

11-05-1999

FORM PTO-1619A

Expires 06/30/99  
OMB 0651-0027

101192638

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT****RECORDATION FORM COVER SHEET  
PATENTS ONLY**

MRD 10/27/99

JC678 U.S. PTO  
09/428080

10/27/99

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

☒ New

☐ Resubmission (Non-Recordation)  
Document ID#

☐ Correction of PTO Error  
Reel #  Frame #

☐ Corrective Document  
Reel #  Frame #

**Conveyance Type**

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

**Conveying Party(ies)**☐ Mark if additional names of conveying parties attachedExecution Date  
Month Day Year  
10/27/1999

Name (line 1) Spivey, Paul Timothy

Name (line 2) **Second Party**Execution Date  
Month Day YearName (line 1) Name (line 2) **Receiving Party**☐ Mark if additional names of receiving parties attached

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) Lexmark International, Inc.

Name (line 2) 

Address (line 1) 740 West New Circle Road

Address (line 2) 

Address (line 3) Lexington

KY

40550

City

State/Country

Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name Address (line 1) Address (line 2) Address (line 3) Address (line 4) **FOR OFFICE USE ONLY**

11/04/1999 DNGUYEN 00000286 121213 09428080

01 FC:581

40.00 CH

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**PATENT**  
**REEL: 010353 FRAME: 0311**

## Correspondent Name and Address

Area Code and Telephone Number (606) 258-9445

Name Michael T. Sanderson

Address (line 1) Lexmark International, Inc.

Address (line 2) 740 West New Circle Road

Address (line 3)

Address (line 4) Lexington, KY 40550

## Pages

Enter the total number of pages of the attached conveyance document including any attachments.

# 2

## Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

## Patent Application Number(s)

## Patent Number(s)



If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.Month Day Year  
10/27/1999

## Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

## Number of Properties

Enter the total number of properties involved.

# 1

## Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Deposit Account

Enclosed ☐Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 12-1213

Authorization to charge additional fees:

Yes

☒

No

☐

## Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Michael T. Sanderson Reg. No.: 43,082

Name of Person Signing

Signature

Date

10 27 99

**ASSIGNMENT OF INVENTION**

For good and valuable consideration, the receipt of which is hereby acknowledged,

the **ASSIGNOR:**

Paul Timothy Spivey  
317 Bell Place  
Nicholasville, KY 40356  
Nationality: USA

hereby sells, assigns and transfers to

the **ASSIGNEE:**

Lexmark International, Inc.  
740 West New Circle Road  
Lexington, KY 40550

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions in and to the invention entitled:

**Ink Jet Pen**

which is disclosed in a U.S. patent application executed on even date herewith, and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, extension, or other substitute thereof, and as to letters patent for any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal as of the date(s) listed below.

Paul Timothy Spivey  
Signature of Paul Timothy Spivey

10/27/99  
Date

### NOTARIZATION

Details of Country USA  
and place of signing of assignment Lexington, Kentucky

Before me this 27<sup>th</sup> day of October 1999, personally appeared the above named individual, to me known to be the person who is described in, and who executed the foregoing assignment instrument and acknowledged to me that he executed the same of his own free will for the purpose therein expressed.

Maria Perdomo  
Notary Public

Express Mail No.: EJ946022863US

(Assignment of Invention—page 2)

RECORDED: 10/27/1999

PATENT  
REEL: 010353 FRAME: 0314