

FORM PTO-1595
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Patent and Trademark Office

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To the Honorable Commissioner of Patents

Attached original documents or copy thereof.

1. Name of conveying party(ies):

TEK-KOL Partnership

2. Name and address of receiving party(ies):

Name: SGI International

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: _____

Street Address: 1200 Prospect Street

Suite 325

City: La Jolla State: CA ZIP: 92037

Additional name(s) & address(es) attached? ☒ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

3,372,497

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John R. Taylor

Internal Address: _____

Street Address: c/o SGI International

1200 Prospect Street, Suite 325

City: La Jolla State: CA ZIP: 92037

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):..... \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

11/03/1999 JSH/BAZZ 00000068 5372497

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

TEK-KOL Partnership by SGI International, general partner

Michael L. Rose

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet:

1

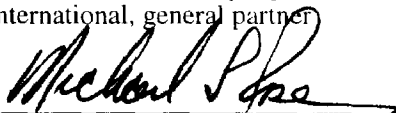
REEL: 010351 FRAME: 0542

Bluegrass Coal Development Company, located at:
1500 N. Big Run Road
Ashland, Kentucky 41102

ASSIGNMENT

- Assignor: TEK-KOL, a general partnership ("Assignor"), having its principal place of business at 1200 Prospect Street, Suite 325, La Jolla, CA 92037.
- Assignees: SGI International, a corporation of the State of Utah, having its principal place of business at 1200 Prospect Street, Suite 325, La Jolla, CA 92037 and Blugrass Coal Development Company, a Delaware company located at 1500 N. Big Run Road, Ashland, Kentucky 41102, (SGI and Bluegrass are referenced herein collectively as "Assignees").
- Invention: "Process and Apparatus for Igniting a Burner in an Inert Atmosphere", for which a patent application was filed on May 24, 1993, as U.S. Patent Application Serial No. 66,345; Patent granted December 13, 1994 as No. 5,372,497.
- 1) The Invention has previously been assigned by the inventors to SGI International by way of an Assignment recorded in the United States Patent and Trademark Office on May 24, 1993 on Reel 6648 at Frame 0567.
 - 2) The invention has previously been assigned by SGI International to TEK-KOL by way of an Assignment recorded in the United States Patent and Trademark Office on November 1, 1995 on Reel 7709 at Frame 0931.
 - 3) For good and valuable consideration receipt of which is hereby acknowledged, Assignor hereby assigns to Assignees 100% of Assignor's worldwide right, title and interest in the Invention, free and clear of all liens and encumbrances and including all rights to recover for past acts of infringement such that SGI International has an undivided 50% interest and Bluegrass has an undivided 50% interest in the Invention.
 - 4) Assignor shall:
 - a) Execute all papers necessary in connection with the Invention and any continuing, continuation-in-part, or divisional application thereof;
 - b) Execute separate assignments in connection with such continuing, continuation-in-part, or divisional application as Assignees deem necessary or expedient;
 - c) Execute all papers necessary in connection with any interference which may be declared concerning the Invention of any continuation, continuation-in-part, or divisional application thereof;
 - d) Cooperate with Assignees in every way possible in obtaining evidence and going forward with such interference;
 - e) Execute all papers and documents, and perform any act which may be necessary in connection with the claims or provisions of the International Convention for Protection of Industrial Property or similar agreements; and
 - f) Perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to Assignee for the Invention.
 - 5) Assignor hereby:
 - a) Authorizes and requests the Commissioner of Patents to issue to Assignees any and all Letters Patents of the United States resulting from the Invention or any continuation, continuation-in-part, or divisional applications thereof;
 - b) Covenants that it has full right to convey the entire interest herein assigned, and that it has not executed (except as described herein), and shall not execute, any agreement in conflict with this instrument, and that it is the true and only owner of the Invention.
 - 6) Assignor hereby grants to the following persons the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document: John Land -Reg. No. 29,554 and John R. Wetherell -Reg. No. 31,678

TEK-KOL Partnership, by SGI
International, general partner



By: Michael L. Rose
President


Dated: 10/21/99

ACCEPTANCE

SGI and Bluegrass each accepts the Assignment by the Partnership of an undivided fifty percent (50%) interest in the Invention described herein to each such that both together own one hundred percent (100%) of the LFC Technology.

Executed at La Jolla, California on October 21, 1999.

BY: SGI INTERNATIONAL, A CORPORATION

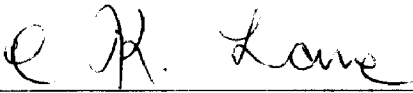
BY: 

Name: Michael L. Rose

Title: President and CEO

Executed at Ashland, Kentucky on October 24, 1999.

BY: BLUEGRASS COAL DEVELOPMENT COMPANY, A CORPORATION

BY: 

Name:

Title: ~~Vice~~ President

STATE OF CALIFORNIA)
) SS
COUNTY OF SAN DIEGO)

On this 21st day of October, 1999, before me appeared Michael L. Rose, President of SGI International, general partner of the TEK-KOL Partnership (the "Assignor"), who acknowledged that he is duly authorized by the Assignor and SGI International to sign this instrument, and that he has signed this instrument as a free act on behalf of the Assignor and SGI International.



[Signature]
NOTARY PUBLIC

My commission expires: 9/30/2002

STATE OF KENTUCKY)
) SS
COUNTY OF Boyd)

On this 21st day of October, 1999, before me appeared C. K. Lane, the person who signed this instrument, who acknowledged that he/she is a duly appointed officer of Bluegrass Real Development Company (the "Assignee"), that he/she is duly authorized by the Assignee to sign this instrument, and that he/she has signed this instrument as a free act on behalf of the Assignee.

[Signature]
NOTARY PUBLIC

My commission expires: 8/13/2001