their successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignees, their successors, assigns and legal representatives; and

COVENANT with said Assignees, their successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

IN WITNESS WHEREOF, the UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION has caused its corporate name to be hereunto subscribed by an officer of the corporation, and its seal to be hereunto affixed this 17th day of March, 1999.

UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

By: *J L Fink III*

Name: Joseph L. Fink III

Title: Assistant Vice President for RGS

STATE OF KENTUCKY)
COUNTY OF Scott) SS.

Before me this 17th day of March, 1999 personally appeared Joseph L. Fink III, to me personally known to be the person(s) who is/are described in and who executed the above instrument, and he/they acknowledged to me that he/they executed the same of his/their own free will for the purposes therein set forth.

Shirley A. Crane
Notary Public

My Commission Expires April 10, 2000